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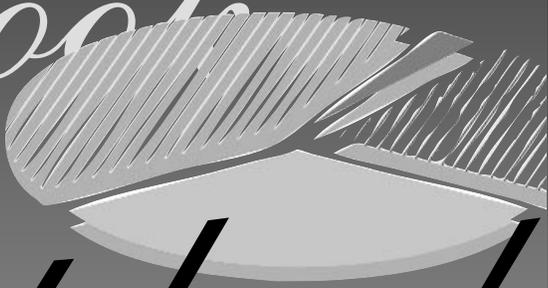


FACT BOOK  
FISCAL YEAR  
2002





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**FACT BOOK**  
**FISCAL YEAR**  
**2002**

**FEBRUARY 2003**  
**FOR ADMINISTRATIVE USE**  
**NATIONAL INSTITUTES**  
**OF HEALTH**  
**NATIONAL HEART, LUNG,**  
**AND BLOOD INSTITUTE**







# Contents

Figures .....	v
Tables .....	vii
1. Directory of Personnel .....	1
2. Program Overview .....	11
3. Important Events .....	27
4. Disease Statistics .....	35
5. Institute-Initiated Programs Starting in FY 2002 .....	55
6. Institute Public Advisory Committees .....	59
7. Fiscal Year 2002 Budget Overview .....	65
8. Long-Term Trends .....	69
9. Research Grants .....	77
10. Research and Development Contracts .....	105
11. Clinical Trials .....	111
12. Minority Activities .....	131
13. Research Training and Career Development Programs .....	145
14. Geographic Distribution of Awards: Fiscal Year 2002 .....	153
Appendixes	
Types of Research Activity .....	173
List of Abbreviations and Acronyms .....	177
Index .....	181



# Figures

## Chapter 4. Disease Statistics

Deaths by Major Causes, U.S., 2000.....	37
Deaths From Cardiovascular, Lung, and Blood Diseases, U.S., 2000.....	37
Deaths From Cardiovascular Diseases, U.S., 2000.....	38
Deaths From Lung Diseases, U.S., 2000.....	38
Deaths From Blood Diseases, U.S., 2000.....	38
Deaths From Cardiovascular Diseases, U.S., 1900–2000.....	39
Death Rates for Cardiovascular Diseases, U.S., 1900–2000.....	39
Ten Leading Causes of Death: Death Rates, U.S., 2000.....	40
Ten Leading Causes of Death Among Minority Groups, U.S., 2000.....	40
Deaths From Congestive Heart Failure, U.S., 1968–2000.....	41
Death Rates for Heart Disease by Gender, Race, and Ethnicity, U.S., 1985–2000.....	42
Death Rates for Stroke by Gender, Race, and Ethnicity, U.S., 1985–2000.....	42
Death Rates for Coronary Heart Disease, U.S., 1950–2000.....	43
Common Cardiovascular and Lung Diseases With High Percentage Discharged Dead From Hospitals, U.S., 1975, 1985, and 2000.....	43
Death Rates for Coronary Heart Disease in Men Ages 35–74 Years, Selected Countries, 1970–2000.....	44
Death Rates for Coronary Heart Disease in Women Ages 35–74 Years, Selected Countries, 1970–2000.....	44
Change in Death Rates for Selected Causes by Race and Gender, U.S., 1990–2000.....	45
Death Rates for Lung Diseases in Infants, U.S., 1980–2000.....	45
Ten Leading Causes of Infant Mortality, U.S., 2000.....	46
Deaths Under Age 1 Year Due to Cardiovascular and Lung Diseases, U.S., 2000.....	46
Death Rates for Chronic Obstructive Pulmonary Disease in Men Ages 35+ Years, Selected Countries, 1980–2000.....	47
Death Rates for Chronic Obstructive Pulmonary Disease in Women Ages 35+ Years, Selected Countries, 1980–2000.....	47
Death Rates for Chronic Obstructive Pulmonary Disease by Gender, Race, and Ethnicity, U.S., 1985–2000.....	48
Physician Office Visits for Sleep Disorders, U.S., 1989–2000.....	48
Prevalence of Cardiovascular Diseases in Adults by Age, U.S., 1988–94.....	49
Prevalence of Common Cardiovascular and Lung Diseases by Age, U.S., 2000.....	50
Prevalence of Cardiovascular Disease Risk Factors in Adults, U.S., 1961–2000.....	50
Hypertensive Population Aware, Treated, and Controlled, Age 18+, U.S., 1971–72 to 1999–2000.....	51
Adult Population With Hypertension by Age, Gender, and Race, U.S., 1999–2000.....	51
Hospitalization Rates for Congestive Heart Failure, Ages 45–64 Years and 65+ Years, U.S., 1971–2000.....	52
Prevalence of Asthma by Age, U.S., 1981, 1991, and 2001.....	52
Total Economic Costs, U.S., 2003.....	53
Economic Costs: Cardiovascular, Lung, and Blood Diseases, U.S., 2003.....	53

## Chapter 7. Fiscal Year 2002 Budget Overview

NHLBI Total Obligations by Budget Category .....	65
NHLBI Extramural Obligations by Program .....	65
NHLBI Extramural Obligations by Division .....	65

## Chapter 8. Long-Term Trends

NHLBI Total Obligations by Budget Category: Fiscal Years 1992–2002	
Current Dollars .....	70
Constant 1992 Dollars .....	70
NHLBI Total Obligations by Budget Mechanism: Fiscal Years 1992–2002 .....	72
NHLBI Institute-Initiated and Investigator-Initiated Awards: Fiscal Years 1992–2002 .....	73
NHLBI Grants and Research and Development Contracts as Subsets of Institute-Initiated Awards: Fiscal Years 1992–2002 .....	73
NHLBI Extramural Research Funding Mechanism: Fiscal Years 1992–2002	
Dollars .....	75
Percent of Extramural Funds .....	76

## Chapter 9. Research Grants

NHLBI Total Research Grants by Category .....	77
NHLBI Research Project Grant, Research Centers Grant, and Other Research Grant Obligations: Fiscal Years 1992–2002 .....	78
NHLBI Competing Research Project Grant Applications: Fiscal Years 1992–2002	
Total Cost Dollars Reviewed and Awarded .....	79
Number Reviewed and Awarded .....	80
Percent of Reviewed Applications Funded (Success Rate) .....	80
NHLBI Investigator-Initiated and Institute-Initiated Grant Obligations: Fiscal Years 1992–2002 .....	81
NHLBI Research Project Grants: Average Costs, Fiscal Years 1992–2002 .....	83

## Chapter 10. Research and Development Contracts

NHLBI Research and Development Contract Obligations: Fiscal Years 1992–2002 .....	105
---	-----

## Chapter 13. Research Training and Career Development Programs

NHLBI Research Training and Career Development Obligations: Fiscal Years 1992–2002 .....	145
NHLBI Full-Time Training Positions: Fiscal Years 1992–2002 .....	145
NHLBI Minority Biomedical Research Training, Career Development, and Research Supplements Program Obligations: Fiscal Years 1992–2002 .....	151

## Chapter 14. Geographic Distribution of Awards: Fiscal Year 2002

Geographic Distribution of Awards by State: Fiscal Year 2002 .....	153
--	-----

# Tables

## Chapter 2. Program Overview

National Heart, Blood Vessel, Lung, and Blood Diseases and Blood Resources Programs .....	12
---	----

## Chapter 4. Disease Statistics

Deaths From All Causes and Deaths From Cardiovascular, Lung, and Blood Diseases, U.S., 1980 and 2000 .....	37
Deaths From Specific Cardiovascular, Lung, and Blood Diseases, U.S., 2000.....	38
Death Rates for Cardiovascular and Noncardiovascular Diseases, U.S., 1980 and 2000.....	41
Deaths Under Age 1 Year Due to Cardiovascular and Lung Diseases, U.S., 2000 .....	46
Prevalence of Common Cardiovascular, Lung, and Blood Diseases, U.S., 2000.....	49
Direct and Indirect Economic Costs of Illness by Major Diagnosis, U.S., 2003 .....	53

## Chapter 7. Fiscal Year 2002 Budget Overview

NHLBI Obligations by Funding Mechanism: Fiscal Year 2002.....	65
NHLBI Extramural Obligations by Program: Fiscal Year 2002.....	66
NHLBI Heart and Vascular Diseases Program	
Obligations by Funding Mechanism: Fiscal Year 2002 .....	66
NHLBI Epidemiology and Clinical Applications Program	
Obligations by Funding Mechanism: Fiscal Year 2002 .....	66
NHLBI Lung Diseases Program	
Obligations by Funding Mechanism: Fiscal Year 2002 .....	67
NHLBI Blood Diseases and Resources Program	
Obligations by Funding Mechanism: Fiscal Year 2002 .....	67
National Center on Sleep Disorders Research Program	
Obligations by Budget Mechanism: Fiscal Year 2002 .....	67
Women’s Health Initiative	
Obligations by Funding Mechanism: Fiscal Year 2002 .....	68

## Chapter 8. Long-Term Trends

Budget History of the NHLBI: Fiscal Years 1950–2002.....	69
NHLBI Total Obligations by Budget Category: Fiscal Years 1992–2002	
Current Dollars .....	71
Constant 1992 Dollars .....	71
NHLBI Total Obligations by Budget Mechanism: Fiscal Years 1992–2002 .....	72
NHLBI Employment: Fiscal Years 1992–2002 .....	72
NHLBI Extramural Programs: Fiscal Years 1992–2002	
Dollars .....	74
Percent of Total Extramural Budget.....	74
NHLBI Extramural Research Funding Mechanism: Fiscal Years 1992–2002	
Dollars .....	75
Percent of Total Extramural Budget.....	76

## Chapter 9. Research Grants

NHLBI Research Grants by Funding Mechanism: Fiscal Year 2002 .....	77
NHLBI Research Project Grant, Research Centers Grant, and Other Research Grant Obligations: Fiscal Years 1992–2002 .....	78
NHLBI Competing Research Project Grant Applications: Fiscal Years 1992–2002 Total Cost Dollars Reviewed and Awarded .....	79
Number Reviewed and Awarded and Percent Funded .....	80
NHLBI Investigator-Initiated and Institute-Initiated Grant Obligations: Fiscal Years 1992–2002 .....	81
NHLBI Research Project Grants: Amount Funded by Type of Award, Fiscal Years 1992–2002 .....	82
Facility and Administrative (F&A) Costs of NHLBI Research Project Grants: Fiscal Years 1992–2002 .....	82
NHLBI Research Project Grants: Average Costs, Fiscal Years 1992–2002 .....	83
NHLBI Cooperative Agreements (U01, U10) Programs.....	84
Specialized Centers of Research (P50) Program .....	99

## Chapter 10. Research and Development Contracts

NHLBI Total Research and Development Contract Obligations: Fiscal Years 1992–2002 .....	105
Major NHLBI Research and Development Contracts by Program: Fiscal Years 1992–2002 .....	106

## Chapter 11. Clinical Trials

NHLBI Investigator-Initiated Clinical Trials: Fiscal Years 1992–2002 .....	111
NHLBI Investigator-Initiated Clinical Trials, Fiscal Year 2002: Summary by Program.....	114
Institute-Initiated Clinical Trials: Fiscal Years 1992–2002 Contracts .....	115
Cooperative Agreements .....	117
Institute-Initiated Clinical Trials, Fiscal Year 2002: Summary by Program Contracts .....	118
Cooperative Agreements .....	118

## Chapter 13. Research Training and Career Development Programs

Training Awards, Full-Time Training Positions, and Obligations by Activity: Fiscal Year 2002.....	146
History of Training Obligations by Activity: Fiscal Years 1992–2002.....	147
Full-Time Training Positions by Activity: Fiscal Years 1992–2002.....	148
NHLBI Research Career Programs: Fiscal Years 1992–2002.....	149
NHLBI Research Career Program Obligations: Fiscal Years 1992–2002 .....	150
NHLBI Minority Biomedical Research Training, Career Development, and Research Supplements Program Obligations: Fiscal Years 1992–2002.....	151
NHLBI Research Supplements Program by Award Type: Fiscal Years 1992–2002 .....	152
NHLBI Research Supplements Program Obligations by Award Type: Fiscal Years 1992–2002 .....	152

## Chapter 14. Geographic Distribution of Awards: Fiscal Year 2002

Geographic Distribution of Awards by State or Country: Fiscal Year 2002.....	154
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# 1. Directory of Personnel\*

Office of the Director	Bldg.	Room	Phone	MSC <sup>†,‡</sup>
Director, <b>Claude Lenfant, M.D.</b> .....	31	5A52	496-5166	2486
Deputy Director, <b>Barbara Alving, M.D.</b> .....	31	5A47	496-1078	2490
Assistant to the Director, <b>Sheila Pohl</b> .....	31	5A52	496-6471	2486
Special Assistant to the Director (NHLBI AIDS Coordinator), <b>Elaine Sloand, M.D.</b> .....	31	4A35	496-3245	2490
Assistant Director for Ethics and Clinical Research <b>Lawrence Friedman, M.D.</b> .....	31	5A03	496-9899	2490
Associate Director for Administrative Management, <b>Donald P. Christoferson</b> .....	31	5A48	496-2411	2490
Associate Director for Scientific Program Operation, <b>Carl A. Roth, Ph.D., LL.M.</b> .....	31	5A03	496-6331	2482
Associate Director for Prevention, Education, and Control, <b>Gregory J. Morosco, Ph.D., M.P.H.</b> .....	31	4A10	496-5437	2480
Associate Director for International Programs, <b>Ruth J. Hegyeli, M.D.</b> .....	31	4A27	496-5375	2490
Office of Special Concerns Director, <b>Mishyelle I. Croom</b> .....	31	4A22	496-1763	2490
Office of Minority Health Affairs Director, <b>Helena Mishoe, Ph.D., M.P.H.</b> .....	RKL2 <sup>§</sup>	6216	451-5081	7913
Office of Administrative Management				
Director/Executive Officer, <b>Donald P. Christoferson</b> .....	31	5A46	496-2411	2490
Administrative Officer, <b>Valery D. Gheen</b> .....	31	5A33	496-5931	2490
Management Policy and Administrative Services Branch				
Chief, <b>David L. Whitmer</b> .....	31	5A33	496-5931	2490
Freedom of Information/Privacy Act				
Coordinator, <b>Suzanne Freeman</b> .....	31	5A33	496-9737	2490
Financial Management Branch				
Chief, <b>Sandra Gault</b> .....	31	5A46	496-4653	2490
Human Resources Management Branch				
Chief, <b>Barry Rubinstein</b> .....	31	5A28	496-6477	2484
Extramural Administrative Management Branch				
Chief, <b>Christinia E. Roark</b> .....	RKL2	7026	435-6373	7921
Intramural Administrative Management Branch				
Chief, <b>Carrol Hanson</b> .....	10	7N220	402-1985	1670
National Center on Sleep Disorders Research				
Director, <b>Carl E. Hunt, M.D.</b> .....	RKL2	10038	435-0199	7920
Administrative Officer, <b>Stacey Long</b> .....	RKL2	7026	435-6373	7921
Women's Health Initiative				
Acting Director, <b>Jacques E. Rossouw, M.D.</b> .....	RKL1 <sup>**</sup>	300	402-2900	7966
Administrative Officer, <b>Rebecca Tener</b> .....	31	5A33	496-5931	2490

\* Current as of October 15, 2002. For locating personnel not listed, the general information number is 301-496-4000. All listed phone numbers are in area code 301. The Personnel Directory, which is periodically updated throughout the year, is located on the NHLBI Home Page under About NHLBI.

† MSC—Mail Stop Code.

‡ Full mailing address formats are located at the end of this chapter.

§ RKL2—Rockledge II Building.

\*\* RKL1—Rockledge I Building.

**Office of the Director (continued)**

	<b>Bldg.</b>	<b>Room</b>	<b>Phone</b>	<b>MSC</b>
Office of Prevention, Education, and Control				
Director, <b>Gregory J. Morosco, Ph.D., M.P.H.</b> . . . . .	31	4A10	496-5437	2480
Program Operations Coordinator, <b>Nancy J. Poole, M.B.A.</b> . . . . .	31	4A10	496-5437	2480
Administrative Officer, <b>Valery Gheen</b> . . . . .	31	5A33	496-5931	2490
Health Communications and Information Science				
Senior Manager, <b>Terry C. Long</b> . . . . .	31	4A10	496-0554	2480
Public Health Program Development				
Senior Manager, <b>Robinson Fulwood, Ph.D., M.S.P.H.</b> . . . . .	31	4A10	496-0554	2480
NHLBI Nutrition Coordinator, <b>Darla E. Danford, D.Sc., M.P.H.</b> . . . . .	31	4A10	496-0554	2480
National High Blood Pressure Education Program				
Coordinator, <b>Edward J. Roccella, Ph.D., M.P.H.</b> . . . . .	31	4A10	496-1051	2480
National Cholesterol Education Program				
Coordinator, <b>James I. Cleeman, M.D.</b> . . . . .	31	4A10	496-1051	2480
National Asthma Education and Prevention Program				
Coordinator, <b>Diana Schmidt, M.S.P.H.</b> . . . . .	31	4A10	496-1051	2480
National Heart Attack Alert Program				
Coordinator, <b>Mary McDonald Hand, M.S.P.H., R.N.</b> . . . . .	31	4A10	496-1051	2480
National Obesity Education Initiative				
Coordinator, <b>Karen Donato, M.S., R.D.</b> . . . . .	31	4A10	496-1051	2480
Office of Science and Technology				
Director, <b>Carl A. Roth, Ph.D., LL.M.</b> . . . . .	31	5A03	496-6331	2482
Deputy Director, <b>Barbara Liu, S.M.</b> . . . . .	31	5A06	496-9899	2482
Administrative Officer, <b>Rebecca E. Tener</b> . . . . .	31	5A33	496-5931	2490
Office of International Programs				
Director, <b>Ruth Hegyeli, M.D.</b> . . . . .	31	4A29	496-5375	2490
Program Studies and Reports Program				
Director, <b>Carl A. Roth, Ph.D., LL.M.</b> . . . . .	31	5A03	496-6331	2482
Science and Special Issues Program				
Director, <b>Barbara Liu, S.M.</b> . . . . .	31	5A06	496-9899	2482
Office of Public Liaison				
Coordinator, <b>Sandra Lindsay, M.P.H.</b> . . . . .	31	5A07	496-9899	2482
Information Resources and Technology Program				
Director, <b>John J. Filigenzi</b> . . . . .	RKL2	8093	435-0119	7932
Office of Technology Transfer and Development				
Director, <b>Concetta Bartosh, J.D.</b> . . . . .	31	1B30	402-5579	2490

**Division of Heart and Vascular Diseases**

Director, <b>Stephen C. Mockrin, Ph.D.</b> . . . . .	RKL2	9160	435-0466	7940
Deputy Director, <b>David M. Robinson, Ph.D.</b> . . . . .	RKL2	9158	435-0477	7940
Special Assistant for Clinical Studies,				
<b>David J. Gordon, M.D.</b> . . . . .	RKL2	9152	435-0466	7940
Research Training and Special Programs,				
Leader, <b>Beth Schucker, M.S.</b> . . . . .	RKL2	9140	435-0535	7940
Administrative Officer, <b>Lisa A. Freeny</b> . . . . .	RKL2	7026	435-6373	7921
Clinical and Molecular Medicine Program				
Director, <b>John Watson, Ph.D.</b> . . . . .	RKL2	9166	435-0555	7940
Cardiovascular Medicine Scientific Research Group				
Leader, <b>Patrice Desvigne-Nickens, M.D.</b> . . . . .	RKL2	9178	435-0515	7940

<b>Division of Heart and Vascular Diseases (continued)</b>	<b>Bldg.</b>	<b>Room</b>	<b>Phone</b>	<b>MSC</b>
Bioengineering and Genomic Applications Scientific Research Group Leader, <b>Susan Old, Ph.D.</b> . . . . .	RKL2	9144	435-0513	7940
Heart Research Program Director, <b>John L. Fakunding, Ph.D.</b> . . . . .	RKL2	9170	435-0494	7940
Arrhythmias, Ischemia, and Sudden Cardiac Death Scientific Research Group Leader, <b>Peter M. Spooner, Ph.D.</b> . . . . .	RKL2	9192	435-0504	7940
Heart Development, Function, and Failure Scientific Research Group Leader, <b>Gail D. Pearson, M.D. Sc.D.</b> . . . . .	RKL2	9200	435-0510	7940
Vascular Biology Research Program Director, <b>Sonia Skarlatos, Ph.D.</b> . . . . .	RKL2	10198	435-0545	7956
Atherosclerosis Scientific Research Group Leader, <b>Momtaz Wassef, Ph.D.</b> . . . . .	RKL2	10196	435-0558	7956
Hypertension Scientific Research Group Leader, <b>Paul A. Velletri, Ph.D.</b> . . . . .	RKL2	10202	435-0560	7956
<b>Division of Lung Diseases</b>				
Director, <b>James P. Kiley, Ph.D.</b> . . . . .	RKL2	10122	435-0233	7952
Deputy Director, <b>Carol E. Vreim, Ph.D.</b> . . . . .	RKL2	10120	435-0233	7952
Administrative Officer, <b>Kathryn Lightbody</b> . . . . .	RKL2	7026	435-6373	7921
Airway Biology and Disease Program Director, <b>Gail G. Weinmann, M.D.</b> . . . . .	RKL2	10210	435-0202	7952
Senior Scientific Advisor, <b>Susan P. Banks-Schlegel, Ph.D.</b> . . . . .	RKL2	10220	435-0202	7952
Asthma Scientific Research Group Leader, <b>Susan P. Banks-Schlegel, Ph.D.</b> . . . . .	RKL2	10220	435-0202	7952
Chronic Obstructive Pulmonary Disease/Environment Scientific Research Group Leader, <b>Thomas Croxton, M.D., Ph.D.</b> . . . . .	RKL2	10208	435-0202	7952
Cystic Fibrosis Scientific Research Group Leader, <b>Susan P. Banks-Schlegel, Ph.D.</b> . . . . .	RKL2	10220	435-0202	7952
Sleep and Neurobiology Scientific Research Group Leader, <b>Michael J. Twery, Ph.D.</b> . . . . .	RKL2	10116	435-0202	7952
Training and Special Programs Scientific Research Group Leader, <b>J. Sri Ram, Ph.D.</b> . . . . .	RKL2	10206	435-0202	7952
Lung Biology and Disease Program Director, <b>Dorothy B. Gail, Ph.D.</b> . . . . .	RKL2	10100	435-0222	7952
Senior Scientific Advisor, <b>Andrea Harabin, Ph.D.</b> . . . . .	RKL2	10108	435-0222	7952
Acquired Immunodeficiency Syndrome/Tuberculosis Scientific Research Group Leader, <b>Hannah H. Peavy, M.D.</b> . . . . .	RKL2	10110	435-0222	7952
Acute Lung Injury/Critical Care Scientific Research Group Leader, <b>Andrea Harabin, Ph.D.</b> . . . . .	RKL2	10108	435-0222	7952

<b>Division of Lung Diseases (continued)</b>	<b>Bldg.</b>	<b>Room</b>	<b>Phone</b>	<b>MSC</b>
Developmental Biology and Pediatrics Scientific Research Group				
Leader, <b>Mary Anne Berberich, Ph.D.</b> .....	RKL2	10102	435-0222	7952
Immunology/Fibrosis Scientific Research Group				
Leader, <b>Herbert Reynolds, M.D.</b> .....	RKL2	10112	435-0222	7952
Lung Cell and Vascular Biology Scientific Research Group				
Acting Leader, <b>Dorothy B. Gail, Ph.D.</b> .....	RKL2	10100	435-0222	7952
Training and Special Programs Scientific Research Group				
Leader, <b>Sandra Hatch, M.D.</b> .....	RKL2	10104	435-0222	7952

**Division of Blood Diseases and Resources**

Director, <b>Charles Peterson, M.D.</b> .....	RKL2	10160	435-0080	7950
Deputy Director, <b>Liana Harvath, Ph.D.</b> .....	RKL2	10170	435-0065	7950
Senior Program Analyst, <b>Susan Pucie</b> .....	RKL2	10166	435-0584	7950
Administrative Officer, <b>Kathryn Lightbody</b> .....	RKL2	7026	435-6373	7921
Blood Resources Program				
Acting Director, <b>Liana Harvath, Ph.D.</b> .....	RKL2	10170	435-0065	7950
Senior Scientific Advisor, <b>George J. Nemo, Ph.D.</b> .....	RKL2	10142	435-0075	7950
Transfusion Medicine Scientific Research Group				
Leader, <b>George J. Nemo, Ph.D.</b> .....	RKL2	10142	435-0075	7950
Bone Marrow Transplantation Scientific Research Group				
Acting Leader, <b>LeeAnn Jensen, Ph.D.</b> .....	RKL2	10140	435-0065	7950
Thrombosis and Hemostasis Scientific Research Group				
Leader, <b>Pankaj Ganguly, Ph.D.</b> .....	RKL2	10176	435-0070	7950
Training and Special Programs				
Leader, <b>Traci Mondoro, Ph.D.</b> .....	RKL2	10182	435-0075	7950
Blood Diseases Program				
Director, <b>Charles Peterson, M.D.</b> .....	RKL2	10160	435-0050	7950
Sickle Cell Disease Scientific Research Group				
Leader, <b>Duane Bonds, M.D.</b> .....	RKL2	10148	435-0055	7950
Cellular Hematology Scientific Research Group				
Leader, <b>Charles Peterson, M.D.</b> .....	RKL2	10160	435-0050	7950
Research Training				
Leader, <b>Ellen Werner, Ph.D.</b> .....	RKL2	10182	435-0061	7950

**Division of Epidemiology and Clinical Applications**

Director, <b>Peter Savage, M.D.</b> .....	RKL2	8100	435-0422	7938
Deputy Director, <b>(Vacant)</b> .....	RKL2	8104	435-0422	7938
Senior Advisor, <b>Jefferey Cutler, M.D.</b> .....	RKL2	8102	435-0433	7938
Administrative Officer, <b>Charlotte Wiltshire</b> .....	RKL2	7026	435-6373	7921
Office of Biostatistics Research				
Director, <b>Nancy L. Geller, Ph.D.</b> .....	RKL2	8210	435-0434	7938
Clinical Applications and Prevention Program				
Acting Director, <b>Denise Simons-Morton, M.D., Ph.D.</b> .....	RKL2	8138	435-0377	7936
Prevention Scientific Research Group				
Acting Leader, <b>Eva Obarzanek, Ph.D.</b> .....	RKL2	8136	435-0377	7936

**Division of Epidemiology and Clinical Applications (continued)**

	<b>Bldg.</b>	<b>Room</b>	<b>Phone</b>	<b>MSC</b>
Clinical Trials Scientific Research Group				
Leader, <b>Michael Domanski, M.D.</b> . . . . .	RKL2	8146	435-0399	7936
Behavioral Medicine Scientific Research Group				
Leader, <b>Peter G. Kaufmann, Ph.D.</b> . . . . .	RKL2	8118	435-0404	7936
Epidemiology and Biometry Program				
Director, <b>Teri Manolio, M.D., M.H.S.</b> . . . . .	RKL2	8160	435-0707	7934
Analytical Resources Scientific Research Group				
Leader, <b>Paul D. Sorlie, Ph.D.</b> . . . . .	RKL2	8176	435-0707	7934
Genetic Epidemiology Scientific Research Group				
Leader, <b>Richard Fabsitz, M.A.</b> . . . . .	RKL2	8178	435-0444	7934
Field Studies and Clinical Epidemiology Scientific Research Group				
Acting Leader, <b>Catherine Loria, Ph.D.</b> . . . . .	RKL2	8150	435-0707	7934
Framingham Epidemiology Research Unit				
Leader, <b>Daniel Levy, M.D.</b> . . . . .	73 Mt. Wayte Avenue Suite 2 Framingham, MA 01702-5827 508-935-3458			
Jackson Heart Study				
Leader, <b>Evelyn Walker, M.D.</b> . . . . .	Jackson Medical Mall 350 West Woodrow Wilson Drive Jackson, MS 39213 601-368-4654			

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Clinical Studies and Training Scientific Review Group				
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Heart, Lung, and Vascular Diseases Contracts Section				
Acting Chief, <b>Pamela Lew</b> . . . . .	RKL2	6106	435-0340	7902
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Vascular Biology Section				
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Clinical Studies Section				
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Molecular Mechanisms Section				
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Pulmonary and Cardiac Assist Devices Section				
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Laboratory of Cell Biology				
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Cellular Biochemistry and Ultrastructure Section				
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Cellular Physiology Section				
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Laboratory of Cell Signaling				
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Laboratory of Developmental Biology				
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Laboratory of Kidney & Electrolyte Metabolism				
Chief, <b>Mark A. Knepper, M.D., Ph.D.</b> . . . . .	10	6N260	496-3187	1603
Renal Cellular and Molecular Biology Section				
Chief, <b>Maurice Burg, M.D.</b> . . . . .	10	6N260	496-3187	1603
Renal Mechanisms Section				
Chief, <b>Mark A. Knepper, M.D., Ph.D.</b> . . . . .	10	6N312	496-3064	1598
Transport Physiology Section				
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Laboratory of Molecular Immunology				
Chief, <b>Warren Leonard, M.D.</b> . . . . .	10	7N252	496-0098	1674
Intracellular Signaling Section				
Chief, <b>Michael A. Beaven, Ph.D.</b> . . . . .	10	8N114	496-6188	1760
Lymphocyte Activation Section				
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Molecular and Cellular Toxicology Section				
Chief, <b>Lance R. Pohl, Ph.D.</b> . . . . .	10	8N110	496-4841	1674
Laboratory of Molecular Cardiology				
Chief, <b>Robert S. Adelstein, M.D.</b> . . . . .	10	8N202	496-1865	1762
Cellular and Molecular Motility Section				
Chief, <b>James R. Sellers, Ph.D.</b> . . . . .	10	8N117	496-6887	1760
Molecular Physiology Section				
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\* Retain the letters MSC before adding the mail stop code number.

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## 2. Program Overview

In 1948, the National Heart Institute was established through the National Heart Act with a mission to support research and training in the prevention, diagnosis, and treatment of cardiovascular diseases (CVD). Twenty-four years later, through section 413 of the National Heart, Blood Vessel, Lung, and Blood Act (P.L. 92-423), Congress mandated the Institute to expand and coordinate its activities in an accelerated attack against heart, blood vessel, lung, and blood diseases. The renamed National Heart, Lung, and Blood Institute (NHLBI) expanded its scientific areas of interest and intensified its efforts related to research on diseases within its purview. Over the years, these areas of interest have grown to encompass genetic research, sleep disorders, and the Women's Health Initiative (WHI).

The mission of the NHLBI is to provide leadership for a national program in diseases of the heart, blood vessels, lung, and blood; sleep disorders; and blood resources management. The Institute:

- Plans, conducts, fosters, and supports an integrated and coordinated program of basic research, clinical investigations and trials, observational studies, and demonstration and education projects related to the causes, prevention, diagnosis, and treatment of heart, blood vessel, lung, and blood diseases, and sleep disorders conducted in its own laboratories and by other scientific institutions and individuals supported by research grants and contracts.
- Plans and directs research in development, trial, and evaluation of interventions and devices related to the prevention of diseases and the treatment and rehabilitation of patients suffering from such diseases and disorders.
- Conducts research on the clinical use of blood and all aspects of the management of blood resources.
- Supports career training and development of new and established researchers in fundamental sciences and clinical disciplines to enable

them to conduct basic and clinical research related to heart, blood vessel, lung, and blood diseases; sleep disorders; and blood resources through individual and institutional research training awards and career development awards.

- Coordinates relevant activities with other research institutes and all Federal health programs in the above areas, including the causes of stroke.
- Conducts educational activities, including development and dissemination of materials for health professionals and the public in the above areas, with emphasis on prevention.
- Maintains continuing relationships with institutions and professional associations, and with international, national, State, and local officials, as well as voluntary agencies and organizations working in the above areas.
- Oversees management of the WHI.

Each year, the NHLBI assesses progress in the scientific areas for which it is responsible and updates its goals and objectives. As new opportunities are identified, the Institute expands and revises its areas of interest. Throughout the process, the approach used by the Institute is an orderly sequence of research activities that includes:

- Acquisition of knowledge
- Evaluation of knowledge
- Application of knowledge
- Dissemination of knowledge.

The programs of the NHLBI, as shown on page 12, are implemented through five extramural units: the Division of Heart and Vascular Diseases (DHVD), the Division of Lung Diseases (DLD), the Division of Blood Diseases and Resources (DBDR), the Division of Epidemiology and Clinical Applications (DECA), and the National Center on Sleep Disorders Research (NCSDR); and one intramural unit, the Division of Intramural Research (DIR). Although

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## National Heart, Blood Vessel, Lung, and Blood Diseases and Blood Resources Programs

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### **Heart and Vascular Diseases**

#### ***Heart Research***

Heart Development  
Cardiac Function and Heart Failure  
Ischemic Heart Disease  
Cardiac Arrhythmias and Sudden  
Cardiac Death

#### ***Vascular Biology Research***

Atherosclerosis  
Hypertension  
Biology and Pathophysiology of  
Blood Vessels  
Gene Therapy for Prevention and  
Treatment of Vascular Diseases

#### ***Clinical and Molecular Medicine***

Cardiovascular Medicine  
Bioengineering  
Genomic and Proteomic  
Applications  
Bioinformatics

### **Lung Diseases**

#### ***Airway Biology and Disease***

Asthma  
Chronic Obstructive Pulmonary  
Disease (COPD) and  
Environmental Lung Diseases  
Cystic Fibrosis (CF)  
Neurobiology and Sleep

#### ***Lung Biology and Disease***

Lung Cell and Vascular Biology  
Developmental Biology and  
Pediatric Lung Disease  
Critical Care and Acute Lung Injury  
Acquired Immunodeficiency  
Syndrome (AIDS) and  
Tuberculosis (TB)  
Immunology and Fibrosis

### **Blood Diseases and Resources**

#### ***Blood Diseases***

Sickle Cell Disease (SCD)  
Thalassemia  
Cellular Hematology  
Stem Cell Research

#### ***Blood Resources***

Transfusion Medicine  
Bone Marrow Transplantation  
Thrombosis and Hemostasis

#### ***Epidemiology and Clinical Applications***

#### ***Clinical Applications and Prevention***

Prevention  
Clinical Trials  
Behavioral Medicine

#### ***Epidemiology and Biometry***

Field Studies and Clinical  
Epidemiology  
Analytical Resources  
Genetic Epidemiology

### **National Center on Sleep Disorders Research**

Sleep  
Sleep Disorders and Related  
Conditions

### **Women's Health Initiative**

#### ***Intramural Research***

Cardiovascular  
Cardiothoracic Surgery  
Hematology  
Molecular Disease  
Pulmonary/Critical Care Medicine  
Animal Medicine and Surgery  
Biochemical Genetics  
Biochemistry  
Biophysical Chemistry  
Cardiac Energetics  
Cell Biology  
Cell Signaling  
Developmental Biology  
Kidney and Electrolyte Metabolism  
Lymphocyte Biology  
Molecular Immunology

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the NHLBI has primary responsibility for the WHI, it is run by a consortium that includes the National Cancer Institute (NCI), the National Institute on Aging (NIA), and the National Institute of Arthritis and Musculoskeletal and Skin Diseases (NIAMS). The Divisions and the Center pursue their own scientific missions but cooperate in areas of common interest. The extramural Divisions and the NCSDR use a variety of funding mechanisms, such as research grants, program project grants, Small Business Innovation Research grants, Small Business Technology Transfer grants, Specialized Centers of Research (SCORs), comprehensive center grants, contracts, and research training programs. Descriptions of the Division and Center programs, as well as the WHI, follow.

### **Division of Heart and Vascular Diseases**

An estimated 61.8 million people in the United States have CVD, 32 million of whom are less than 65 years of

age. Hypertension affects 50 million. Approximately 13 million have coronary heart disease (CHD), 4.9 million have congestive heart failure (CHF), and 4.7 million have cerebrovascular disease. Approximately 8 million with CVD are limited in activity. In 2000, 39 percent of all deaths (946,000) in the United States were attributed to CVD; 53 percent occurred in women. The economic cost of CVD to the Nation in 2003 is projected to be \$352 billion, of which \$209 billion will be for health-related expenditures and \$143 billion will be due to lost productivity.

The DHVD plans and directs a coordinated research program on the causes of heart and vascular diseases and on their prevention, diagnosis, and treatment. Fundamental biomedical research is emphasized. Multidisciplinary programs are supported to advance basic knowledge of disease and to generate the most effective methods of clinical management and prevention. Clini-

cal trials are an important part of the research program; they provide an opportunity to test and apply promising preventive or therapeutic measures.

The Division consists of three major programs:

- Heart Research Program
- Vascular Biology Research Program
- Clinical and Molecular Medicine Program

and the Research Training and Special Programs group.

The Heart Research Program supports basic and clinical research in cardiac diseases, from embryonic life through adulthood. Areas of interest include:

- Heart development
- Cardiac function and failure
- Ischemic heart disease
- Arrhythmias and sudden cardiac death.

Research on cardiovascular development focuses on normal and abnormal formation of the heart and major blood vessels. It encompasses research on embryonic and fetal cardiovascular development and on the diagnosis and treatment of congenital and acquired pediatric heart disease. The Institute is supporting studies on molecular, cellular, genetic, environmental, and mechanical mechanisms of normal cardiovascular development, as well as congenital cardiovascular malformations. A multicenter clinical research network, the Pediatric Heart Network, has been initiated to study the diagnosis and treatment of congenital and acquired pediatric CVD.

Research studies in cardiac function and failure focus on the fundamental mechanisms associated with the structure, function, mechanics, and bioenergetics of normal and diseased myocardium; the role that contractile and matrix proteins play in the cardiovascular system; and the causes of cardiac hypertrophy and the subsequent transition from hypertrophy to heart failure. Targeted projects encompass molecular, cellular, and physiological studies of diabetic cardiomyopathy; pathogenesis of heart failure, with emphasis on apoptosis (programmed cell death), myocyte division and growth, and cell transplantation; studies to identify modifiers of gene defects leading to hypertrophic cardiomyopathy and heart failure; and basic research to improve cardiopulmonary and neurological outcomes following resuscitation from cardiopulmonary arrest.

Scientists engaged in research on ischemic heart disease are investigating the etiology and pathophysiology

of the disease and its consequences. Studies include myocardial infarction (MI), angina pectoris, coronary thrombosis, coronary blood flow, and myocardial revascularization and reperfusion. Researchers are seeking ways to improve the diagnosis and treatment of myocardial ischemia. Of particular importance are programs directed at understanding the pathophysiology of ischemic heart disease in blacks.

Projects related to cardiac arrhythmia research are focused on elucidating the mechanisms involved in control of cardiac electrical activity, especially as it relates to sudden cardiac death. Scientists are seeking to understand how cardiac membrane biophysics, membrane structure and organization, ion pumps and channels, and transport and gap junction proteins contribute to electrogenesis. They are also investigating the impact of genetic influences—including mutations underlying arrhythmic diseases—on arrhythmogenesis and sudden cardiac death. Of special importance are studies directed at understanding electrical remodeling and genetic defects in long QT syndrome (arrhythmic disease) and other arrhythmic disorders. Finding pharmacologic agents that are effective in regulating cardiac rhythm and rate is also a major research priority.

The Vascular Biology Research Program supports research in:

- Atherosclerosis
- Hypertension
- Biology and pathophysiology of blood vessels
- Gene therapy for prevention and treatment of vascular diseases.

Research in atherosclerosis encompasses the etiology, pathogenesis, diagnosis, prevention, and treatment of the disorder. Programs include the pathobiology and genetics of the vasculature; vascular growth and angiogenesis; interactions of the vascular wall with systemic and humoral factors promoting atherogenesis; and lesion progression, complication, and regression. Targeted areas involve characterization of atherosclerotic plaque prone to rupture, pathogenesis of abdominal aortic aneurysms, the role of homocysteinemia in atherosclerosis, mechanisms of atherosclerosis in various vascular beds, and research on atherosclerotic lesions using human autopsy tissue. Additional studies focus on pathobiological determinants of atherosclerosis, cardiovascular complications of diabetes mellitus, vessel-wall calcification, the role of infectious agents in atherosclerosis, immuno-

biology of the vessel wall, hormone replacement therapy (HRT) on atherosclerosis, and effect of protease inhibitors on atherosclerosis development in HIV infection. Of special interest is understanding atherosclerosis risk among minorities.

Studies related to hypertension focus on identifying and characterizing genes involved with hypertension; elucidating regulation mechanisms associated with blood pressure control; identifying causative factors of essential hypertension, as well as rare forms of high blood pressure; examining mechanisms by which high blood pressure increases the risk of, or occurs concomitantly with other diseases, such as kidney failure, stroke, diabetes, atherosclerosis, preeclampsia, and left ventricular hypertrophy; and developing preventive strategies, as well as novel interventions for hypertension. Additional areas of interest include understanding the biological underpinnings of salt sensitivity; identifying neurological mechanisms responsible for long-term control of blood pressure and functional neurological changes that result in essential hypertension; and understanding the basis of target-organ damage in hypertension. Of special interest is eliminating health disparities among minorities and between men and women.

Basic and clinical studies on arteriogenesis (formation of new arteries), angiogenesis (formation of new blood vessels), and the biology and pathophysiology of blood vessel structure and function in the cerebral, coronary, and peripheral vascular beds are designed to increase understanding of how oxygen, nutrient, and fluid exchange occurs within vessels; how vascular inflammatory response originates and contributes to CVD; how blood flow within the tissues is autoregulated; how vascular smooth muscle contraction is altered; how new vessels are formed; and how vascular remodeling is orchestrated. Scientists are investigating ways to control the inflammatory response in blood vessels, manipulate mechanisms that regulate blood flow, and stimulate the formation of new blood vessels (especially after an ischemic event in the brain, heart, or a limb).

Gene transfer is being used to deliver growth factors to the myocardium to promote development of new blood vessels. Clinical trials are under way to test the safety and efficacy of this approach in humans. Ultimately, these studies should offer insight into developing new therapeutic agents for ischemic disease.

The Clinical and Molecular Medicine Program (CMMP) supports basic, applied, clinical, and engineering research in:

- Cardiovascular medicine
- Bioengineering
- Genomic applications.

Research in cardiovascular medicine is focused on new strategies to ameliorate disease through improving risk stratification and management and developing novel drugs and therapies. In addition to risk factor reduction, healthy lifestyles and behaviors are emphasized. The preventive and therapeutic potential of nutrition and exercise are currently being evaluated. To date, hormone replacement trials consistently demonstrate lack of benefit with regard to cardiovascular outcomes despite benefits suggested by fundamental and observational data. Devices are used to prevent fatal consequences of ventricular fibrillation in patients at high risk of sudden death, improve ventricular function in heart failure patients with intraventricular conduction delays, and improve survival in selected end-stage heart failure patients who are ineligible for heart transplantation. The development of drug-eluting stents holds promise of significant reduction of restenosis even in patients with a tendency for a hypercellular response to coronary interventions. Current projects encompass developing new strategies for acute and chronic heart disease, cardiomyopathies of different etiologies (i.e., ischemic, valvular, genetic, metabolic, and HIV-related), peripheral vascular disease, aortic aneurysms, and restenosis after percutaneous coronary interventions. Examples of therapies and approaches include diet, exercise, and pharmacologic management of dyslipidemias, genetic susceptibility and directed treatment, diagnosis and management of arrhythmias, surgical and medical management of heart failure, and novel imaging of atherosclerosis. Studies also seek to understand and reduce disparities associated with minority and women's cardiovascular health.

Bioengineering applies engineering theory to advance knowledge at the genetic, molecular, cellular, tissue, and organ levels and to develop new biologic materials, processes, devices, and systems. Research on the treatment of advanced heart failure is leading to the development of innovative ventricular assist systems and the artificial heart as a bridge to cardiac transplant and myocardial recovery, and eventually, to the artificial heart for permanent circulatory support. A broad program of functional tissue engineering research using biomimetic culture

conditions and in vivo approaches has been initiated to address the clinical need for tissue regeneration, repair, and replacement. Additional areas being supported include imaging techniques for CVD diagnosis and treatment in a diverse program of x-ray, magnetic resonance, positron emission, ultrasound, and nuclear medicine research projects, and molecular, cellular, and functional imaging methods. Nanotechnology and nanoscience will bring new opportunities in diagnostics and biosensors, tissue engineering, bioimaging, and drug delivery.

Genomic applications covers the research and development of resources related to genetics, genomics, proteomics, informatics, and gene transfer, as well as their application, for heart, lung, and blood diseases. The NHLBI Mammalian Genotyping Service, the Rat Genome Sequencing Program, the Rat Genome Database, the Programs for Genomic Applications, the NHLBI Microarray Facilities, the NIH BISTIC (Biomedical Information Science and Technology Initiative Consortium) Initiatives, and the NHLBI Proteomics Initiatives are programs supported by the CMMP. Additional areas of focus include gene mapping studies to identify the genetic variation that underlies common CVDs, functional genomics, bioinformatics and biocomputing, and microarray development.

## Division of Lung Diseases

Lung diseases are among the leading causes of death and disability in the United States. As an underlying cause, excluding lung cancers, they accounted for 233,000 deaths in 2000 and were a contributing factor to more than 300,000 additional deaths. More than 30 million persons have chronic bronchitis, emphysema, asthma, or other obstructive or interstitial lung diseases. In 2000, pulmonary diseases accounted for 27 percent of all hospitalizations of children younger than 15 years of age in the United States. The projected economic cost to the Nation in 2003 is about \$126 billion, of which \$70 billion will be for health-related expenditures and \$56 billion will be for lost productivity.

The DLD plans and directs a coordinated research program on the causes and progression of lung diseases and on their prevention, diagnosis, and treatment. Areas of interest include the biology and function of the respiratory system, the fundamental mechanisms associated with specific pulmonary disorders, and the development of new treatment strategies for patients. Demonstration

and education projects to transfer basic research and clinical findings to health care professionals and patients, as well as training and career development programs for individuals interested in furthering their professional abilities in lung diseases research, are also important activities. A variety of funding mechanisms, including research grants, contracts, cooperative agreements, SCORs, career development awards, fellowships, and research training grants are used to support these activities.

The DLD has two major programs:

- Airway Biology and Disease Program
- Lung Biology and Disease Program.

The Airway Biology and Disease Program supports basic and clinical studies related to:

- Asthma
- Cystic fibrosis
- COPD and environmental lung diseases
- Neurobiology and sleep.

Basic research in asthma focuses on elucidating the etiology and pathophysiology of the disease. Studies include investigating cellular and molecular mechanisms associated with the development, exacerbation, and persistence of asthma and the impact of the environment on these mechanisms; identifying susceptibility genes that influence development, progression, outcome, and response to treatment in different racial groups; and determining the differences between the pathophysiology of severe asthma and mild-to-moderate asthma.

Clinical research focuses on improving asthma management and reducing health disparities in asthma that exist between whites and other ethnic groups, as well as economically disadvantaged populations. Two asthma networks have been established to assess new treatment strategies and ensure rapid dissemination of research findings to health care professionals. In FY 2002, the Division established cooperative partnerships between minority-serving institutions and research-intensive institutions to examine factors that contribute to health disparities and develop strategies for their elimination. The purpose of the partnership is to conduct collaborative research on asthma disparities and provide reciprocal training experiences to enhance research opportunities and capabilities and enrich the cultural sensitivity at both institutions.

Scientists participating in CF research are investigating the origins and control of infections and inflammatory and immune responses in the lungs of CF patients, examining loss of CF transmembrane conductance regulation on development of CF, determining the modifying effects of other genes on its manifestation, and delineating genetic and metabolic defects underlying pulmonary complications associated with CF. Developing new genetic, pharmacologic, and nonpharmacologic (e.g., gene transfer) treatments is also an area of focus.

Research in COPD, which includes chronic bronchitis and emphysema, is concerned with understanding the underlying causes of the disorder and improving disease treatment and management. Investigators are examining the role of inflammation in the pathogenesis of COPD; searching for genes that may make some individuals more susceptible to the development of the disorder; seeking to identify and characterize biomarkers of COPD presence, severity, and exacerbation; evaluating treatment strategies (i.e., lung volume reduction surgery, long-term smoking cessation intervention, and retinoic acid therapy); and applying gene therapy to correct the defective gene or to introduce the functional gene for alpha-1 antitrypsin in deficient individuals with familial emphysema.

Scientists in sleep research are seeking to understand the neurobiology of breathing control during sleep and sleep apnea, examining the health consequences of sleep-disordered breathing, and developing treatments for sleep apnea.

The Lung Biology and Disease Program supports basic and clinical research in:

- Lung cell and vascular biology
- Developmental biology and pediatric lung disease
- Critical care and acute lung injury
- AIDS and TB
- Immunology and fibrosis.

The molecular and cellular biology of alveolar epithelial and endothelial cells and the lung surfactant system are important areas of interest for scientists in lung cell and vascular biology research. In the vascular biology program, researchers are examining regulation of the pulmonary vasculature, including cell growth and signaling, and the cellular and molecular mechanisms of primary pulmonary hypertension. They are also seeking to

identify genes related to lung function and develop new methods to deliver drugs via lung epithelial cells.

Developmental biology and pediatric lung disease research focuses on normal lung development and factors that contribute to abnormal lung development. Scientists are studying the effects of prenatal and postnatal infections and reactive inflammation on lung development in infancy and early childhood, especially vulnerable stages of lung maturation, to gain information on lung development and long-term lung function. Investigators are also seeking to identify genes and molecules that regulate formation of lung alveoli in order to design new treatments for lung diseases. The creation of a molecular profile of bronchopulmonary dysplasia will advance understanding of the condition and lead to effective clinical intervention. Clinical trials are evaluating the safety and efficacy of nitric oxide in preventing and treating chronic lung disease in newborn infants.

The program supports multidisciplinary approaches to improving our understanding of the etiology and pathophysiology of acute lung injury and the molecular and cellular pathogenesis of acute respiratory distress syndrome (ARDS). In addition, it maintains an ARDS network to evaluate the efficacy of different therapeutic strategies, such as pulmonary artery catheterization versus central venous catheterization, fluid management, and anti-inflammatory agents, including corticosteroids, in patients with the disorder and those at risk.

AIDS researchers are seeking to develop animal models of HIV-related lung disease that will enable them to study the basic pathogenetic mechanisms involved in lung disorders, with the ultimate goal of providing information that will lead to new treatment strategies. Pneumocystic pneumonia, lymphoid interstitial lung diseases, and TB are among the prominent complications found in HIV patients. Human studies include multiple racial groups in the United States and abroad.

The interstitial diseases program includes basic research on genetic factors that influence sarcoidosis in blacks and genes that increase susceptibility to pulmonary fibrosis. Clinical research focuses on lymphangiomyomatosis, cyclophosphamide in the treatment for pulmonary fibrosis in scleroderma patients, and causes of noninfectious pneumonia associated with bone marrow transplantation.

## Division of Blood Diseases and Resources

Blood diseases, including both acute and chronic disorders, resulted in 263,000 deaths in 2000; 254,000 of them were due to thrombotic disorders, and 9,000 were due to diseases of the red blood cells and bleeding disorders. In 2003, thrombotic disorders and other blood diseases will cost an estimated \$93 billion, of which \$57 billion will be for health expenditures and \$36 billion for lost productivity.

The DBDR has a dual role within the Institute. It develops, administers, and coordinates programs both to reduce the morbidity and mortality caused by blood diseases and to lead to their primary prevention. Diseases addressed include sickle cell anemia, hemophilia, Cooley's anemia (also known as thalassemia), and disorders of hemostasis and thrombosis. The Division is also responsible for ensuring the adequacy and safety of the Nation's blood supply. A full range of activities, including studies of the transmission of disease through transfusion, development of methods to inactivate viruses in donated blood, improvement of blood donor screening procedures, research to reduce human error in transfusion medicine, and studies of emerging diseases that may be transmitted by blood transfusion are used to achieve this goal. Demonstration and education projects are supported to ensure that the research knowledge acquired is translated and disseminated to physicians, health care professionals, patients, and the public. The Division uses a variety of funding mechanisms, including research grants, contracts, cooperative agreements, centers, career development awards, fellowships, and research training grants to support its mission.

The Division consists of two programs:

- Blood Diseases Program
- Blood Resources Program.

The Blood Diseases Program focuses its research and training on such areas in hematology and hematologic diseases as:

- Thalassemia
- Sickle cell disease
- Cellular hematology
- Stem cells.

Research in thalassemia and SCD ranges from elucidating their etiology and pathophysiology to improving disease treatment and management. Areas of emphasis

include genetics, regulation of hemoglobin synthesis, iron chelation, development of drugs to increase fetal hemoglobin production, gene therapy, and stem cell transplantation. Animal models are another area of interest. Recently, scientists have successfully corrected SCD in mice using gene therapy. Clinical studies in SCD are examining the natural history of the disorder, stroke prevention, and long-term effects of hydroxyurea therapy. A Phase III clinical trial is determining whether hydroxyurea is effective in preventing chronic end organ damage in children with SCD.

A thalassemia clinical network has been established to evaluate new treatment strategies and ensure that research findings on optimal management of the disease are rapidly disseminated to practitioners and health care professionals.

Research in cellular hematology is focused on reducing morbidity and mortality caused by disorders of the hematopoietic system, as well as preventing their occurrence. Areas of interest include red blood cell membrane and enzyme systems, hematopoiesis and stem cell biology, and Cooley's anemia and other hemoglobin variants.

The goal of stem cell research is to develop an effective treatment involving gene therapy to cure SCD. Scientists are focusing on new, less toxic conditioning regimens and other factors that could have a positive impact on engraftment.

The Blood Resources Program plans and directs research and training in:

- Thrombosis and hemostasis
- Bone marrow transplantation
- Transfusion medicine.

Research in thrombosis and hemostasis is directed toward understanding the pathogenesis of both arterial and venous thrombosis. Scientists are seeking to gain knowledge that will lead to improved diagnosis, prevention, and treatment of thrombosis in MI and stroke. One of the goals is to find additional platelet inhibitors, anticoagulants, and fibrinolytic agents that will improve specificity and reduce side effects when used in treatment.

Finding an effective treatment for hemophilia is another major priority. Researchers are using different approaches to study gene therapy for the disorder. Three

Phase I clinical trials to test the safety of these procedures are under way. Bleeding disorders related to defects in coagulation proteins or abnormal platelet function, such as the immune thrombocytopenias, are also being investigated.

Bone marrow transplantation research focuses on basic and clinical studies in allogeneic blood and marrow transplantation, including graft versus host disease (GVHD), use of unrelated donors, tolerance induction, and clinical trials using cord blood and T-cell depleted grafts. Major concerns involve overcoming human leukocyte antigen (HLA) matching barriers so that more patients will have access to potential donors, and modifying toxic pretransplant regimens that are used to eradicate a patient's blood cell system and enhance engraftment. Additional areas of interest include graft engineering; ex vivo expansion of stem and progenitor cells for clinical use; and diagnosis, prevention, and treatment of major complications from transplantation.

Research in transfusion medicine includes studies of transmission of disease through transfusion, development of methods to inactivate viruses in donated blood, improvement of blood donor screening procedures, and studies of emerging diseases that may be transmitted by blood transfusions. Scientists are involved in basic and clinical investigations related to transfusion immunobiology, focusing on GVHD, graft versus leukemia effect, and dendritic cell therapies.

## **Division of Epidemiology and Clinical Applications**

The DECA plans, directs, and evaluates research on the causes, prevention, diagnosis, and treatment of cardiovascular, lung, and blood diseases, as well as on the need for technological development in the acquisition and application of research findings in these areas. It supports epidemiologic studies, clinical trials, demonstration and education research, disease prevention and health promotion research, and basic and applied research in behavioral medicine. A variety of funding mechanisms is used, including research grants, contracts, cooperative agreements, career development awards, fellowships, and research training grants.

The Division has two major programs:

- Clinical Applications and Prevention Program
  - Epidemiology and Biometry Program
- and the Office of Biostatistics Research.

The Clinical Applications and Prevention Program is divided into three major areas:

- Prevention
- Clinical trials
- Behavioral medicine.

Research in the prevention of cardiovascular, lung, and blood diseases encompasses clinical trials, community intervention studies, prevention trials, nutrition studies, health education research, and behavioral medicine studies. The program supports a number of multicenter prevention and education trials to test the efficacy and effectiveness of, and demonstrate the capability of, prevention strategies designed to reduce cardiovascular risk factors. Major studies include determining the effectiveness of school- and home-based interventions to reduce development of CVD risk factors in children, especially those from minority populations; examining the effects of dietary patterns, sodium intake, and other lifestyle factors on blood pressure; and comparing the efficacy of various treatments to prevent major cardiovascular events in adults with diabetes. Studies on increasing the implementation of interventions known to be effective are of particular interest.

Clinical trials are used to evaluate the effectiveness of various medical procedures and therapeutic agents in patients with coronary heart disease, hypertension, and heart failure. Examples include assessing the long-term safety and efficacy of an angiotensin-converting enzyme (ACE) inhibitor to prevent major CVD events in patients with documented normal ventricular function, testing the ability of selected antihypertensive and lipid-lowering drugs to prevent heart attacks among individuals at high risk for hypertension and CHD, and comparing the use of an implantable cardiac defibrillator to conventional pharmacologic therapy to improve survival among heart failure patients.

Research in behavioral medicine focuses on biopsychological and sociocultural factors involved in heart, lung, and blood diseases. Areas of interest include central nervous system regulation of the cardiovascular system; identification of psychosocial factors (social support, depression, and hostility) affecting disease etiology, treatment, and rehabilitation; and effects of psychosocial and behavioral interventions on risk factors (smoking, adverse diet, physical inactivity), disease outcomes, and quality of life. Study participants are from all levels of health and from all ages and racial groups.

The Epidemiology and Biometry Program supports and conducts research using:

- Field studies and clinical epidemiology
- Genetic epidemiology
- Analytical resources.

Investigators are conducting long-term epidemiological studies of heart and vascular, lung, and blood diseases in defined populations in the United States and other countries. These studies focus on the development and progression of CVD risk factors in children and young adults, the development and progression of atherosclerosis measured noninvasively or at autopsy in middle-aged or older adults, and the development and progression of overt cardiovascular and pulmonary disease in older adults. Areas of emphasis include genetic and environmental influences on CVD and its risk factors; trends in incidence, prevalence, and mortality from CVD, stroke, peripheral vascular disease, CHF, and cardiomyopathy; and relationships between insulin, insulin resistance, and overt diabetes and CVD and its risk factors. Another area of interest is the incidence of and mortality from cardiovascular, lung, and blood diseases. Research strategies apply family, longitudinal, demographic, and vital statistics to study their natural history, etiology, and epidemiology.

Genetic epidemiology has become an increasingly important component of the DECA Research Program. Several long-term studies of twins, multiple generations, Native Americans, and blacks focus on related individuals to estimate heritability and identify genes that contribute to the development of CVD risk factors and CVD. Other long-term studies are storing DNA and testing candidate genes from unrelated individuals. In addition to examining associations between CHD risk factors and development of atherosclerosis, heart failure, cardiomyopathy, and stroke in adults and the elderly, investigators will seek to identify and characterize genes related to CHD and atherosclerosis and to determine how they interact with environmental factors in the development of disease. Additional studies are underway to identify genetic factors influencing coronary and aortic calcification and individual variability in the inflammatory response and to investigate gene-environment interaction, collaborative approaches to linkage analysis, and population screening for genetic diseases.

The program also focuses on understanding the relationships between insulin, insulin resistance, overt diabe-

tes, and CVD and its risk factors. Scientists are attempting to find and characterize genes linked to risk factors that are associated with the insulin resistance syndrome and diabetes. Research strategies include family and longitudinal studies in racially diverse populations.

The Office of Biostatistics Research is responsible for providing statistical expertise to the Institute on planning, designing, implementing, and analyzing NHLBI-sponsored studies. When called upon, it develops new statistical solutions to problems for which techniques are not yet available. Designing efficient trials and monitoring data collection are important functions of the office. Research includes new methods for permitting extension or early suspension of ongoing randomized clinical trials, methods for analyzing complex survival data, trials with multiple endpoints, and trials involving multiple treatments.

## **National Center on Sleep Disorders Research**

An estimated 70 million people in the United States suffer from sleep problems, and nearly 60 percent of them have a chronic disorder. About 30 million U.S. adults have frequent or chronic insomnia, approximately 12 million have sleep apnea, and an estimated 250,000 have narcolepsy. Additionally, approximately 100,000 accidents and 1,500 traffic fatalities a year are sleep-related. More than 50 percent of Americans over age 65 have sleep difficulties. As the over-65 population grows, sleep problems will affect an even greater proportion of the U.S. population. Each year, sleep disorders, sleep deprivation, and excessive daytime sleepiness add \$16 billion to the national health care bill.

The NCSDR plans, directs, and supports a program of basic, clinical, and applied research, health education, research training, and prevention-related research in sleep, chronobiology, and sleep disorders. It oversees developments in its program areas; assesses the national needs for research on causes, diagnosis, treatment, and prevention of sleep disorders and sleepiness; and coordinates sleep research activities across the Federal Government and with professional, voluntary, and private organizations. The Center promotes information-sharing among them and encourages their cooperation to plan and implement relevant interdisciplinary programs.

In 2002, an NCSDR-appointed task force revised the first National Sleep Disorders Research Plan, which was released in 1996. The Trans-NIH Sleep Research Coor-

dinating Committee and the Sleep Disorders Research Advisory Board shared responsibility for approval of the final revised plan and also provided assistance. The updated plan summarizes the extensive progress in the field since that time, outlines the major gaps in knowledge, and concludes with a list of new research priorities.

The neurobiology of sleep and sleep apnea and the cardiovascular effects of sleep-related breathing disorders continue to be major areas of emphasis for the NCSDR. In FY 2002, new programs were initiated on sleep disorders in children and on the interrelationship of sleep to heart, lung, and blood diseases in children and adults. Workshops focusing on sleep, fatigue, and medical training; the role of sleep in memory; and cardiovascular and sleep-related consequences of temporomandibular disorders were held to identify gaps in knowledge and to prioritize opportunities for new research.

Multidisciplinary research training programs in sleep biology and sleep disorders are being supported to ensure that highly trained scientists are available to address important gaps in the current biomedical and biological understanding of sleep, including those outlined in the NIH Director's Sleep Disorders Research Plan. Among them is the Sleep Academic Award Program, designed to develop comprehensive curricula on sleep and sleep disorders for enhanced learning by medical students, residents and practicing physicians, and other health care professionals. In collaboration with the American Academy for Sleep Medicine, the Sleep Academic Award Program developed a Web page that includes more than 50 curricular resources for basic science and clinical educators in the health sciences.

The NCSDR continues to work closely with the NHLBI Office of Prevention, Education, and Control (OPEC) on sleep problems and sleep disorder education for physicians and the general public. A video program, "Sleep Apnea: Is Your Patient at Risk," was recently developed for clinicians and hospital staff as part of a continuing medical education series.

Reaching children and adolescents with messages about sleep and sleep disorders is a major priority. Educational activities for 2002 include developing a curriculum on the biology of sleep for high school science teachers, revamping the Garfield Star Sleep Campaign Web site, and convening a working group to address sleepiness in adolescents and young adults (ages 13 to 22).

## Women's Health Initiative

The WHI, established by the NIH in 1991, was transferred to the NHLBI on October 1, 1997. Its mission is to address the most common causes of death, disability, and impaired quality of life in postmenopausal women. These include heart disease, breast and colorectal cancer, and osteoporosis.

The WHI is a 15-year project consisting of three major components: a randomized, controlled, clinical trial of promising but unproven approaches to prevention; an observational study to identify predictors of disease; and a study of community approaches to developing healthful behaviors.

The clinical trial and the observational study include more than 161,000 women ages 50 to 79; approximately 18 percent are minorities. Specifically, the clinical trial, consisting of approximately 68,000 women to be followed for an average of 9 years, has three parts: HRT, diet modification, and calcium and vitamin D supplements. The HRT portion of the trial is investigating the risks and benefits of combined estrogen and progestin on CHD, breast cancer, and osteoporosis risk in women with a uterus; women who have had a hysterectomy before joining the WHI hormone program are given estrogen alone. The dietary modification portion is examining the ability of a diet low in fat but high in fruits, vegetables, and grains to prevent breast and colorectal cancers and heart disease and the calcium, and vitamin D supplements portion is seeking to determine the ability of the two nutrients to prevent fractures and reduce the risk of colorectal cancer.

Women who were ineligible or unwilling to participate in the clinical trial were encouraged to enroll in a concurrent long-term observational study that involves no specific intervention, but is tracking their medical history and health habits for 9 years. The study is looking for predictors and biological markers—including genetic markers—for disease.

A key component of the observation study is the introduction of new forms of HRT, in particular those that are from natural sources and those that are designer estrogens. Investigators will compare the data from the clinical trial with the data from the observational study to determine the benefits and risks of various forms of estrogen.

Forty clinical centers have recruited postmenopausal women for the clinical trial and the observational study. Ten of the centers recruited primarily minority populations: blacks, Hispanics, Asian Americans, and Pacific Islanders, and American Indians.

The community prevention study component is focusing on community-based strategies to enhance adoption of healthful behaviors, especially among women of different races, ethnic groups, and socioeconomic strata. Its goal is to develop carefully evaluated model programs that can be implemented in a wide range of communities throughout the United States. Areas of emphasis include reduction of CVD among black women; peer support among black women; environmental factors and physical activity in women; osteoporosis prevention, education, and outreach; diabetic care in minority women; methods to enhance physical activity in women; and women's attitudes regarding surgical menopause and HRT.

On July 9, 2002, the NHLBI announced an early end to the WHI's estrogen-plus-progestin trial, which was scheduled to run until 2005, because the risks outweighed the benefits. Specifically, investigators discovered increased risks of invasive breast cancer, heart attacks, stroke, and blood clots in study participants on the combined therapy compared to women taking placebo pills. They also found decreases in hip fractures and colon cancer in the treatment group compared to the control group. Although the actual increased risk of breast cancer or CVD for women on long-term estrogen plus progestin was very small—less than one-tenth of 1 percent per year—applied to the entire population of women over several years, its potential public health impact could be significant.

A separate study of estrogen alone among women who have had a hysterectomy is continuing, so the balance of risks and benefits for that treatment strategy is still unknown. Currently, the Data and Safety Monitoring Board has determined that the number of cases of invasive breast cancer has not exceeded the statistical boundary established to ensure participant safety.

### **Division of Intramural Research**

The DIR conducts clinical research on normal and pathophysiological functioning of the heart, lung, blood, and vascular systems, and basic research on normal and abnormal cellular behavior at the molecular level. In FY 2001, the clinical and laboratory research programs were

modified to consolidate some of the research effort. In the Clinical Research Program, the Cardiology and Vascular Biology Laboratories were combined to form the Cardiovascular Branch. In the Laboratory Research Program, the Laboratory of Developmental Biology was created, and the Laboratory of Molecular Biology was abolished.

Research foci of the 16 laboratories and branches and the core facilities range from structural organic chemistry to cardiology. Major areas of interest include mechanisms of gene regulation, gene transfer, and gene therapy; molecular basis of lipoprotein dysfunctions and atherogenic process; molecular basis of vascular diseases; molecular basis of diseases of alveolar structures of the lung and design of new therapeutic modalities; cellular and molecular events underlying ischemic heart disease and myocardial hypertrophy; biochemical events associated with aging and certain pathologic processes; molecular, structural, and developmental aspects of muscle and nonmuscle contractile systems; biochemistry and physiology of calcium channels; molecular and cellular processes for conversion of metabolic energy into useful work; molecular basis of transmembrane signaling and signal transduction pathways; pathophysiology of renal function at cellular and molecular levels; biochemistry of trace nutrients; enzyme kinetics, metabolic regulation, and protein chemistry; and cellular and molecular basis of toxicity induced by drugs and other foreign compounds.

The DIR is located on the 300-acre NIH campus in Bethesda. It has a staff of 723, including approximately 359 doctoral-level scientists, 65 of whom are in tenured or tenure-track positions. Approximately 150 guest workers contribute importantly to the research. This combined staff occupies a total space of about 115,000 square feet and has the use of 53 beds in the NIH Clinical Center.

### **Office of Prevention, Education, and Control**

The OPEC coordinates the translation and dissemination of research findings and scientific consensus to health professionals, patients, and the public so that information can be adapted for and integrated into health care practice and individual health behavior. To accomplish its mission, OPEC established health education programs and initiatives that address high blood pressure, high blood cholesterol, asthma, early warning signs of heart attack, obesity, and sleep disorders. The pro-

grams use two strategies: one focuses on individuals at high risk; the other focuses on the general public. The four largest programs have coordinating committees consisting of national medical, public health, and voluntary organizations and of other Federal agencies. These committees help to plan, implement, and evaluate program efforts in professional, patient, and public education.

The National High Blood Pressure Education Program (NHBPEP) was initiated in 1972 to reduce death and disability related to high blood pressure through professional, patient, and public education programs. It is a cooperative effort among the NHLBI, professional and voluntary health agencies, and State health departments that has served as a model for national health education programs and continues to be adopted by other national and international groups. Special attention is directed to reducing health disparities among hypertensives.

Since the program's inception, the number of people with hypertension aware of their condition has increased fourfold, and four times as many are treating and controlling their disease. Data from the National Health and Nutrition Examination Surveys (NHANES) indicate that over the past four decades, mean systolic blood pressure has declined by 10 mmHg and age-adjusted mortality rates from heart disease and stroke have fallen by 50 percent and 60 percent, respectively.

The program continues its mission of translating research results to improve medical care outcomes and the public's health. It is committed to raising public awareness of the importance of adopting a heart-healthy lifestyle. Research has identified steps that individuals can take to control their blood pressure and to lower their risk of heart disease. For example, certain dietary habits can decrease blood pressure and can prevent it from rising. The DASH diet—rich in fruits and vegetables, low in saturated and total fat and cholesterol, and containing low-fat dairy products—has been shown to be beneficial for individuals who have high blood pressure and for those who wish to prevent high blood pressure. Combined with a reduced salt intake, the diet can further lower blood pressure.

In 2002, community and professional activities focused on updating the Primary Prevention Report, encouraging communities to hold local events to mark May as National High Blood Pressure Education Month, and redesigning and expanding "Your Guide to Lowering High Blood Pressure" Web page. The NHLBI initi-

ated the development of a major repositioning strategy, which will include new partners, to enhance its position as the U.S. leader in high blood pressure prevention and control, raise the importance of high blood pressure on the national public agenda, and reach individual audiences by designing activities directed specifically to them. In addition, along with the SPRY (Setting Priorities for Retirement Years) Foundation, it launched a 2-year pilot project to raise awareness about high and high normal blood pressure; risk factors and treatment; and prevention strategies among multigenerations, from school-age children (ages 11 to 13) to older adults (ages 55 and over). The project involves various intervention components: a school-delivered curriculum module for middle school aged students with outreach to parents and grandparents, a senior center-delivered curriculum module for older adults, intergenerational workshops, and a training program for teachers and senior center personnel involved in implementing the program and community outreach.

The National Cholesterol Education Program (NCEP) was initiated in 1985 to educate health professionals and the public about high blood cholesterol as a risk factor for CHD and about the benefits of lowering cholesterol levels to reduce illness and deaths from CHD. From 1983 to 1995, the percentage of the public who had their cholesterol checked rose from 35 percent to 75 percent, showing that 70 million to 80 million more Americans were aware of their cholesterol levels in 1995 than in 1983. Moreover, in 1995 physicians reported initiating diet and drug treatment at much lower cholesterol levels than in 1983. Major elements of the NCEP guidelines for detection and treatment have become established practice.

NHANES III (1988–1994) data demonstrate that the NCEP's dual strategy—one emphasizing the need for detection and treatment for individuals whose high blood cholesterol places them at increased risk for CHD and the other encouraging heart-healthy eating patterns to lower average cholesterol levels for the general public—has had a substantial effect on measured blood cholesterol levels of U.S. adults. Since 1978, the intake of saturated fat, total fat, and cholesterol among the general public decreased significantly, resulting in an impressive decline in average blood cholesterol levels. The prevalence of high blood cholesterol in the U.S. population has also fallen significantly. Cholesterol levels in adolescents likewise have declined.

In 2002, the NCEP focused its attention on disseminating the new “Adult Treatment Panel III (ATP III) Guidelines” on managing high cholesterol in adults. It developed a Web-based kit of materials derived from the Guidelines to support cholesterol education for Cholesterol Month 2002 and throughout the year. An ATP III Opinion Leader Dissemination kit was distributed to influential members of the medical community to encourage them to use the Guidelines and communicate their importance to professional colleagues. The NCEP is producing a new patient booklet on therapeutic lifestyle changes based on the ATP III recommendations. Additional activities include developing an action plan for reducing lifetime risk for CHD and convening an international conference on scientific issues that should be addressed in developing cardiovascular guidelines. The NHLBI, the American College of Cardiology, and the American Heart Association issued a clinical advisory on the use and safety of statins—specifically focusing on myopathy—in response to concerns that arose after cerivastatin was voluntarily withdrawn from the market by its manufacturer. The advisory provides reassurance that the benefits of statins far outweigh the risks if patients are properly selected and attention is paid to possible side effects.

The National Asthma Education and Prevention Program (NAEPP) was initiated in March 1989 to raise awareness of asthma as a serious, chronic disease; to promote more effective management of asthma through professional, patient, and public education; and to provide up-to-date information on asthma care. The program works with schools, health care professionals, and patients to improve asthma care, prevent disruptions of daily routine, limit hospitalizations, and reduce deaths caused by uncontrolled asthma. Special attention is directed to minority populations who are at increased risk.

The dissemination and implementation of national guidelines on the diagnosis and management of asthma are major priorities. In 2002, based on a review of the evidence report on asthma management, a panel of experts updated selected topics of the 1997 Guidelines. The final document, Expert Panel Report: *Guidelines for the Diagnosis and Management of Asthma—Updates on Selected Topics 2002*, and a Quick Reference summary can be found on the NHLBI Web site. The NAEPP is currently evaluating its partnerships with a local asthma coalition program—a grassroots program established

especially in underserved, high-risk communities throughout the country—to gain information that will contribute to the development of innovative approaches to asthma management.

In 2002, the Federal Liaison Group on Asthma (FLGA), of which the NAEPP is a member, developed a briefing paper to explain the changes in the method for estimating asthma that caused confusion among the public and policymakers about asthma prevalence trends. The NAEPP participated in the preparation of three documents: the revised *Managing Asthma: A Guide for Schools*; *Making a Difference in the Management of Asthma: A Guide for Respiratory Therapists*; and *Key Clinical Activities for Quality Asthma Care*.

The National Heart Attack Alert Program (NHAAP) was initiated in June 1991 to reduce morbidity and mortality from MI, including out-of-hospital cardiac arrest, through education of health professionals (e.g., physicians, nurses, and emergency medical services personnel); patients; and the public about the importance of rapid identification and treatment of individuals with heart attack symptoms. In 1997, the program’s scope was broadened to include early identification and treatment of individuals with acute coronary syndromes such as unstable angina. Since its inception, the program has taught health care providers in emergency departments and emergency medical services systems about the importance of reducing the interval between a heart attack and treatment. Available treatments, if administered soon after heart attack symptoms start, can save lives and minimize heart muscle damage in heart attack survivors.

In 2001, the NHAAP, in partnership with the American Heart Association, the American Red Cross, and the National Council on Aging, launched a major campaign to urge physicians and health care providers to educate their patients about heart attack risk, warning signs, and steps to survival. As part of the campaign to increase awareness of the need to act fast when someone may be having a heart attack, the NHLBI established its “Act in Time to Heart Attack Signs” Web page with educational materials for health professionals, patients, and the public.

The Obesity Education Initiative (OEI) began in January 1991 to inform the public and health professionals about the health risks associated with overweight and obesity. Obesity is not only an independent risk factor

for CVD, but also a contributor to high blood pressure and high blood cholesterol and is related to sleep apnea.

In FY 2002, 50 at-risk communities belonging to the NHLBI Hearts N' Parks project, made a 3-year commitment to create model community-based programs to increase the number of children, adults, and seniors practicing heart-healthy behaviors. Its goal is to reduce obesity, improve nutritional status, and increase physical activity. The American Dietetic Association, in partnership with the project, is providing nutrition consultation. A Hearts 'N Parks Web page has been established with information on the program. The NHLBI was a partner on a Memorandum of Understanding between the Department of Health and Human Services (DHHS) and the National Recreation and Parks Association to address the leading health indicators of Healthy People 2010 (HP) related to physical inactivity and obesity and overweight. Other signers included the National Institute of Diabetes and Digestive and Kidney Diseases (NIDDK), the Centers for Disease Control and Prevention (CDC), the DHHS office of Disease Prevention and Health Promotion, and the President's Council on Physical Fitness and Sports.

The NHLBI also served on the Steering Committee for the Surgeon General's Call to Action to Prevent and Decrease Overweight and Obesity. The Call to Action, released in 2002, states that overweight and obesity are among the most pressing health challenges facing our Nation today and may soon cause as much preventable death and disease as cigarette smoking.

The NHLBI Women's Heart Health Education Initiative was launched in 2001 in response to the Women's Health Research and Prevention Amendments, Public Law 105-304, which requires the Institute Director "to expand, intensify, and coordinate research and related activities, including information and educational programs with respect to heart attack, stroke, and other cardiovascular diseases in women." The Institute held a strategy development workshop, "Women's Heart Health: Developing a National Health Education Action Plan," to plan an agenda for the new health education effort. As a result of the recommendations of the workshop, the Institute awarded a 3-year contract for planning and implementing a comprehensive public awareness and professional education program on women's heart health. In 2002, the Heart Truth—a campaign directed toward women 40 to 60 years of age and health professionals—was launched to increase awareness about heart

disease, improve detection and treatment of risk factors by health professionals, and motivate national and community organizations to become involved in heart-health education. Special attention is given to minority women who are at increased risk for developing CVD.

As a key part of its response to the HP 2010 Objectives for the Nation, the NHLBI initiated a new funding mechanism to establish CVD educational outreach programs in high-risk communities. The program—Enhanced Dissemination and Utilization Centers (EDUCs)—is a partnership between the NHLBI and local communities to eliminate cardiovascular health disparities and increase quality and years of health in underserved populations. In 2001, the Institute awarded EDUCs to high-risk health service areas in Arkansas, North Carolina, Texas, Virginia, and West Virginia to conduct educational projects targeting populations at greatest risk for heart disease and stroke. Multiple strategies to prevent and control CVD risk factors and to promote heart-healthy behavior have been designed specifically for different age groups, ranging from childhood to adulthood. Six additional EDUCs were awarded to areas in Maryland, Ohio (two), Colorado, Nebraska, and North Carolina in 2002.

The NHLBI Ad Hoc Committee on Minority Populations was established in 1975 to facilitate communication between minority communities and the NHBPEP. Its role has since expanded as the Institute developed new education and prevention programs. The committee includes health professionals from diverse cultural backgrounds with broad-based expertise in a variety of areas. Representing blacks, Hispanics, American Indians, Alaska Natives, Asian Americans, and Pacific Islanders, the committee provides important input on the Institute's minority initiatives.

A major goal of the Institute is to eliminate health disparities and to increase the quality and years of healthy life of all Americans. Through partnerships with groups that have special ties and access to targeted populations, the NHLBI is extending its outreach and educational activities to underserved communities. The Institute is collaborating with the Baltimore City Cardiovascular Health Partnership on a project that has a two-pronged strategy consisting of a population-wide public education campaign and a targeted subgroup outreach and education approach to build and reinforce positive cardiovascular health lifestyle skills and behaviors.

The targeted population consists of blacks who reside in Baltimore City public housing developments.

The Institute's *Salud para su Corazón* (Health for Your Heart) Initiative, a community-based heart-health program for Latinos, is expanding across the United States. Trained local lay health workers (*promotores*), applying values and culture of the communities and mobilizing partners, teach people how to reduce their risk of developing CVD. As advocates for change, they have increased the number of Latinos in their communities who are engaging in heart-health behaviors. In 2002, the NHLBI and the Health Resources and Services Administration signed an interagency agreement to expand the program to communities along the Texas-Mexico border and along the southern border areas of California and New Mexico.

The NHLBI-Indian Health Service Partnership to Strengthen the Heartbeat of American Indian and Alaskan Native Communities is a collaborative effort to educate three tribal communities—the Ponca Tribe of Oklahoma, the Bristol Bay Area in Western Alaska, and the Laguna Pueblo in New Mexico—about cardiovascular health and how to reduce their risk for CVD. In 2002, tribal heart-health teams received training on cardiovascular health, including physical activity, obesity, smoking prevention, nutrition, high blood cholesterol, and high blood pressure, as well as on theories of team building, evaluation, and community interaction and intervention. Since then, they have initiated community outreach educational activities on cardiovascular health and disease. In addition, they have developed connections with local organization to aid them with their mission.

Asian Americans and Pacific Islanders are a diverse and heterogeneous group with varying levels of CVD risk factors, acculturation, and socioeconomic status (SES) and with different cultures, languages, immigration history, and community norms related to health and well-being. In 2002, the NHLBI, along with the Asian and Pacific Islander American Health Forum, conducted health assessments among Americans of Philippine, Vietnamese, and Cambodian heritage to obtain information on their knowledge of and attitudes toward CVD and its risk factors, disease prevention, and health behavior. The assessments will guide the Institute in its development of culturally and language-appropriate materials and activities for these groups.

## International Activities

In addition to having national programs, the Institute is also a world leader in research and policy development in heart, lung, and blood diseases; sleep disorders; and blood resources. Through its international programs, the NHLBI is contributing to and benefits from the rapidly developing global knowledge base in medicine, science, and technology related to its mission. The Institute's international activities are conducted through multiple mechanisms, including government-to-government and institute-to-institute agreements; joint research projects; joint symposia and workshops; and joint documents, publications, grants, contracts, and fellowships. In addition, the Institute is providing training to international research fellows from approximately 35 countries in its laboratories.

Australia, China, Germany, India, Italy, Japan, Korea, Poland, Russia, and Vietnam are among the countries that maintain a collaborative working relationship with the NHLBI. The partnerships extend the benefits of the Institute's prevention and treatment programs to other countries.

The NHLBI, working with international organizations, contributes to worldwide health plans in areas within its mandated mission. The Director and the NHLBI staff serve as consultants to and partners with the Pan American Health Organization (PAHO), the Global Initiative on Asthma, the Global Initiative on Obstructive Lung Disease, and the World Health Organization (WHO). In 2000, the NHLBI Director began a 5-year term as president of the World Health League (WHL).

At the regional level, the NHLBI is addressing the pandemic of CVD in North, Central, and South America and the Caribbean through support of the Pan American Hypertension Initiative (PAHI), a public/private partnership initiated by the NHLBI and the PAHO in collaboration with seven international scientific organizations—the World Heart Federation, the Inter-American Heart Foundation, the Inter-American Society of Cardiology, the Inter-American Society of Hypertension, the Pan American Network of CARMEN Programs, the Latin American Society of Nephrology and Hypertension, and the WHL. The initiative seeks to reduce morbidity and mortality from CVD by controlling hypertension, a major risk factor for the disease, in an estimated 40 million people who already have the condition and by pre-

venting it in millions more at risk because of their unhealthy lifestyles. Significant reductions in the sequelae of heart attacks, stroke, heart failure, and premature deaths are expected to result from the PAHI.

In 2002, the NHLBI, in collaboration with the Giovanni Lorenzini Medical Science Foundation, the NIH Office of Research on Women's Health, and the NIH, published the International Position Paper on Women's Health and Menopause: A Comprehensive Approach. Information in the report is based on an extensive international review and evaluation of scientific evidence for current clinical practice as presented in the published literature. The document covers women's health and disease, specifically, menopause and aging, CVD, cancer, osteoporosis, Alzheimer's disease, and the role of hormone replacement therapy.

All of these activities strengthen the Institute's international partnerships and coalitions and extend the benefits of the Institute's national prevention and treatment programs to other countries.



## 3. Important Events

**June 16, 1948.** President Harry S Truman signs the National Heart Act, creating the National Heart Institute (NHI) in the Public Health Service (PHS), with the National Advisory Heart Council as its advisory body.

**July 7, 1948.** Dr. Paul Dudley White is selected to be “Executive Director of the National Advisory Heart Council and Chief Medical Advisor to the National Heart Institute” under section 4b of the National Heart Act.

**August 1, 1948.** The NHI is established as one of the National Institutes of Health (NIH) by Surgeon General Leonard A. Scheele. As legislated in the National Heart Act, the NHI assumes responsibility for heart research, training, and administration. Intramural research projects in cardiovascular diseases (CVD) and gerontology conducted elsewhere in the NIH are transferred to the NHI. The Director of the NHI assumes all leadership for the total PHS heart program. Dr. Cassius J. Van Slyke is appointed as the first Director of the NHI.

**August 29, 1948.** Surgeon General Scheele announces the membership of the first National Advisory Heart Council. Varying terms of membership for the 16-member Council commence September 1.

**September 8, 1948.** The National Advisory Heart Council holds its first meeting.

**January 1949.** Cooperative Research Units are established at four institutions: the University of California, the University of Minnesota, Tulane University, and Massachusetts General Hospital. Pending completion of the NHI’s own research organization and facilities, the Units are jointly financed by the NIH and the institutions.

**July 1, 1949.** The NHI Intramural Research Program is established and organized on three general research levels consisting of three laboratory sections, five laboratory-clinical sections, and four clinical sections. The Heart Disease Epidemiology Study at Framingham, Massachusetts, is transferred from the Bureau of State Services, PHS, to the NHI.

**January 18–20, 1950.** The NHI and the American Heart Association jointly sponsor the first National Conference on Cardiovascular Diseases to summarize current knowledge and to make recom-

mendations concerning further progress against heart and blood vessel diseases.

**December 1, 1952.** Dr. James Watt is appointed Director of the NHI, succeeding Dr. Van Slyke, who is appointed Associate Director of the NIH.

**July 6, 1953.** The Clinical Center admits its first patient for heart disease research.

**July 1, 1957.** The first members of the NHI Board of Scientific Counselors begin their terms. The Board was established in 1956 “to provide advice on matters of general policy, particularly from a long-range viewpoint, as they relate to the intramural research program.”

**February 19, 1959.** The American Heart Association and the NHI present a report to the Nation—*A Decade of Progress Against Cardiovascular Disease*.

**April 21, 1961.** The President’s Conference on Heart Disease and Cancer, whose participants on March 15 were requested by President John F. Kennedy to assist “in charting the Government’s further role in a national attack on these diseases,” convenes at the White House and submits its report.

**September 11, 1961.** Dr. Ralph E. Knutti is appointed Director of the NHI, succeeding Dr. Watt, who becomes head of international activities for the PHS.

**December 30, 1963.** February is designated as “American Heart Month” by a unanimous joint resolution of Congress with approval from President Lyndon B. Johnson.

**November 22–24, 1964.** The Second National Conference on Cardiovascular Diseases, cosponsored by the American Heart Association, the NHI, and the Heart Disease Control Program of the PHS, is held to evaluate progress since the 1950 Conference and to assess needs and goals for continued and accelerated growth against heart and blood vessel diseases.

**December 9, 1964.** The President’s Commission on Heart Disease, Cancer, and Stroke, appointed by President Lyndon B. Johnson on March 7, 1964, submits its report to “recommend steps that can be taken to reduce the burden and incidence of these diseases.”

**August 1, 1965.** Dr. William H. Stewart assumes the Directorship of the NHI upon Dr. Knutti's retirement.

**September 24, 1965.** Dr. William H. Stewart, NHI Director, is named Surgeon General of the PHS.

**October 6, 1965.** In FY 1966 Supplemental Appropriations Act (P.L. 89-199) allocates funds to implement the recommendations of the President's Commission on Heart Disease, Cancer, and Stroke that are within existing legislative authorities. The NHI is given \$5.05 million for new clinical training programs, additional graduate training grants, cardiovascular clinical research centers on cerebrovascular disease and thrombotic and hemorrhagic disorders, and planning grants for future specialized cardiovascular centers.

**March 8, 1966.** Dr. Robert P. Grant succeeds Dr. Stewart as Director of the NHI. Dr. Grant serves until his death on August 15, 1966.

**November 6, 1966.** Dr. Donald S. Fredrickson is appointed Director of the NHI.

**March 15, 1968.** Dr. Theodore Cooper succeeds Dr. Fredrickson as Director of the NHI, the latter electing to return to research activities with the Institute.

**October 16, 1968.** Dr. Marshall W. Nirenberg is awarded a Nobel Prize in physiology for discovering the key to deciphering the genetic code. Dr. Nirenberg, chief of the NHI Laboratory of Biochemical Genetics, is the first Nobel Laureate at the NIH and the first Federal employee to receive a Nobel Prize.

**October 26, 1968.** The NHI receives the National Hemophilia Foundation's Research and Scientific Achievement Award for its "medical leadership . . . , tremendous stimulation and support of research activities directly related to the study and treatment of hemophilia."

**November 14, 1968.** The 20th anniversary of the NHI is commemorated at the White House under the auspices of President Johnson and other distinguished guests.

**August 12, 1969.** A major NHI reorganization plan creates five program branches along disease category lines in extramural programs (arteriosclerotic disease, cardiac disease, pulmonary disease, hypertension and kidney diseases, and thrombotic and hemorrhagic diseases); a Therapeutic Evaluations Branch and an Epidemiology Branch under the Associate Director for Clinical Applications; and three offices in the Office of

the Director (heart information, program planning, and administrative management).

**November 10, 1969.** The NHI is redesignated by the Secretary, Health, Education, and Welfare (HEW), as the National Heart and Lung Institute (NHLI), reflecting a broadening scope of its functions.

**February 18, 1971.** President Richard M. Nixon's Health Message to Congress identifies sickle cell anemia as a high-priority disease and calls for increased Federal expenditures. The Assistant Secretary for Health and Scientific Affairs, HEW, is assigned lead-agency responsibility for coordination of the National Sickle Cell Disease Program at the NIH and NHLI.

**June 1971.** The Task Force on Arteriosclerosis, convened by Dr. Cooper, presents its report. Volume I addresses general aspects of the problem and presents the major conclusions and recommendations in nontechnical language. Volume II contains technical information on the state of knowledge and conclusions and recommendations in each of the following areas: atherogenesis, presymptomatic atherosclerosis, overt atherosclerosis, and rehabilitation.

**May 16, 1972.** The National Sickle Cell Anemia Control Act (P.L. 92-294) provides for a national diagnosis, control, treatment, and research program. The Act does not mention the NHLI but has special pertinence because the Institute has been designated to coordinate the National Sickle Cell Disease Program.

**June 12, 1972.** Elliot Richardson, Secretary, HEW, approves a nationwide program for high blood pressure information and education and appoints two committees to implement the program: the Hypertension Information and Education Advisory Committee, chaired by the Director, NIH, and the Interagency Working Group, chaired by the Director, NHLI. A High Blood Pressure Information Center is established within the NHLI Office of Information to collect and disseminate public and professional information about the disease.

**July 1972.** The NHLI launches its National High Blood Pressure Education Program (NHBPEP), a program of patient and professional education that has as its goal to reduce death and disability related to high blood pressure.

**July 14, 1972.** Secretary Richardson approves reorganization of the NHLI, with the Institute elevated to Bureau status within the NIH and comprising seven division-level components: Office of the Director, Division of Heart and Vascular Diseases, Division of Lung Dis-

eases, Division of Blood Diseases and Resources, Division of Intramural Research, Division of Technological Applications, and Division of Extramural Affairs.

**September 19, 1972.** The National Heart, Blood Vessel, Lung, and Blood Act of 1972 (P.L. 92-423) expands the authority of the Institute to advance the national attack on the diseases within its mandate. The act calls for intensified and coordinated Institute activities to be planned by the Director and reviewed by the National Heart and Lung Advisory Council.

**July 24, 1973.** The first Five-Year Plan for the National Heart, Blood Vessel, Lung, and Blood Program is transmitted to the President and to Congress.

**December 17, 1973.** The National Heart and Lung Advisory Council completes its *First Annual Report on the National Program*.

**February 13, 1974.** The Director of the NHLI forwards his *First Annual Report on the National Program* to the President for transmittal to Congress.

**April 5, 1974.** The Assistant Secretary for Health, HEW, authorizes release of the Report to the President by the President's Advisory Panel on Heart Disease. The report of the 20-member panel, chaired by Dr. John S. Millis, includes a survey of the problem of heart and blood vessel disorders and panel recommendations to reduce illness and death from them.

**August 2, 1974.** The Secretary, HEW, approves regulations governing the establishment, support, and operation of National Research and Demonstration Centers for heart, blood vessel, lung, and blood diseases, which implement section 415(b) of the PHS Act, as amended by the National Heart, Blood Vessel, Lung, and Blood Act of 1972: (1) to carry out basic and clinical research on heart, blood vessel, lung, and blood diseases; (2) to provide demonstrations of advanced methods of prevention, diagnosis, and treatment; and (3) to supply a training source for scientists and physicians concerned with the diseases.

**September 16, 1975.** Dr. Robert I. Levy is appointed Director of the NHLI, succeeding Dr. Theodore Cooper, who was appointed Deputy Assistant Secretary for Health, HEW, on April 19, 1974.

**June 25, 1976.** Legislation amending the Public Health Service Act (P.L. 94-278) changes the name of the NHLI to the National Heart, Lung, and Blood Institute (NHLBI) and provides for an expansion in blood-related

activities within the Institute and throughout the National Heart, Blood Vessel, Lung, and Blood Program.

**August 1, 1977.** The Biomedical Research Extension Act of 1977 (P.L. 95-83) reauthorizes the programs of the NHLBI, with continued emphasis on both the national program and related prevention and dissemination activities.

**February 1978.** The NHLBI and the American Heart Association jointly celebrate their 30th anniversaries.

**September 1979.** The Task Force on Hypertension, established in September 1975 to assess the state of hypertension research, completes its in-depth survey and recommendations for improved prevention, treatment, and control in 14 major areas. The recommendations are intended to guide the NHLBI in its future efforts.

**November 1979.** The results of the Hypertension Detection and Follow-up Program (HDFP), a major clinical trial started in 1971, provide evidence that tens of thousands of lives are being saved through treatment of mild hypertension and that perhaps thousands more could be saved annually if all people with mild hypertension were under treatment.

**November 21, 1980.** The Albert Lasker Special Public Health Award is presented to the NHLBI for its HDFP, "which stands alone among clinical studies in its profound potential benefit to millions of people."

**December 17, 1980.** The Health Programs Extension Act of 1980 (P.L. 96-538) reauthorizes the NHLBI, with continued emphasis on both the national program and related prevention programs.

**September 8, 1981.** The Working Group on Arteriosclerosis, convened in 1978 to assess present understanding, highlight unresolved problems, and emphasize opportunities for future research in arteriosclerosis, completes its report. Volume I presents conclusions and recommendations in nontechnical language. Volume II provides an in-depth substantive basis for the conclusions and recommendations contained in Volume I.

**October 2, 1981.** The Beta-Blocker Heart Attack Trial (BHAT) demonstrates benefits to those in the trial who received the drug propranolol compared with the control group.

**July 6, 1982.** Dr. Claude Lenfant is appointed Director of the NHLBI. He succeeds Dr. Robert I. Levy.

**September 1982.** The results of the Multiple Risk Factor Intervention Trial are released. They support mea-

sure to reduce cigarette smoking and to lower blood cholesterol to prevent CHD mortality but raise questions about optimal treatment of mild hypertension.

**October 26, 1983.** The Coronary Artery Surgery Study (CASS) results are released. They demonstrate that mildly symptomatic patients with coronary artery disease can safely defer coronary artery bypass surgery until symptoms worsen.

**January 12, 1984.** The results of the Lipid Research Clinics Coronary Primary Prevention Trial (LRC-CPPT) are released. They establish conclusively that reducing total blood cholesterol reduces the risk of CHD in men at increased risk because of elevated cholesterol levels. Each 1 percent decrease in cholesterol can be expected to reduce heart attack risk by 2 percent.

**April–September 1984.** The *Tenth Report of the Director, NHLBI*, commemorates the 10th anniversary of the passage of the National Heart, Blood Vessel, Lung, and Blood Act. The five-volume publication reviews 10 years of research progress and presents a 5-year research plan for the national program.

**April 1984.** The Division of Epidemiology and Clinical Applications is created. It provides the Institute with a single focus on clinical trials; prevention, demonstration, and education programs; behavioral medicine; nutrition; epidemiology; and biometry. It also provides new opportunities to examine the interrelationships of cardiovascular, respiratory, and blood diseases.

**November 1984.** In NHLBI-NIH Clinical Center interagency agreement for studies on the transmission of human immunodeficiency virus (HIV) from humans to chimpanzees leads to the first definitive evidence that the transmission is by blood transfusion.

**April 1985.** Results of Phase I of the Thrombolysis in Myocardial Infarction (TIMI) trial comparing streptokinase (SK) with recombinant tissue plasminogen activator (t-PA) are published. The new thrombolytic agent recombinant t-PA is approximately twice as effective as SK in opening thrombosed coronary arteries.

**October 1985.** The NHLBI Smoking Education Program (SEP) is initiated to increase health care provider awareness about clinical opportunities for smoking cessation programs, techniques for use within health care settings, and resources for use within communities to expand and reinforce such efforts.

**November 1985.** The NHLBI inaugurates the National Cholesterol Education Program (NCEP) to

increase awareness among health professionals and the public that elevated blood cholesterol is a cause of CHD and that reducing elevated blood cholesterol levels will contribute to the reduction of CHD.

**June 1986.** Results of the Prophylactic Penicillin Trial demonstrate the efficacy of prophylactic penicillin therapy in reducing the morbidity and mortality associated with pneumococcal infections in children with sickle cell disease.

**September 18, 1986.** The NHLBI sponsors events on the NIH campus in conjunction with the meeting of the X World Congress of Cardiology in Washington, DC. Activities include a special exhibit at the National Library of Medicine entitled “American Contributions to Cardiovascular Medicine and Surgery” and two symposia—“New Dimensions in Cardiovascular Disease Research” and “Cardiovascular Nursing and Nursing Research.”

**December 17, 1986.** The citizens of Framingham, Massachusetts, are presented a tribute by the Assistant Secretary for Health, Health and Human Services (HHS), for their participation in the Framingham Heart Study over the past 40 years.

**September 1987.** The NHLBI commemorates the centennial of the NIH and the 40th anniversary of the Institute’s inception. Two publications prepared for the Institute’s anniversary, *Forty Years of Achievement in Heart, Lung, and Blood Research* and *A Salute to the Past: A History of the National Heart, Lung, and Blood Institute*, document significant Institute contributions to research and summarize recollections about the Institute’s 40-year history.

**October 1987.** The National Blood Resource Education Program is established to ensure an adequate supply of safe blood and blood components to meet the Nation’s needs and to ensure that blood and blood components are transfused only when therapeutically appropriate.

**April 1988.** The NHLBI initiates its Minority Research Supplements program to provide supplemental funds to ongoing research grants for support of minority investigators added to research teams.

**September 1988.** AIDS research is added to the National Heart, Blood Vessel, Lung, and Blood Diseases and Blood Resources Program. It is the first area of research to be added since the Program was established in 1973.

**September 1988.** The NHLBI funds the first of its new Programs of Excellence in Molecular Biology, designed to foster the study of the organization, modification, and expression of the genome in areas of importance to the Institute and to encourage investigators to become skilled in the experimental strategies and techniques of modern molecular biology.

**September 1988.** The Strong Heart Study is initiated. It focuses on CVD morbidity and mortality rates and distribution of CVD risk factors in three geographically diverse American Indian groups.

**October 1988.** The National Marrow Donor Program is transferred from the Department of the Navy to the NHLBI. The Program, which serves as a focal point for bone marrow research, includes a national registry of volunteers who have offered to donate marrow for transplant to patients not having suitably matched relatives.

**March 1989.** The NHLBI initiates a National Asthma Education Program to raise awareness of asthma as a serious chronic disease and to promote more effective management of asthma through patient and professional education.

**May 1989.** The NHLBI Minority Access to Research Careers (MARC) Summer Research Training Program is initiated to provide an opportunity for MARC Honors Scholars to work with researchers in the NHLBI intramural laboratories.

**September 14, 1990.** The first human gene therapy protocol in history is undertaken at the NIH. A team of scientists, led by W. French Anderson, NHLBI, and R. Michael Blaese, National Cancer Institute, insert a normal gene into a patient's cells to compensate for a defective gene that left the patient's cells unable to produce an enzyme essential to the functioning of the body's immune system.

**January 1991.** The NHLBI Obesity Education Initiative (OEI) begins. Its objective is to make a concerted effort to educate the public and health professionals about obesity as an independent risk factor for CVD and its relationship to other risk factors, such as high blood pressure and high blood cholesterol.

**February 1991.** The expert panel of the National Asthma Education Program releases its report, *Guidelines for Diagnosis and Management of Asthma*, to educate physicians and other health care providers in asthma management.

**April 8–10, 1991.** The First National Conference on Cholesterol and Blood Pressure Control is attended by more than 1,800 health professionals.

**May 1991.** The Task Force on Hypertension, established in November 1989 to assess the state of hypertension research and to develop a plan for future NHLBI funding, presents its conclusions. The report outlines a set of scientific priorities and develops a comprehensive plan for support over the next several years.

**June 11, 1991.** The NHLBI initiates a National Heart Attack Alert Program (NHAAP) to reduce premature morbidity and mortality from acute MI and sudden death. The Program emphasizes rapid disease identification and treatment.

**July 1991.** Results of the Systolic Hypertension in the Elderly Program (SHEP) demonstrate that low-dose pharmacologic therapy of isolated systolic hypertension in those older than 60 years of age significantly reduces stroke and MI.

**August 1991.** Results of the Studies of Left Ventricular Dysfunction (SOLVD) are released. They demonstrate that use of the ACE inhibitor enalapril causes a significant reduction in mortality and hospitalization for CHF in patients with symptomatic heart failure.

**August 1991.** The NHLBI sponsors the first national workshop, "Physical Activity and Cardiovascular Health: Special Emphasis on Women and Youth," to assess the current knowledge in the field and to develop scientific priorities and plans for support. Recommendations from the Working Groups are published in the supplemental issue of *Medicine and Science in Sports and Exercise*.

**March 1992.** The *International Consensus Report on Diagnosis and Management of Asthma* is released. It is to be used by asthma specialists and medical opinion leaders to provide a framework for discussion of asthma management pertinent to their respective countries.

**March 1992.** Results of the Trials of Hypertension Prevention Phase I are published. They demonstrate that both weight loss and reduction of dietary salt reduce blood pressure in adults with high-normal diastolic blood pressure and may reduce the incidence of primary hypertension.

**June 26–27, 1992.** The Fourth National Minority Forum on Cardiovascular Health, Pulmonary Disorders, and Blood Resources is attended by nearly 600 individuals.

**October 11–13, 1992.** The First National Conference on Asthma Management is attended by more than 900 individuals.

**October 30, 1992.** A celebration of the 20th anniversary of the NHBPEP is held in conjunction with the NHBPEP Coordinating Committee meeting. The *Fifth Report of the Joint National Committee on the Detection,*

*Evaluation, and Treatment of High Blood Pressure (JNC V)* and the *NHBPEP Working Group Report on the Primary Prevention of Hypertension* are released.

**June 10, 1993.** The NIH Revitalization Act of 1993 (P.L. 103-43) establishes the National Center on Sleep Disorders Research within the NHLBI.

**June 15, 1993.** The *Second Report of the Expert Panel on Detection, Evaluation, and Treatment of High Blood Cholesterol in Adults (ATP II)* is released to the public at a press conference held in conjunction with the NCEP Coordinating Committee meeting.

**January 30, 1995.** Results of the Multicenter Study of Hydroxyurea are released through a clinical alert. They demonstrate that hydroxyurea reduced the number of painful episodes by 50 percent in severely affected adults with sickle cell disease. This is the first effective treatment for adult patients with this disorder.

**September 1995.** The NHLBI funds a new Program of Specialized Centers of Research in Hematopoietic Stem Cell Biology, which is designed to advance our knowledge of stem cell biology and enhance our ability to achieve successful stem cell therapy to cure genetic and acquired diseases.

**September 21, 1995.** Results of the Bypass Angioplasty Revascularization Investigation are released through a clinical alert. They demonstrate that patients on drug treatment for diabetes who had blockages in two or more coronary arteries and were treated with coronary artery bypass graft (CABG) surgery had, at 5 years, a death rate markedly lower than that of similar patients treated with angioplasty. The clinical alert recommends CABG over standard angioplasty for patients on drug therapy for diabetes who have multiple coronary blockages and are first-time candidates for either procedure.

**November 5–6, 1995.** The first Conference on Socioeconomic Status (SES) and Cardiovascular Health and Disease is held to determine future opportunities and needs for research on SES factors and their relationships with cardiovascular health and disease.

**December 4–5, 1995.** A celebration of the 10th anniversary of the NCEP is held in conjunction with the NCEP Coordinating Committee meeting. Results of the 1995 Cholesterol Awareness Surveys of physicians and the public are released.

**May 21, 1996.** The NHLBI announces results from the Framingham Heart Study that conclude earlier and more aggressive treatment of hypertension is vital to pre-

venting congestive heart failure. Lifestyle changes, such as weight loss, a healthy eating plan, and physical activity, are crucial for reducing blood lipids in those treated for Stage I hypertension.

**September 1996.** Findings from the Asthma Clinical Research Network show that for people with asthma, taking an inhaled beta-agonist at regularly scheduled times is safe but provides no greater benefit than taking the medication only when asthma symptoms occur. The recommendation to physicians who treat patients with mild asthma is to prescribe inhaled beta-agonists only on an as-needed basis.

**November 13, 1996.** The NHLBI releases findings from two studies, Dietary Approaches to Stop Hypertension (DASH) Trial and Trial of Nonpharmacologic Intervention in the Elderly (TONE). The DASH Trial demonstrates that a diet low in fat and high in vegetables, fruits, fiber, and low-fat dairy products significantly and quickly lowers blood pressure. The TONE shows that weight loss and reduction of dietary sodium safely reduce the need for antihypertensive medication in older patients while keeping their blood pressure under control.

**January 1997.** Definitive results from the Pathobiological Determinants of Atherosclerosis in Youth (PDAY) program are published. They show that atherosclerosis develops before age 20 and that the risk factors high-density lipoprotein cholesterol, low-density lipoprotein cholesterol, and cigarette smoking affect the progression of atherosclerosis equally in women and men, regardless of race.

**February 24, 1997.** The National Asthma Education and Prevention Program releases the *Expert Panel Report 2, Guidelines for the Diagnosis and Management of Asthma* to the public at a press conference held in conjunction with a meeting of the American Academy of Allergy, Asthma, and Immunology in San Francisco.

**May 8, 1997.** Results of the Antiarrhythmic Versus Implantable Defibrillator (AVID) clinical trial are presented. They show that an implantable cardiac defibrillator reduces mortality compared to pharmacologic therapy in patients at high risk for sudden cardiac death.

**September 1997.** The Stroke Prevention Trial in Sickle Cell Anemia (STOP) is terminated early because prophylactic transfusion resulted in a 90 percent relative decrease in the stroke rate among children 2 to 16 years old.

**September 1997.** The Institute's National Sickle Cell Disease Program celebrates its 25th anniversary.

**October 1997.** The NHLBI commemorates the 50th anniversary of the Institute's inception. A publication prepared for the Institute's anniversary, *Vital Signs: Discoveries in diseases of the heart, lungs, and blood* documents the remarkable research advances of the past 50 years.

**October 1, 1997.** The Women's Health Initiative, initiated in 1991, is transferred to the NHLBI.

**November 6, 1997.** The *Sixth Report of the Joint National Committee on the Prevention, Detection, Evaluation, and Treatment of High Blood Pressure (JNC VI)* is released at a press conference held in conjunction with the 25th anniversary meeting and celebration of the National High Blood Pressure Education Program Coordinating Committee.

**December 1997.** Findings from the Trial to Reduce Alloimmunization to Platelets (TRAP) demonstrate that leucocyte reduction by filtration or ultraviolet B irradiation of platelets—both methods are equally effective—decreases development of lymphocytotoxic antibodies and alloimmune platelet refractoriness.

**February 1998.** The Task Force on Behavioral Research in Cardiovascular, Lung, and Blood Health and Disease, established in November 1995 to develop a plan for future NHLBI bio-behavioral research in cardiovascular, lung, and blood diseases and sleep disorders, presents its recommendations. The report outlines a set of scientific priorities and develops a comprehensive plan for support over the next several years.

**February 19–21, 1998.** The NHLBI and cosponsors—California CVD Prevention Coalition; California Department of Health Services; CVD Outreach, Resources, and Epidemiology Program; and the University of California, San Francisco—hold Cardiovascular Health: Coming Together for the 21st Century, A National Conference, in San Francisco.

**March 16, 1998.** A special symposium is held at the annual meeting of the American Academy of Asthma, Allergy, and Immunology to celebrate 50 years of NHLBI-supported science.

**June 17, 1998.** The NHLBI, in cooperation with the NIDDK, releases *Clinical Guidelines on the Identification, Treatment, and Evaluation of Overweight and Obesity in Adults: Evidence Report*.

**December 11, 1998.** World Asthma Day is established on this date. The NAEPP launches the Asthma Management Model System, an innovative Web-based information management tool.

**March 1999.** The Acute Respiratory Distress Syndrome (ARDS) Network Study of Ventilator Management in ARDS is stopped early so that critical care specialists can be alerted to the results. The study demonstrated that approximately 25 percent fewer deaths occurred among intensive care patients with ARDS receiving small, rather than large, breaths of air from a mechanical ventilator.

**March 22, 1999.** The NAEPP holds its 10th anniversary meeting and celebration to recognize a decade of progress and a continued commitment to the future.

**August 1999.** Results of the Early Revascularization for Cardiogenic Shock are released. They show improved survival at 6 months in patients treated with balloon angioplasty or coronary bypass surgery compared with patients who receive intensive medical care to stabilize their condition.

**September 27–29, 1999.** The NHLBI sponsors the National Conference on Cardiovascular Disease Prevention: Meeting the Healthy People 2010 Objectives for Cardiovascular Health.

**November 2, 1999.** The NAEPP convenes a Workshop on Strengthening Asthma Coalitions: Thinking Globally, Acting Locally to gather information from coalition representatives on ways the NAEPP could support their efforts.

**November 2–3, 1999.** The NHLBI sponsors a Workshop on Research Training and Career Development.

**March 8, 2000.** A part of the Antihypertensive and Lipid-Lowering Treatment to Prevent Heart Attack Trial (ALLHAT) is terminated early because one of the tested drugs, an alpha-adrenergic blocker, was found to be less effective than the more traditional diuretic in reducing some forms of CVD.

**March 29, 2000.** The NHLBI launches the Web-based Healthy People 2010 Gateway to provide information and resources on cardiovascular health, asthma, sleep, and minority populations.

**April 25, 2000.** The NHLBI sponsors a special expert meeting, Scientific Frontiers in Cardiothoracic Surgery, to discuss the future of cardiothoracic research.

**September 2000.** NHLBI-supported investigators identify a gene for primary pulmonary hypertension.

**January 2001.** Results of the DASH-Sodium Trial are released. They show that dietary sodium reduction substantially lowers blood pressure in persons with high blood pressure; the greatest effect occurs when sodium reduction is combined with the DASH diet.

**February 2001.** The NHLBI launches a sleep education program for children, using star sleeper Garfield the Cat.

**February 1, 2001.** The NHLBI, along with the DHHS Office of Disease Prevention and Health Promotion, the Office of the Surgeon General, the Centers for Disease Control and Prevention, the National Institute of Neurological Disorders and Stroke, and the American Heart Association, signs a memorandum of understanding to focus and coordinate their efforts to meet the Healthy People 2010 objectives on cardiovascular health.

**March 26–27, 2001.** A strategy development workshop, “Women’s Heart Health: Developing a National Health Education Action Plan,” is held to develop an agenda for the NHLBI’s new heart health education effort directed at women.

**April 2001.** The NHLBI releases the international guidelines for diagnosis, management, and prevention of COPD.

**April 2001.** NHLBI-supported investigators identify genes that regulate human cholesterol levels.

**May 2001.** The NHLBI releases the NCEP’s new Adult Treatment Panel III (ATP III) guidelines for the detection, evaluation, and treatment of high blood cholesterol in adults.

**June 2001.** NHLBI-supported investigators find that human heart muscle cells regenerate after a heart attack.

**July 2001.** A self-contained artificial heart is implanted in a patient for the first time.

**August 2001.** Early results from the National Emphysema Treatment Trial identify characteristics of patients at high risk for death following lung volume reduction surgery.

**August 2001.** Scientists from the NHLBI SCOR program at Yale University identify two genes responsible for pseudohypoaldosteronism type II, a rare Mendelian form of high blood pressure. These genes encode for

protein kinases involved in a previously unknown pathway and may provide new targets for therapy.

**September 10, 2001.** The NHLBI, along with the American Heart Association and other partners, launches a national campaign, “Act in Time to Heart Attack Signs,” to increase awareness of the signs of heart attack and the need for a fast response.

**October 2001.** NHLBI-supported scientists report that the drug, infliximab, increases risk of TB reactivation and dissemination. The drug is used to treat refractory rheumatoid arthritis and Crohn’s disease and is proposed as a treatment for several chronic lung diseases.

**November 2001.** Results of the Randomized Evaluation of Mechanical Assistance for the Treatment of Chronic Heart Failure Trial demonstrate that using a wearable left ventricular assist device can prolong survival and improve quality of life in severely ill patients who are not candidates for heart transplantation.

**December 2001.** For the first time, scientists correct SCD in mice using gene therapy.

**April 10, 2002.** The WHL and the NHLBI hold an international symposium; subsequently they prepare an action plan at the WHL Council Conference to control hypertension and obesity.

**April 11–13, 2002.** The NHLBI and cosponsors—the DHHS Office of Disease Prevention and Health Promotion, the Centers for Disease Control and Prevention, the American Heart Association, the Centers for Medicare and Medicaid Services, and the Health Resources and Services Administration—hold a national conference, “Cardiovascular Health for All: Meeting the Challenge of Healthy People 2010.”

**June 2002.** The NAEPP issues an update of selected topics in the *Guidelines for the Diagnosis and Management of Asthma*.

**July 9, 2002.** The NHLBI stops early the trial of the estrogen plus progestin component of the WHI due to increased breast cancer risk and lack of overall benefits. The multicenter trial also found increases in CHD, stroke, and pulmonary embolism in participants on estrogen plus progestin compared to women taking placebo pills.

**August 2002.** NHLBI-supported scientists identify a gene variant that is associated with arrhythmia in African Americans.



## 4. Disease Statistics

Cardiovascular, lung, and blood diseases constitute a large morbidity, mortality, and economic burden on individuals, families, and the Nation. Common forms are atherosclerosis, hypertension, COPD, and blood-clotting disorders—embolisms and thromboses. The most serious atherosclerotic diseases are CHD, as manifested by heart attack and angina pectoris, and cerebrovascular disease, as manifested by stroke.

In 2000, cardiovascular, lung, and blood diseases accounted for 1,175,000 deaths and 49 percent of all deaths in the United States (p. 37). The projected economic cost in 2003 for these diseases is expected to be \$489 billion, 23 percent of the total economic costs of illness, injuries, and death (p. 53). Of all diseases, heart disease is the leading cause of death, cerebrovascular disease is third (behind cancer), and COPD (including asthma) ranks fourth (p. 40). Cardiovascular and lung diseases account for three of the four leading causes of death (p. 40) and four of the six leading causes of infant death (p. 46). Hypertension, heart disease, asthma, and chronic bronchitis are especially prevalent and account for substantial morbidity in Americans (p. 49). Increases in prevalence have been greatest for asthma and CHF.

The purpose of the biomedical research conducted by the NHLBI is to contribute to the prevention and treatment of cardiovascular, lung, and blood diseases. National disease statistics show that by mid-century, morbidity and mortality from these diseases had reached record high levels. Since then, however, substantial improvements have been achieved, especially over the past 30 years, as shown by the significant decline in mortality rates. Because many of these diseases begin early in life, their early detection and control can reduce the risk of disability and can delay death. Although important advances have been made in the treatment and control of cardiovascular, lung, and blood diseases, these diseases continue to be a major burden on the Nation.

### Cardiovascular Diseases

- In 2000, CVD caused 946,000 deaths—39 percent of all deaths (p. 37).
- Heart disease is the leading cause of death; the main form, CHD, caused 515,000 deaths in 2000 (pp. 38, 40).
- The annual number of deaths from CVD increased substantially between 1900 and 1970 (p. 39). This trend ended even though the population continues to increase and age.
- Total CVD mortality from all ages combined, measured by the crude death rate, changed from an increasing to a decreasing trend with a peak in 1968. By 1995, the rate achieved was similar to the rate in 1936 (p. 39).
- Cerebrovascular disease, the third leading cause of death, accounted for 168,000 deaths in 2000 (pp. 38, 40).
- Heart disease is second only to all cancers combined in years of potential life lost (p. 40).
- Among minority groups, heart disease ranks first, and stroke ranks fifth or higher as the leading causes of death (p. 40).
- The steep decline in age-adjusted death rate for CVD means a substantial reduction in annual risk of death for an individual of any age. The smaller reduction in crude death rate reflects the impact of an aging population that is growing over time, so that the overall national mortality burden of CVD remains at a high level compared with other causes of death (pp. 39, 41).
- The rapid increase in deaths due to CHF between 1968 and 2000 is a major exception to the mortality decline in CVD (p. 41).
- Between 1985 and 2000, death rates for heart disease and stroke declined for men and women in all racial/ethnic groups (p. 42).
- Because of the rapid decline in mortality from CHD since the peak in 1968, there were 814,000 fewer deaths from CHD in 2000 than would have occurred if there had been no decline (p. 43).

- Substantial improvements have been made in the treatment of CVD. Since 1975, case-fatality rates from hospitalized AMI, stroke, cardiac dysrhythmia, and CHF patients declined appreciably (p. 43).
- The decline in CHD mortality began earlier in the United States than in most countries and outpaced that in most countries (only selected countries are shown) (p. 44).
- Between 1990 and 2000, the percent decline in death rates for CHD was greatest among white males and least among black females (p. 45).
- In 2000, an estimated 61.8 million persons in the United States had some form of CVD; 50 million had hypertension, and almost 13 million had CHD (p. 49).
- Since the 1960s, there has been a substantial reduction in the prevalence of CVD risk factors: hypertension, smoking, and high cholesterol, but not overweight (p. 50).
- A 1988–94 national survey showed that many more people with hypertension (systolic BP > 160 mmHg or diastolic BP > 95 mmHg or on antihypertensive medication) were aware of their condition and had it treated and controlled compared with individuals with hypertension in previous years (p. 51).
- A 1999–2000 national survey showed only 31 percent of hypertensive patients (systolic BP > 140 mmHg or diastolic BP > 90 mmHg or on antihypertensive medication) had their condition under control (p. 51).
- Hospitalization rates for CHF increased between 1971 and 2000 (p. 52).
- The estimate of economic cost of CVD is expected to be \$352 billion in 2003:
  - \$209 billion in direct health expenditures
  - \$32 billion in indirect cost of morbidity
  - \$110 billion in indirect cost of mortality (p. 53).

## Lung Diseases

- Lung diseases, excluding lung cancer, caused an estimated 233,000 deaths in 2000 (p. 37).
- COPD caused 117,000 deaths in 2000 and is the fourth leading cause of death (pp. 38, 40).
- Between 1990 and 2000, death rates for COPD increased substantially in women and decreased slightly in men; mortality for asthma increased in black women but decreased in white women and in men (p. 45).
- Between 1980 and 2000, infant death rates for various lung diseases declined markedly (p. 45).
- Of the six leading causes of infant mortality, four are lung diseases or have a lung disease component (p. 46). Between 1990 and 2000, changes in mortality for the causes were:
  - Congenital anomalies (-21 percent)
  - Disorders of short gestation (+1 percent)
  - Sudden infant death syndrome (SIDS) (-54 percent)
  - Respiratory distress syndrome (RDS) (-65 percent).
- Lung diseases accounted for 22 percent of all deaths under 1 year of age in 2000 (p. 46).
- The COPD death rate for women in the United States is increasing significantly compared with the rates in several other countries (p. 47).
- Between 1985 and 2000, death rates for COPD increased for women in all racial/ethnic groups. Among men, they increased in blacks and American Indians, but declined in whites and Hispanics (p. 48).
- Sleep disorders are increasingly being recognized as an important health problem. The number of physician office visits for sleep apnea, insomnia, restless legs syndrome, narcolepsy, and other major sleep disorders increased from 710,000 in 1989 to 4,690,000 in 2000 (p. 48).
- Asthma is a common chronic condition, particularly in children (pp. 49, 50, 52).
- The economic cost of lung diseases is expected to be \$126 billion in 2003—\$70 billion in direct health expenditures and \$56 billion in indirect cost of morbidity and mortality (p. 53).

## Blood Diseases

- An estimated 263,000 deaths, 11 percent of all deaths, were attributed to blood diseases in 2000 (p. 37). These include the following:
  - 254,000 due to blood-clotting disorders
  - 9,000 to diseases of the red blood cell and bleeding disorders (p. 38).
- A large proportion of deaths from acute MI and cerebrovascular disease involve blood-clotting problems (p. 38).
- In 2003, blood-clotting disorders are expected to cost the nation's economy \$83 billion, and other blood diseases will cost \$11 billion (p. 53).
- The mean age at death for persons with sickle cell anemia increased from about 28 years in 1979 to 36.9 years in 2000 (not shown).
- Each year, an estimated 14 million units of blood are collected from 8 million donors and transfused to about 4.5 million patients (not shown).

## Deaths From All Causes and Deaths From Cardiovascular, Lung, and Blood Diseases, U.S., 1980 and 2000

Cause of Death	1980		2000	
	Number of Deaths	Percent of Total	Number of Deaths	Percent of Total
All Causes	1,990,000	100	2,403,000	100
All Cardiovascular, Lung, and Blood Diseases	1,146,000	58	1,175,000	49
Cardiovascular Diseases	1,000,000	50	946,000	39
Blood	344,000*	16	263,000†	11
Lung	150,000‡	8	233,000‡	10
All Other Causes	844,000	42	1,228,000	51

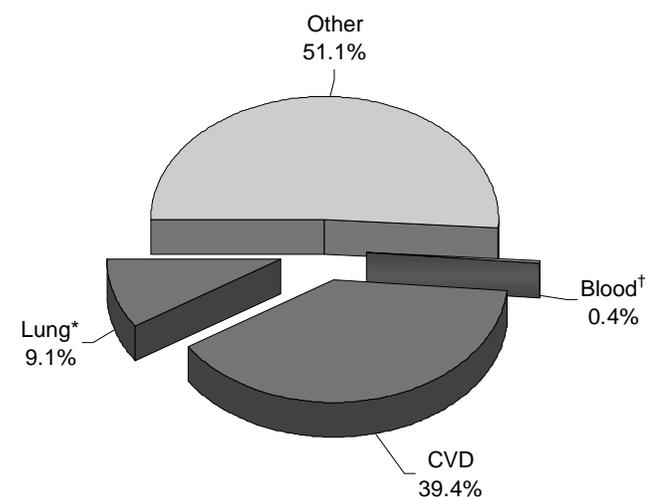
\* Includes 328,000 CVD deaths involving blood clotting.

† Includes 254,000 CVD deaths involving blood-clotting disease.

‡ Includes 11,000 CVD deaths due to pulmonary heart disease in 1980 and 13,000 in 2000.

Source: Vital Statistics of the United States, National Center for Health Statistics (NCHS).

### Deaths by Major Causes, U.S., 2000

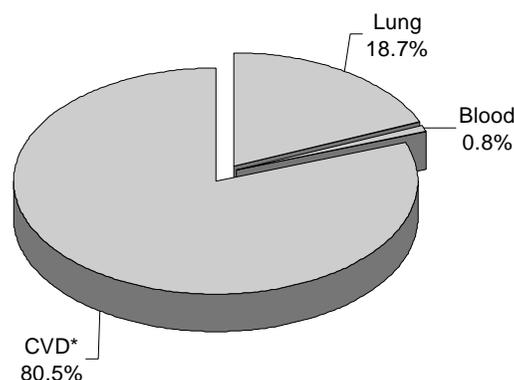


■ Total Cardiovascular, Lung, and Blood Diseases 48.9%

\* Excludes deaths from pulmonary heart disease.

† Excludes deaths from blood-clotting disorders and pulmonary embolism (10.8%).

### Deaths From Cardiovascular, Lung, and Blood Diseases, U.S., 2000



\* CVD involving blood clotting (21.6%).

Note: Numbers may not add to total due to rounding.

## Deaths From Specific Cardiovascular, Lung, and Blood Diseases, U.S., 2000

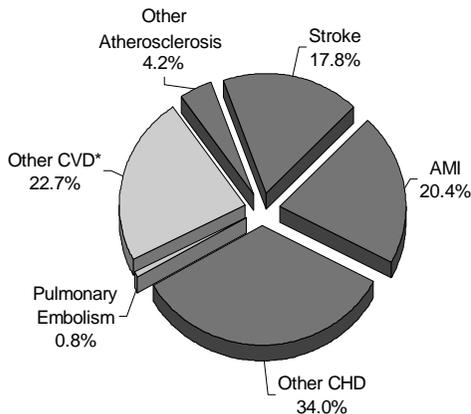
Cause of Death	Deaths (Thousands)		
	Cardiovascular	Lung	Blood
Acute Myocardial Infarction	193	—	131*
Other Coronary Heart Disease	322	—	—
Cerebrovascular Diseases (Stroke)	168	—	110*
Other Atherosclerosis	40	—	4*
Pulmonary Embolism	8	8*	9*
Other Cardiovascular Diseases	215	5*	—
Bleeding and Red Blood Cell Diseases	—	—	9
Chronic Obstructive Pulmonary Disease	—	117	—
Asthma	—	4	—
Other Airway Diseases	—	1	—
Pneumonia	—	65	—
Neonatal Pulmonary Disorders	—	5	—
Interstitial Lung Diseases	—	5	—
Lung Diseases Due to External Agents	—	18	—
Other Lung Diseases	—	5	—
<b>Total</b>	<b>946</b>	<b>233</b>	<b>263</b>

\* Deaths from clotting or pulmonary disorders are included also as cardiovascular deaths.

Note: Total, excluding overlap, is 1,175,000.

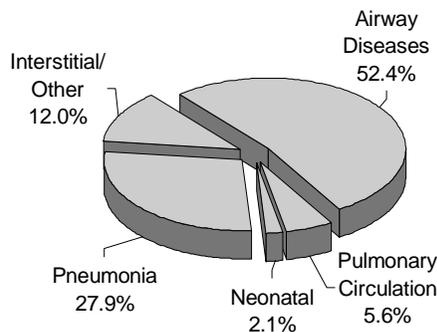
Source: Estimated by the NHLBI from Vital Statistics of the United States, NCHS.

### Deaths From Cardiovascular Diseases, U.S., 2000

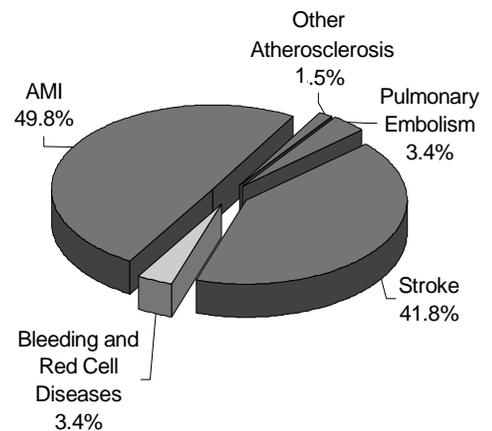


■ Atherosclerosis-Related Disease 76.4%

### Deaths From Lung Diseases, U.S., 2000



### Deaths From Blood Diseases, U.S., 2000



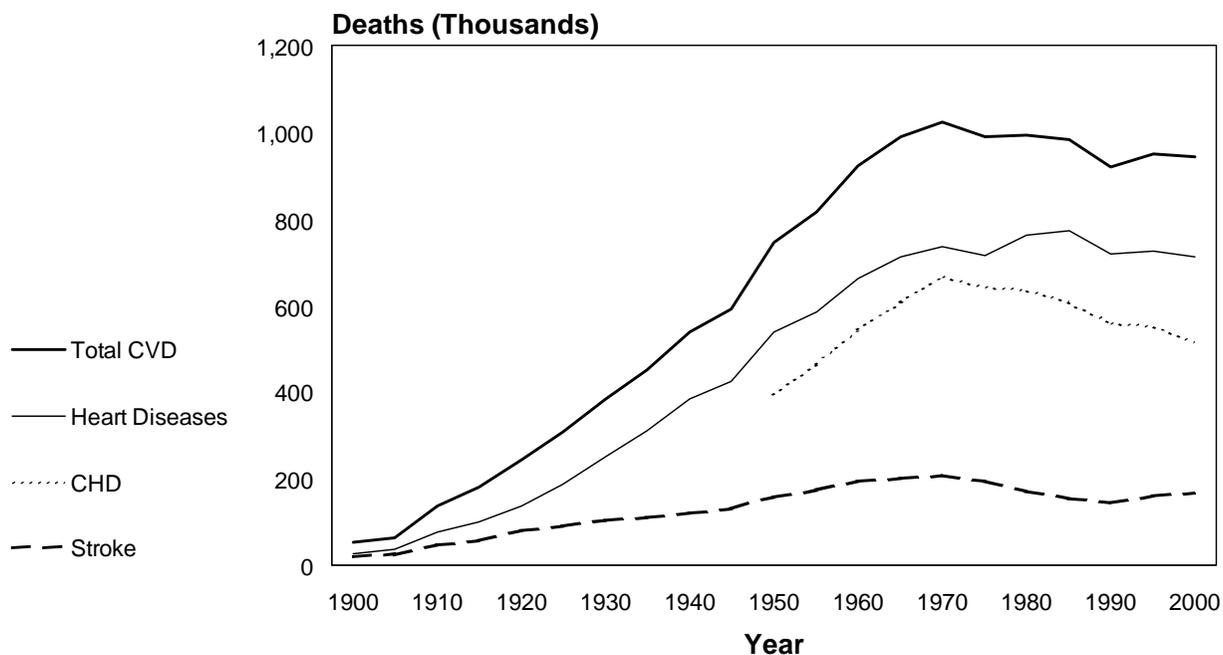
■ Blood-Clotting Disorders 96.6%

\* Includes cardiac failure, cardiac dysrhythmias, hypertensive disease, and other heart and blood vessel diseases.

Note: Numbers may not add to total due to rounding.

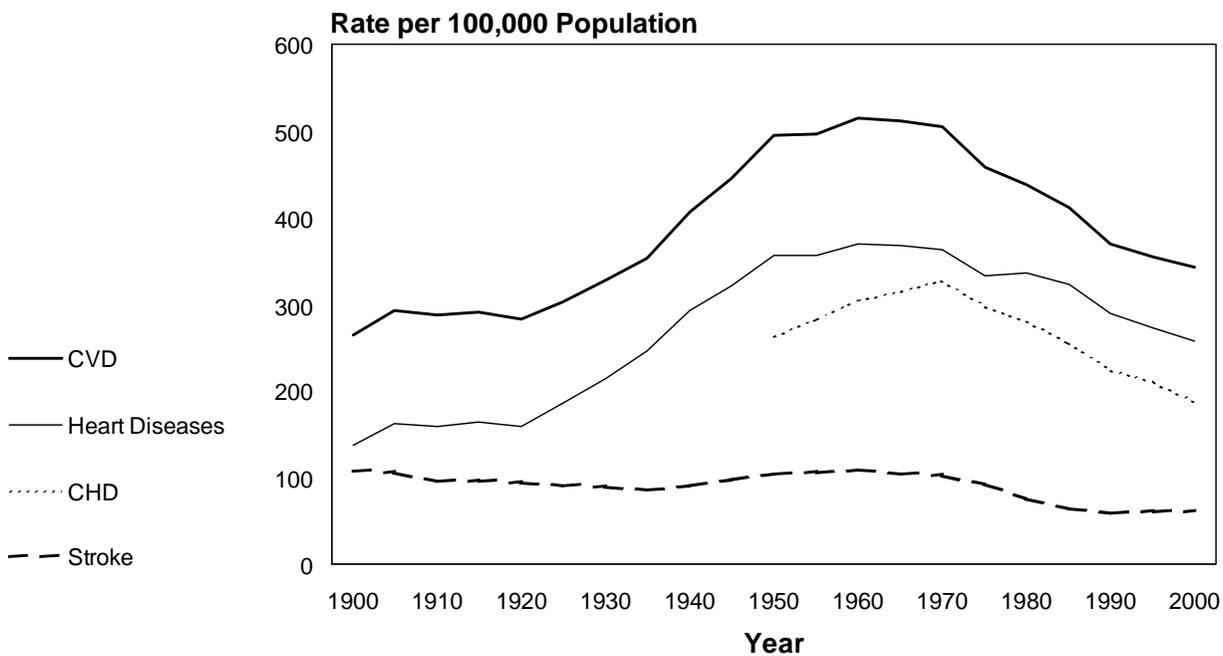
Source: Estimated by the NHLBI from Vital Statistics of the United States, NCHS.

### Deaths From Cardiovascular Diseases, U.S., 1900–2000



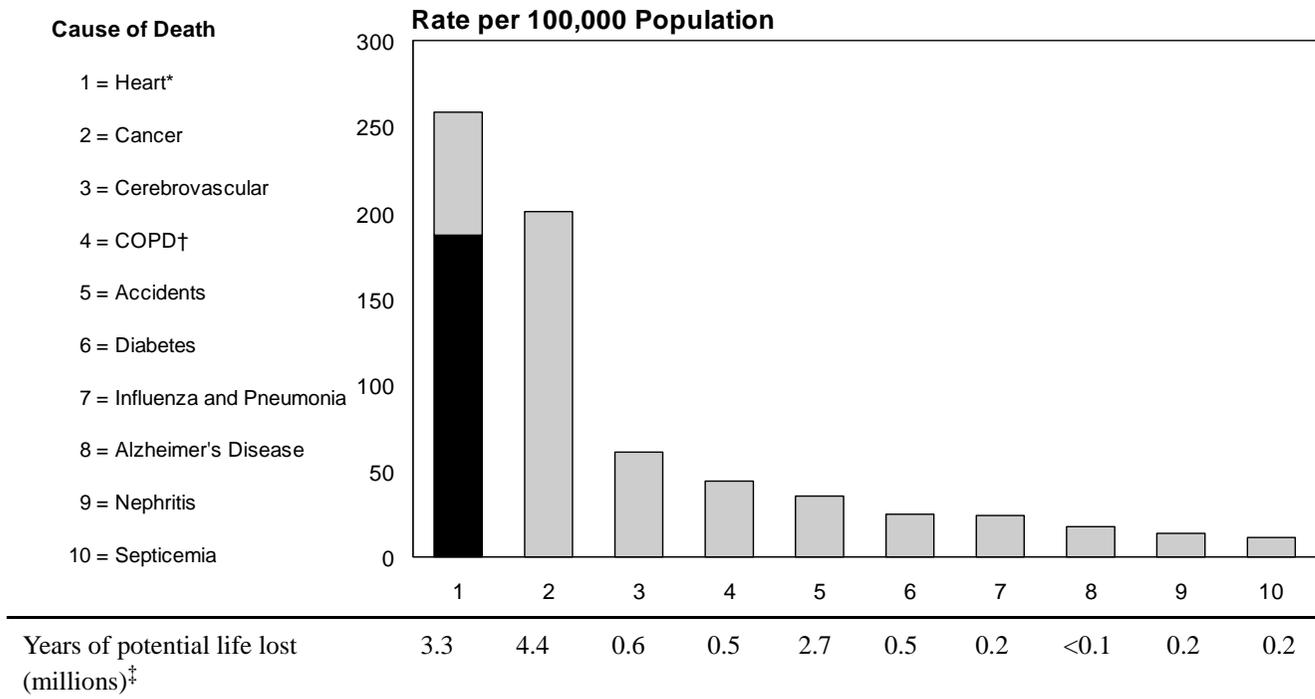
Source: Vital Statistics of the United States, NCHS.

### Death Rates\* for Cardiovascular Diseases, U.S., 1900–2000



\* Not age-adjusted.  
Source: Vital Statistics of the United States, NCHS.

### Ten Leading Causes of Death: Death Rates, U.S., 2000



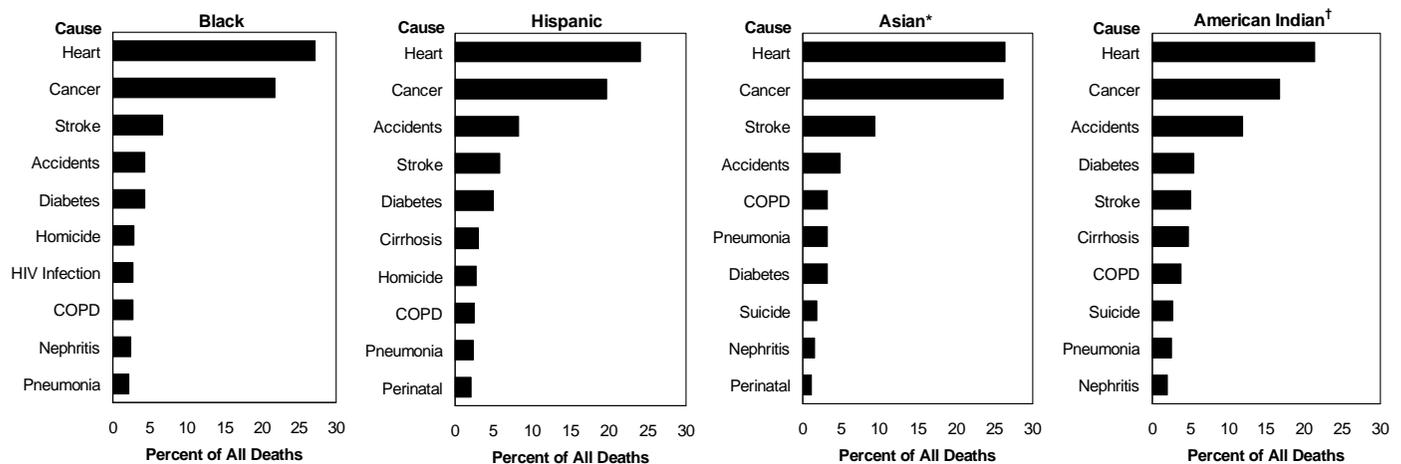
\* Includes 187.2 deaths per 100,000 population from CHD.

† COPD and allied conditions (including asthma); the term in the ICD/10 is "chronic lower respiratory diseases."

‡ Based on the average remaining years of life up to age 75 years.

Source: Vital Statistics of the United States, NCHS (preliminary).

### Ten Leading Causes of Death Among Minority Groups, U.S., 2000



\* Includes deaths among individuals of Asian extraction and Asian-Pacific Islanders.

† Includes deaths among Aleuts and Eskimos.

Source: Vital Statistics of the United States, NCHS.

## Death Rates\* for Cardiovascular and Noncardiovascular Diseases, U.S., 1980 and 2000

Cause of Death	Rate*		Rate Change	Percent Change
	1980	2000 <sup>†</sup>		
All Causes	1,039	872	-167	-16
Cardiovascular Diseases	544	341	-203	-37
Coronary Heart Disease	345	187	-158	-46
Stroke	96	57	-39	-41
Other	103	97	-6	-6
Noncardiovascular Diseases	495	531	36	7

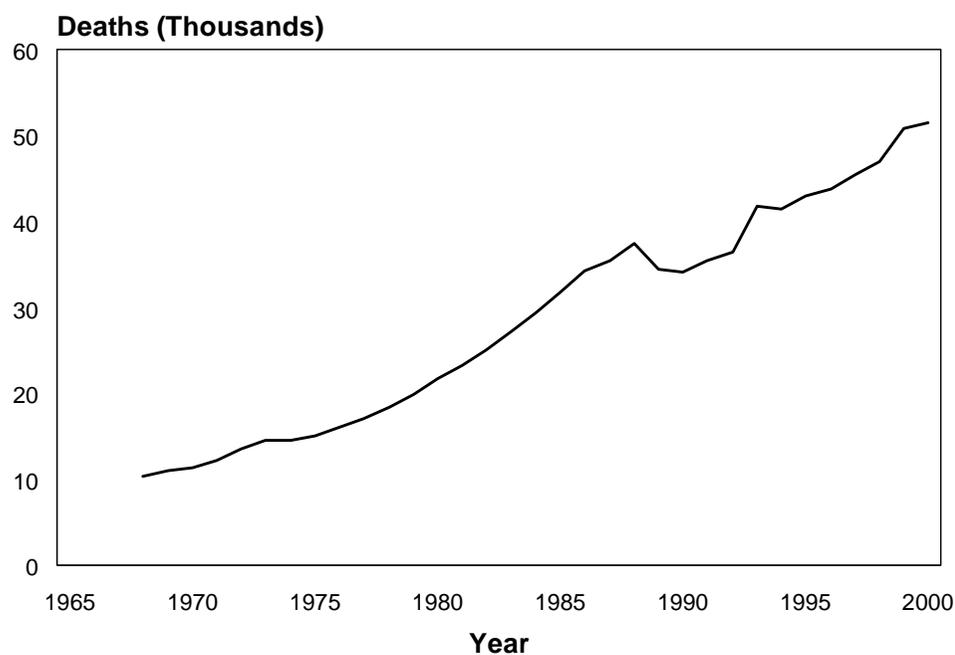
\* Age-adjusted; rate per 100,000 population.

<sup>†</sup> Data for 2000 are preliminary or estimated by the NHLBI.

Note: Numbers may not add to totals due to rounding.

Source: Vital Statistics of the United States, NCHS.

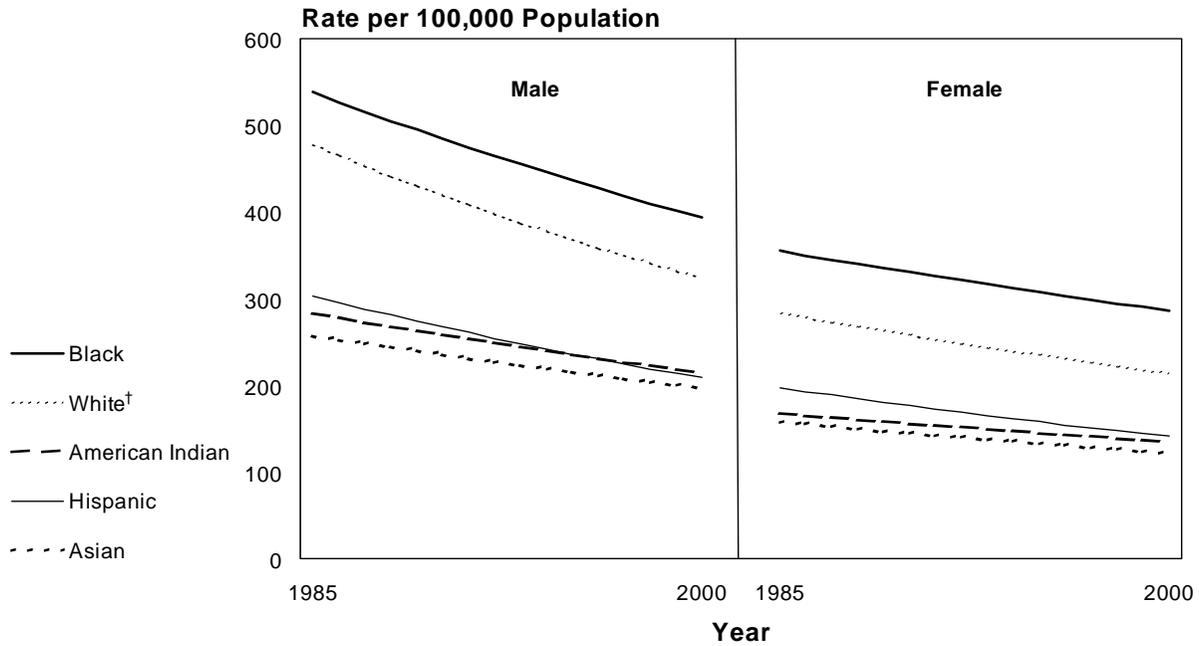
## Deaths From Congestive Heart Failure, U.S., 1968–2000



Note: The sharp drop occurring in 1989 is attributed to the revision of the death certificate.

Source: Vital Statistics of the United States, NCHS.

### Death Rates\* for Heart Disease by Gender, Race, and Ethnicity, U.S., 1985–2000



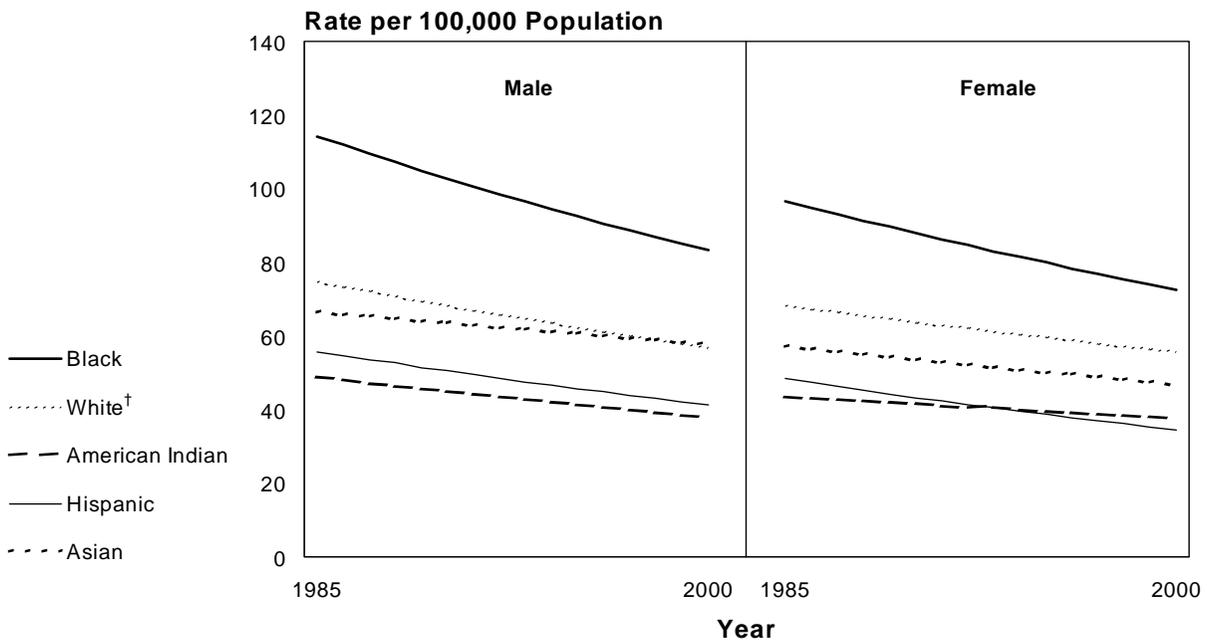
\* Age-adjusted.

† Non-Hispanic.

Note: Each line is a log linear regression derived from the actual rates.

Source: Vital Statistics of the United States, NCHS.

### Death Rates\* for Stroke by Gender, Race, and Ethnicity, U.S., 1985–2000



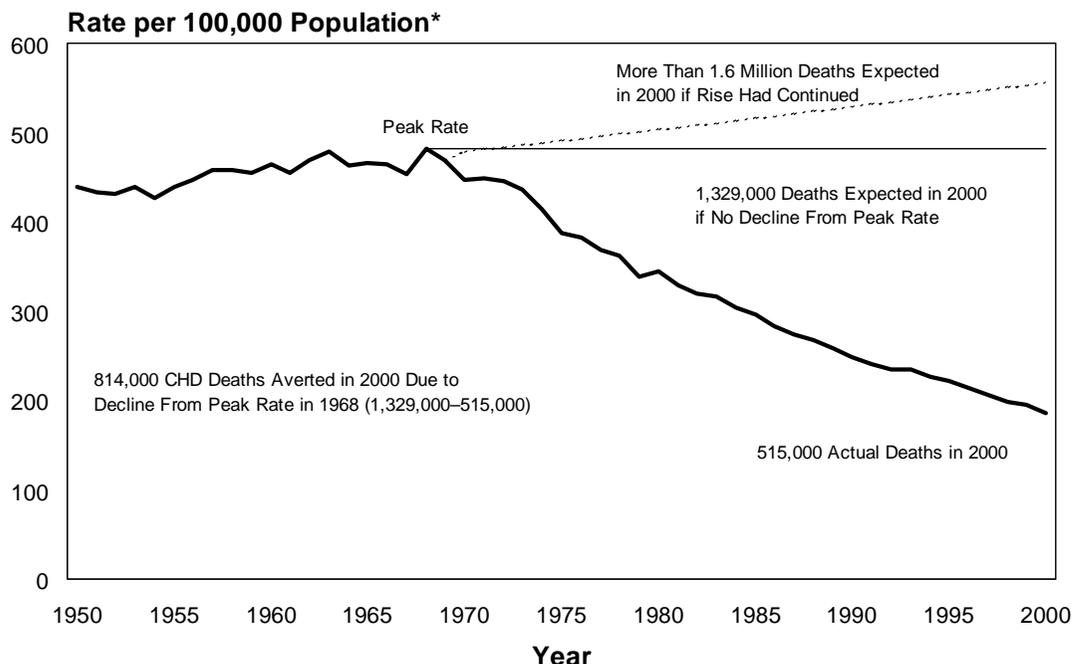
\* Age-adjusted.

† Non-Hispanic.

Note: Each line is a log linear regression derived from the actual rates.

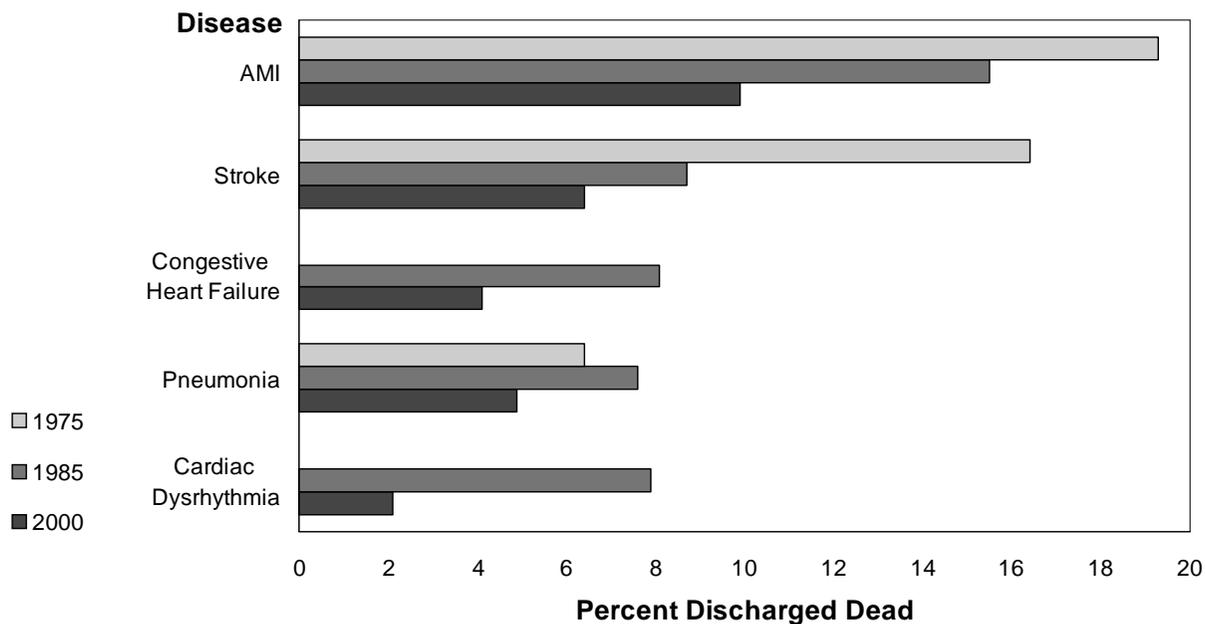
Source: Vital Statistics of the United States, NCHS.

### Death Rates for Coronary Heart Disease, U.S., 1950–2000 Actual Rate and Expected Rates if Rise Had Continued or Reached a Plateau



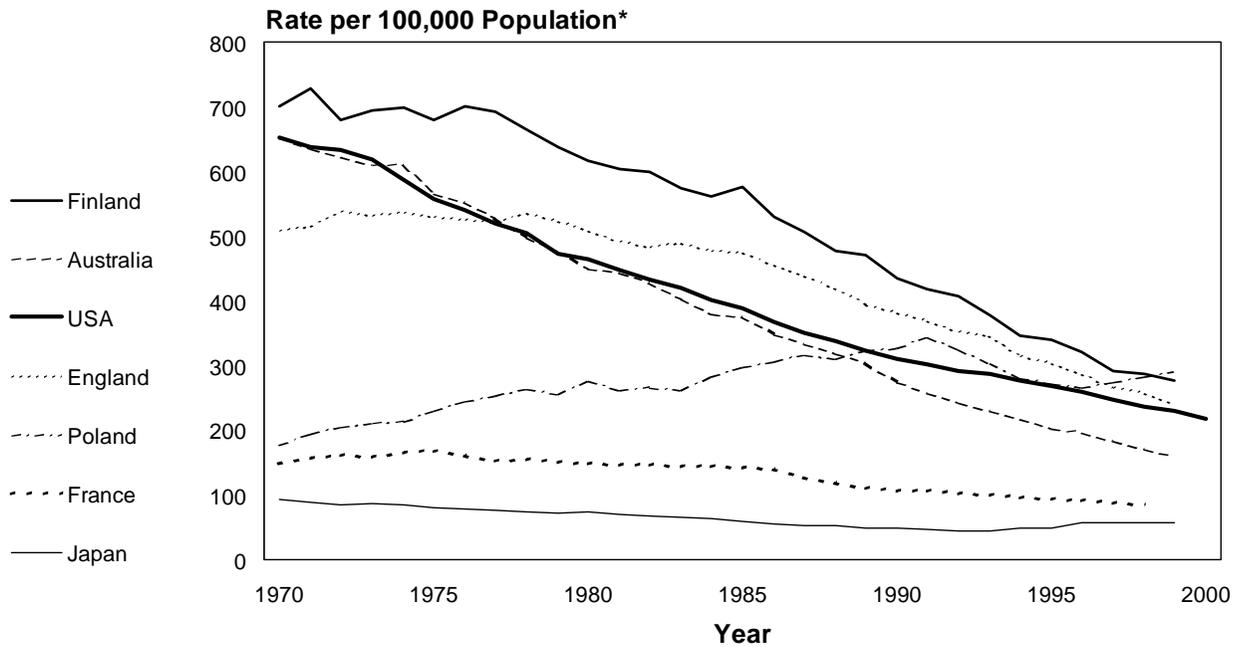
\* Age adjusted.  
Source: Vital Statistics of the United States, NCHS.

### Common Cardiovascular and Lung Diseases With High Percentage Discharged Dead From Hospitals, U.S., 1975, 1985, and 2000



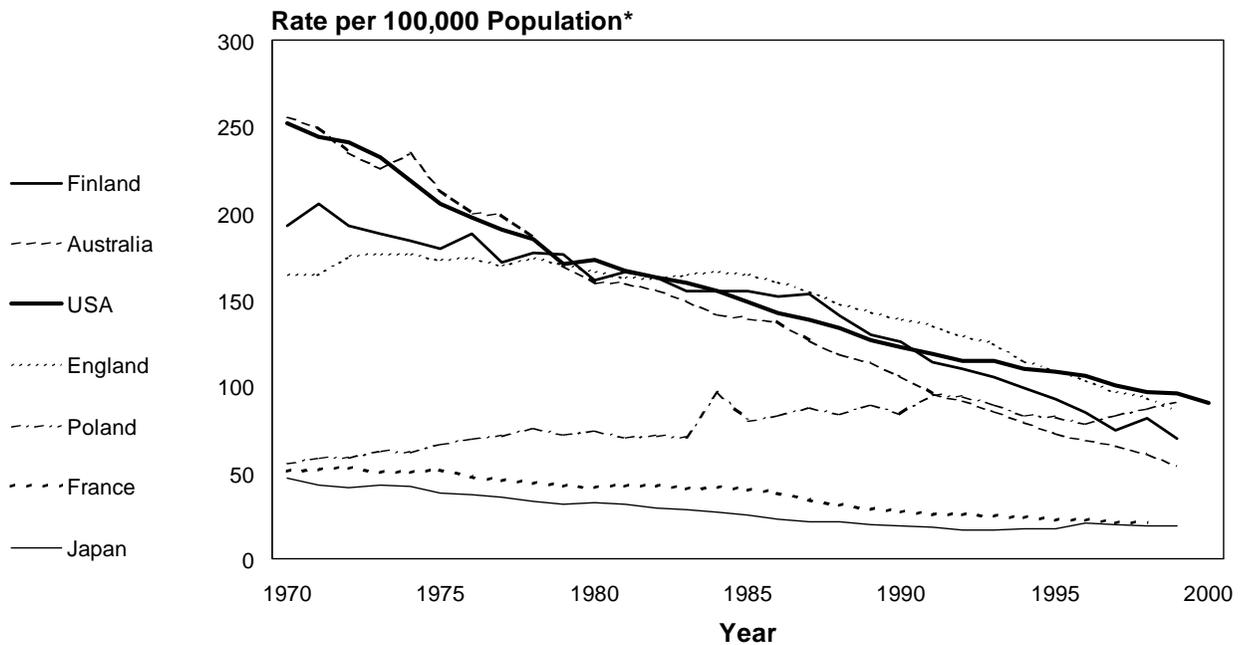
Source: National Hospital Discharge Survey, NCHS.

### Death Rates for Coronary Heart Disease in Men Ages 35–74 Years, Selected Countries, 1970–2000



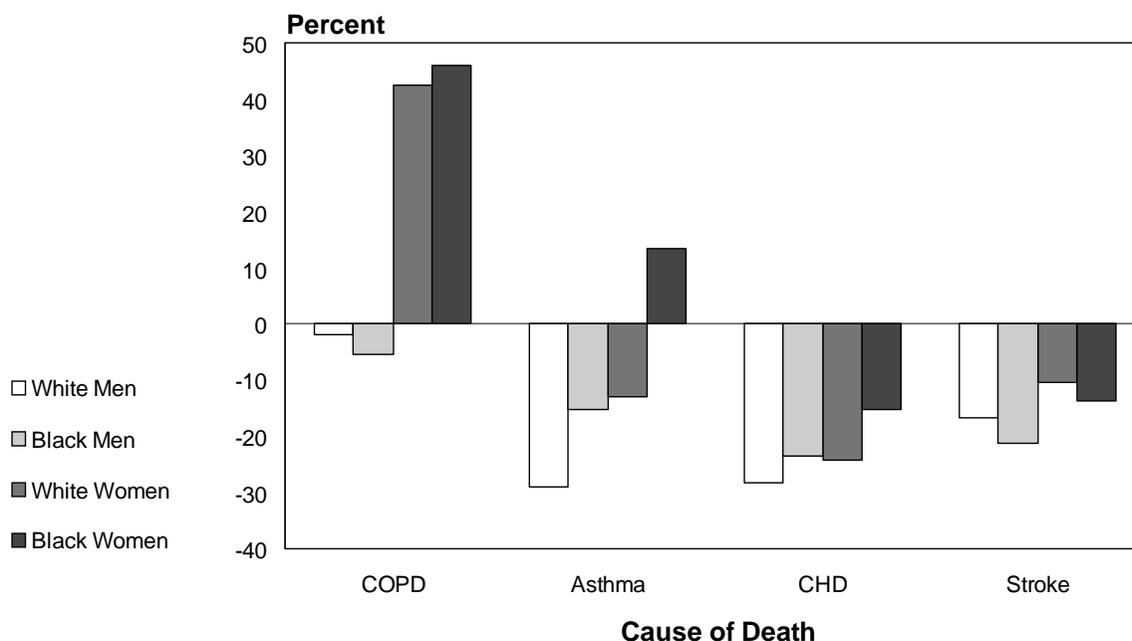
\* Age-adjusted to the European Standard Population.  
 Source: World Health Statistics Annual, World Health Organization (WHO).

### Death Rates for Coronary Heart Disease in Women Ages 35–74 Years, Selected Countries, 1970–2000



\* Age-adjusted to the European Standard Population.  
 Source: World Health Statistics Annual, WHO.

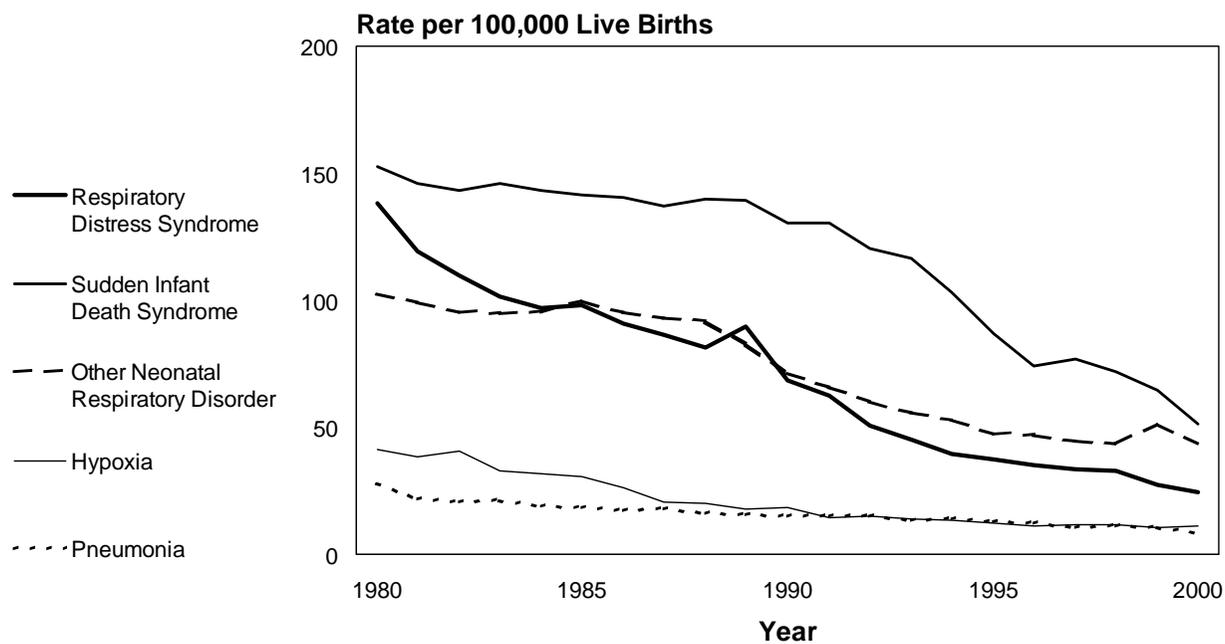
### Change in Death Rates\* for Selected Causes by Race and Gender, U.S., 1990–2000



\* Age-adjusted.

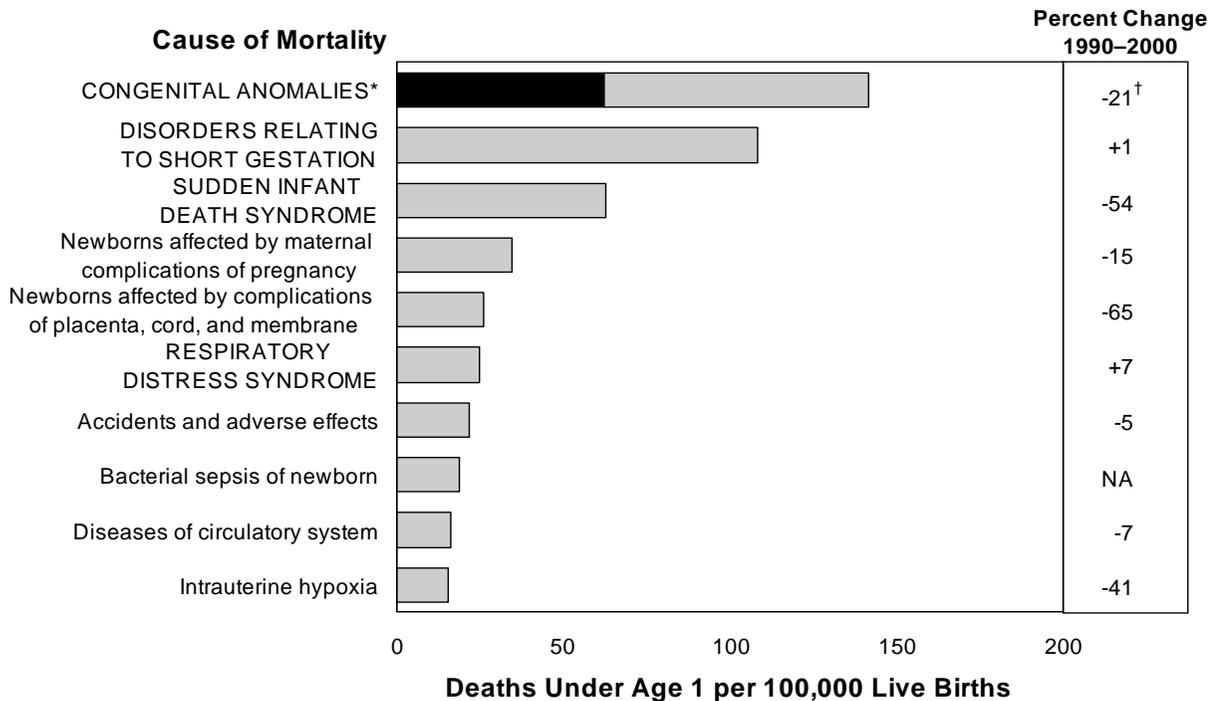
Source: Vital Statistics of the United States, NCHS.

### Death Rates for Lung Diseases in Infants, U.S., 1980–2000



Source: Vital Statistics of the United States, NCHS.

### Ten Leading Causes of Infant Mortality, U.S., 2000



\* In 2000, congenital CVD and congenital anomalies of the respiratory system represented 44 percent of all infant deaths due to congenital anomalies.

† Between 1990 and 2000, congenital CVD declined 39 percent; congenital anomalies of the respiratory system declined 39 percent; other congenital anomalies declined 17 percent.

NA: Not available.

Note: Capitalization indicates diseases addressed in Institute programs.

Source: Vital Statistics of the United States, NCHS.

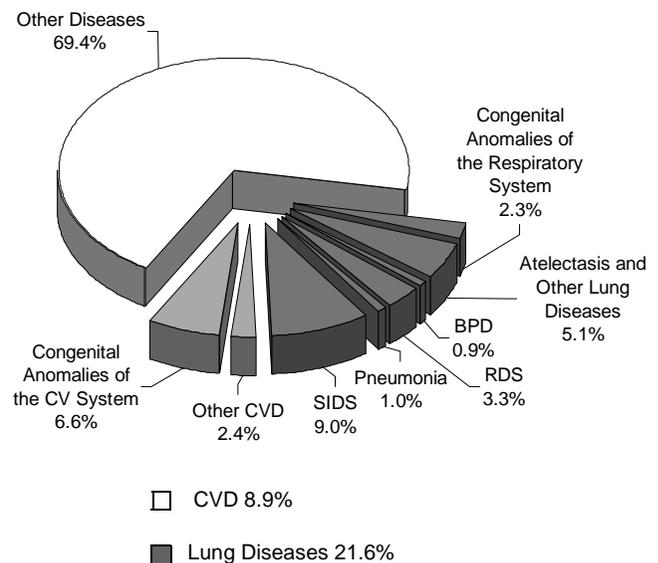
### Deaths Under Age 1 Year Due to Cardiovascular and Lung Diseases, U.S., 2000

Cause of Death	Deaths Under Age 1
All Causes	28,035
Cardiovascular Diseases	2,505
Congenital Anomalies	1,842*
Other	663*
Lung Diseases	6,066
Sudden Infant Death Syndrome	2,523*
Respiratory Distress Syndrome	933*
Pneumonia	280
Bronchopulmonary Dysplasia (BPD)	261
Atelectasis of Newborn	496
Congenital Anomalies	647*
Other Lung Diseases	926
Other Diseases	19,464

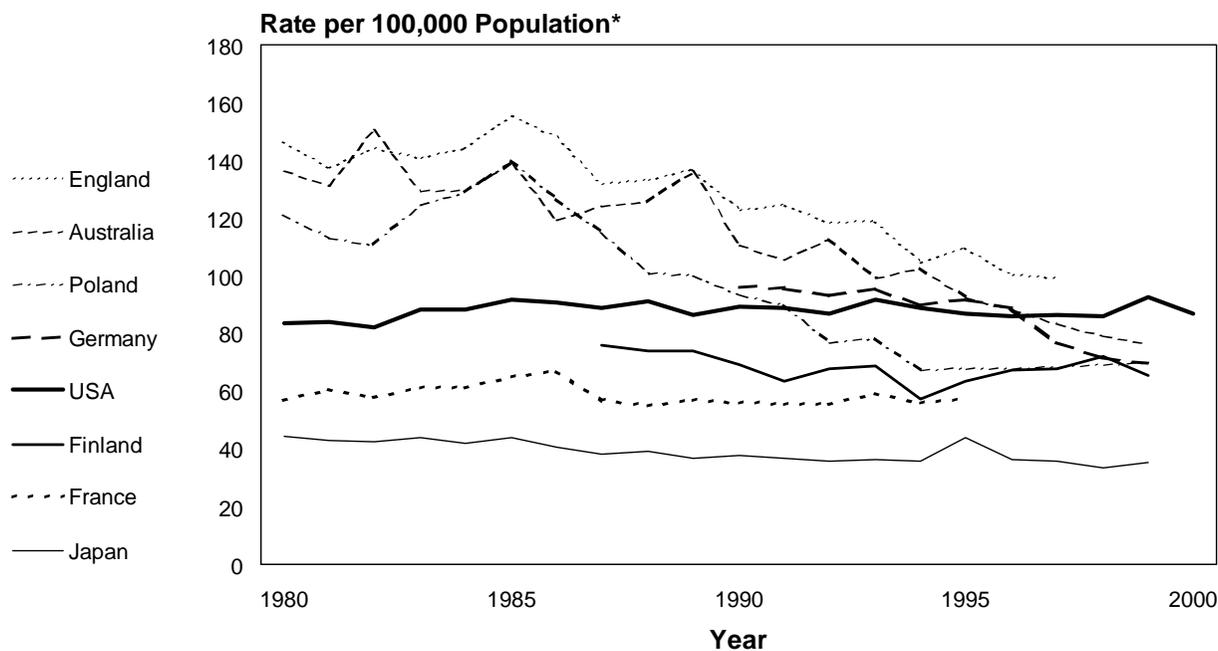
\* NHLBI programs address these diseases.

Note: Percents may not add to total due to rounding.

Source: Vital Statistics of the United States, NCHS.

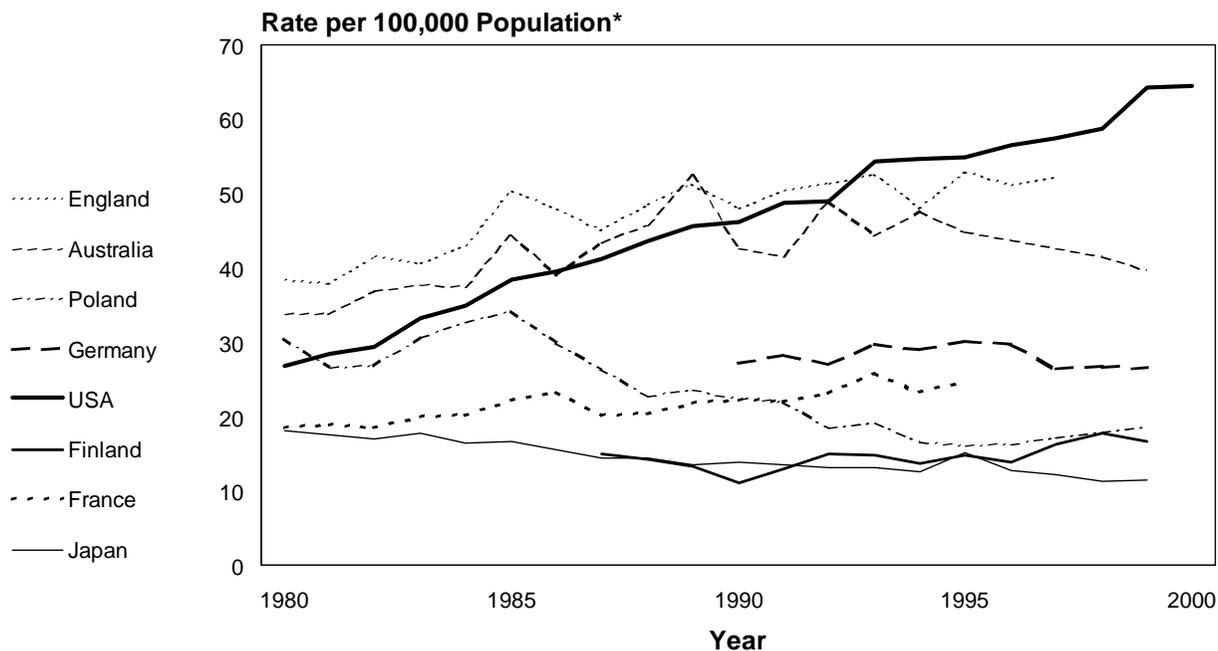


### Death Rates for Chronic Obstructive Pulmonary Disease in Men Ages 35+ Years, Selected Countries, 1980–2000



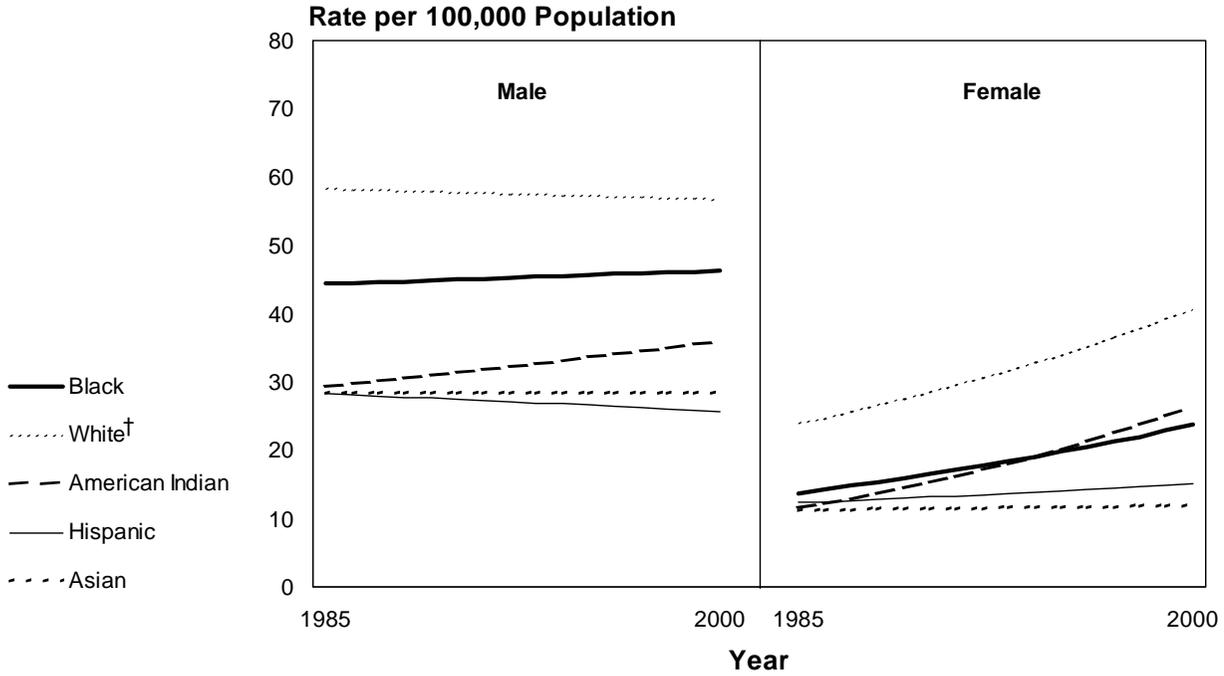
\* Age-adjusted to the European Standard Population.  
Source: World Health Statistics Annual, WHO.

### Death Rates for Chronic Obstructive Pulmonary Disease in Women Ages 35+ Years, Selected Countries, 1980–2000



\* Age-adjusted to the European Standard Population.  
Source: World Health Statistics Annual, WHO.

### Death Rates\* for Chronic Obstructive Pulmonary Disease by Gender, Race, and Ethnicity, U.S., 1985–2000



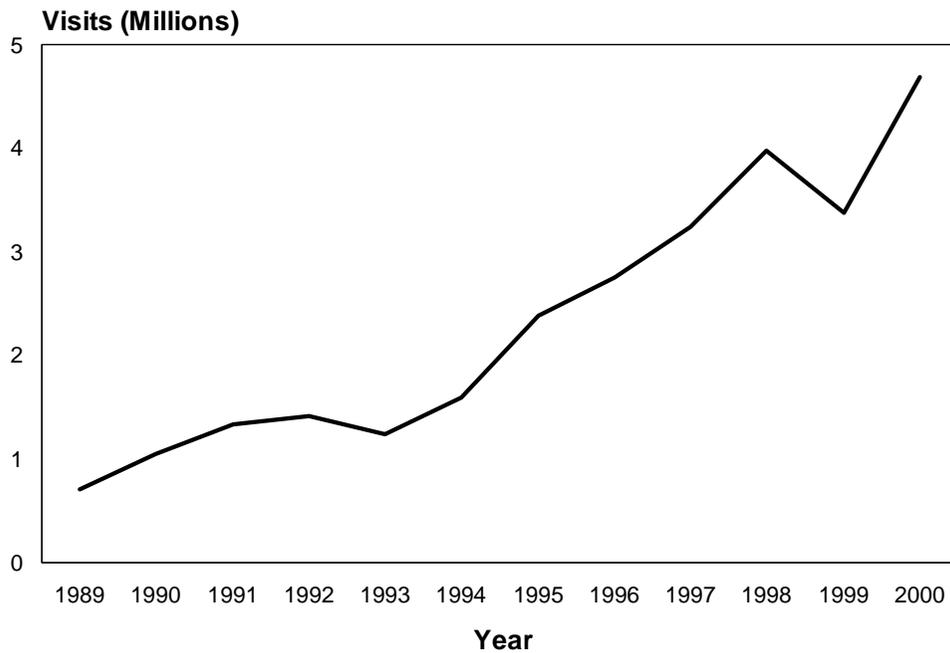
\* Age-adjusted.

† Non-Hispanic.

Note: Each line is a log linear regression derived from the actual rates.

Source: Vital Statistics of the United States, NCHS.

### Physician Office Visits for Sleep Disorders, U.S., 1989–2000



Source: National Ambulatory Medical Care Survey, NCHS.

## Prevalence of Common Cardiovascular, Lung, and Blood Diseases, U.S., 2000

Disease	Number
Total Cardiovascular Diseases	61,800,000
Hypertension*	50,000,000
Coronary Heart Disease	12,900,000
Congestive Heart Failure	4,900,000
Stroke	4,700,000
Congenital Heart Disease	1,000,000
Asthma <sup>†</sup>	20,300,000
COPD <sup>†</sup>	12,100,000
Chronic Bronchitis only (age 25+) <sup>†</sup>	9,200,000
Emphysema only (age 25+) <sup>†</sup>	2,000,000
Chronic Bronchitis and Emphysema (age 25+) <sup>†</sup>	900,000
Anemias (all forms) <sup>‡</sup>	3,500,000

\* Systolic blood pressure 140 mmHg or greater and/or diastolic 90 or greater or on antihypertensive medication.

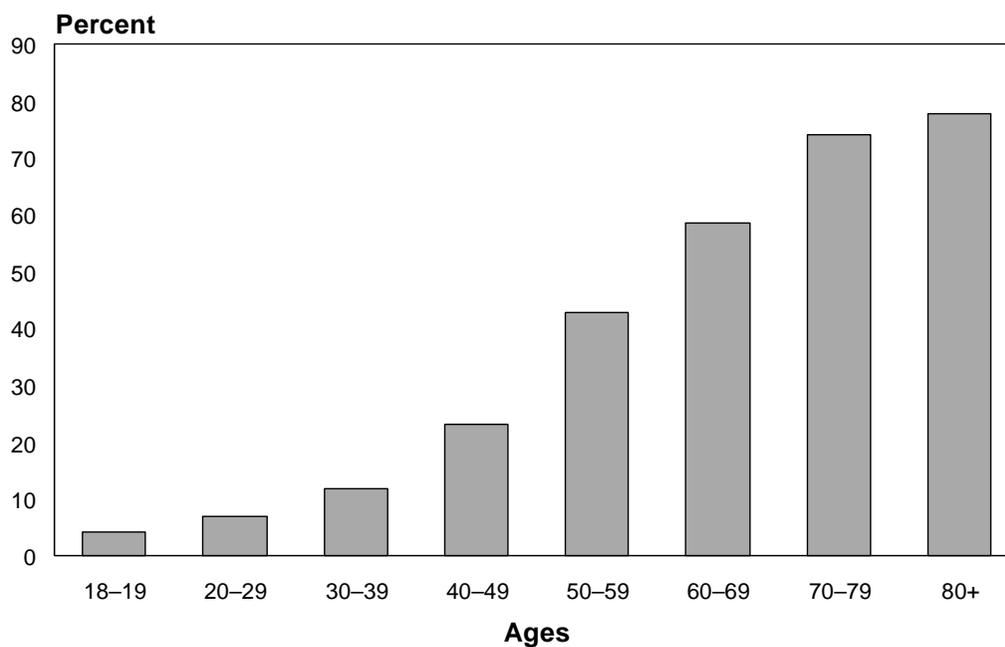
<sup>†</sup> For 2001.

<sup>‡</sup> For 1996.

Note: Some persons are included in more than one diagnostic group, and persons with more than one form of anemia are counted more than once.

Sources: Extrapolated to United States from National Health and Nutrition Examination Survey (NHANES), 1988–94, and National Health Interview Survey (NHIS), 2000 and 2001.

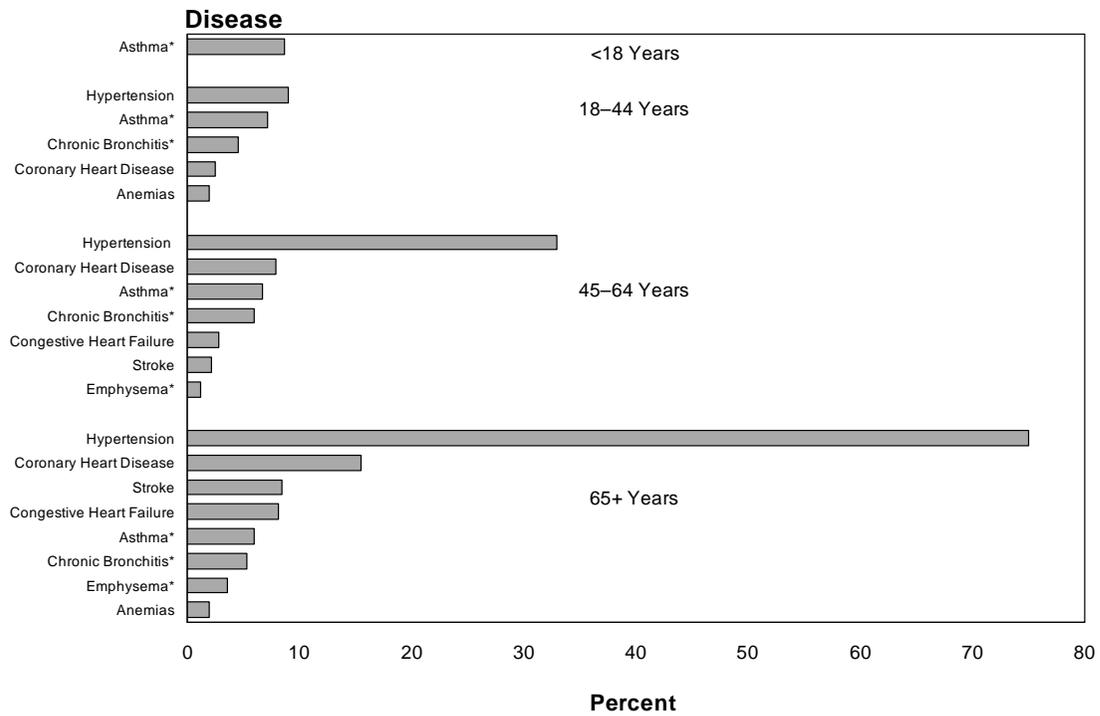
## Prevalence of Cardiovascular Diseases\* in Adults by Age, U.S., 1988–94



\* Hypertension, coronary heart disease, cerebrovascular disease, congestive heart failure, rheumatic heart disease, or congenital cardiovascular disease.  
Hypertension = 140/90+ mmHg or on antihypertensive medication.

Source: NHANES, 1988–94.

### Prevalence of Common Cardiovascular and Lung Diseases by Age, U.S., 2000

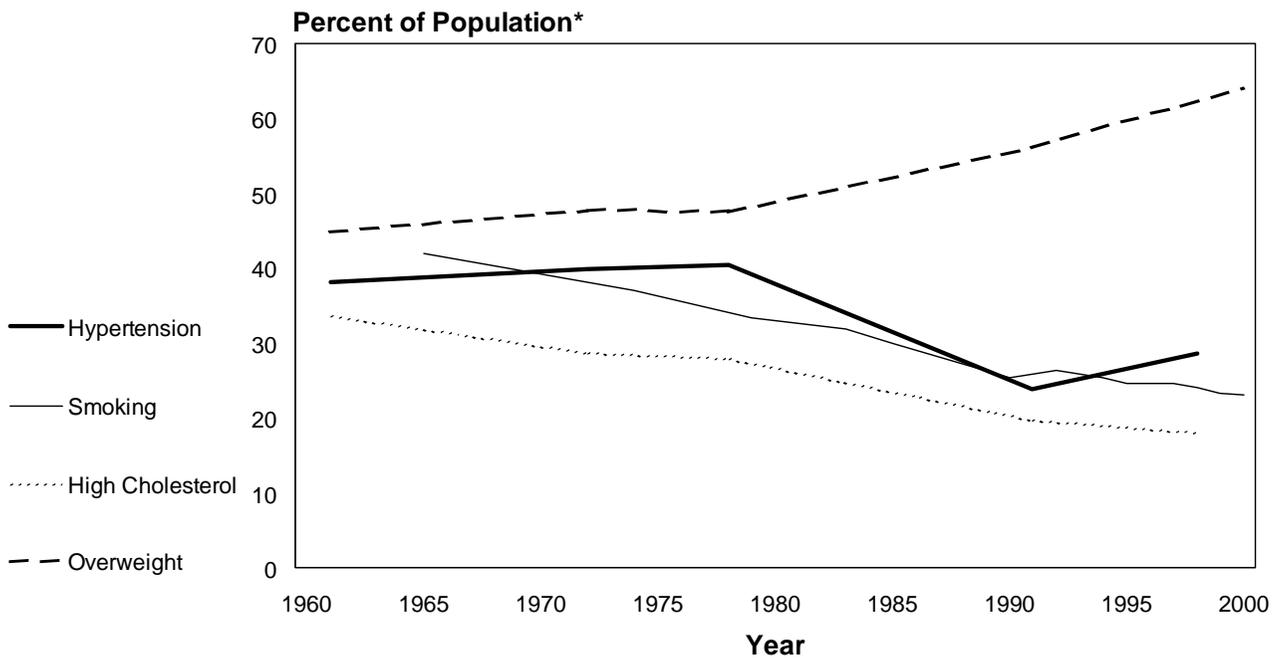


\* For 2001.

Note: Numbers depicted in bars are not additive by disease because some persons have more than one disease.

Source: NHIS and NHANES, NCHS.

### Prevalence of Cardiovascular Disease Risk Factors in Adults, U.S., 1961-2000

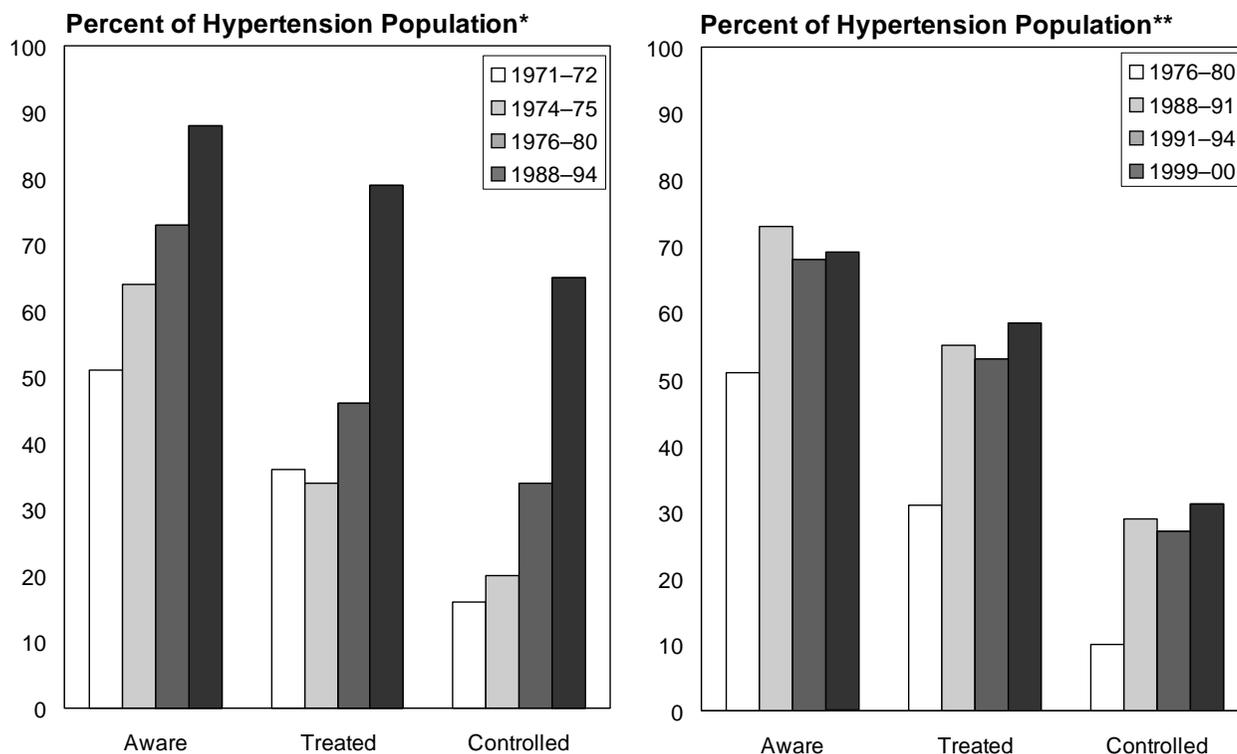


\* Age-adjusted.

Note: Hypertension is blood pressure 140/90+ mmHg or on antihypertensive medication. High cholesterol is 240+ mg/dl. Overweight is BMI 25+ kg/m<sup>2</sup>.

Source: NHIS for smoking and NHANES for the other risk factors (ages 20-74).

## Hypertensive Population Aware, Treated, and Controlled, Age 18+, U.S., 1971–72 to 1999–2000

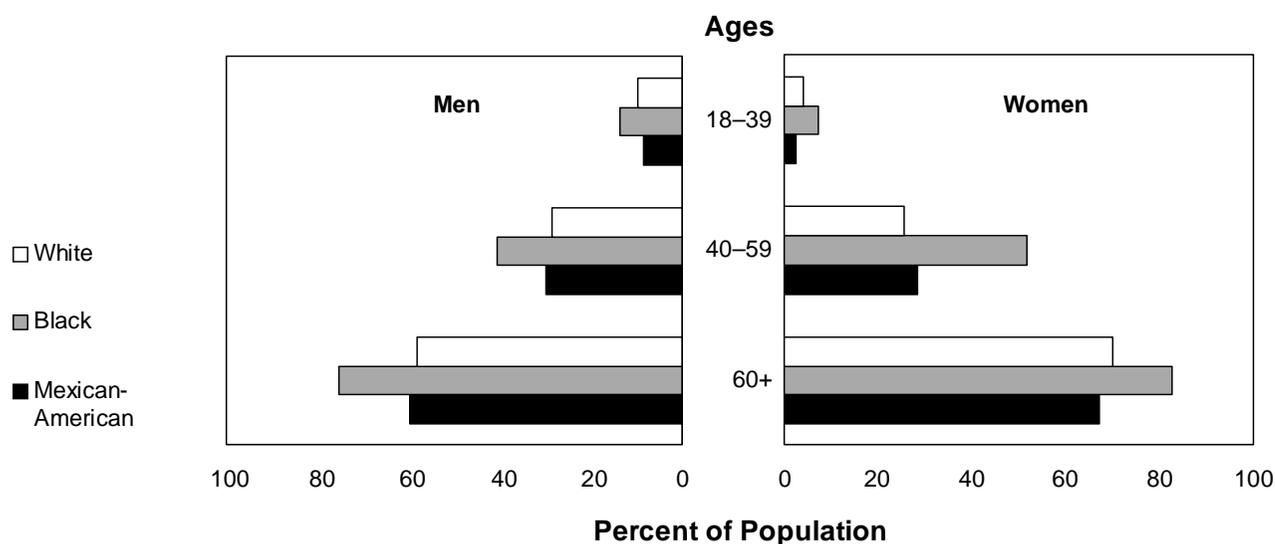


\* Systolic blood pressure 160+ mmHg or diastolic blood pressure 95+ mmHg or on antihypertensive medication.

\*\*Systolic blood pressure 140+ mmHg or diastolic blood pressure 90+ mmHg or on antihypertensive medication.

Source: NHANES, NCHS.

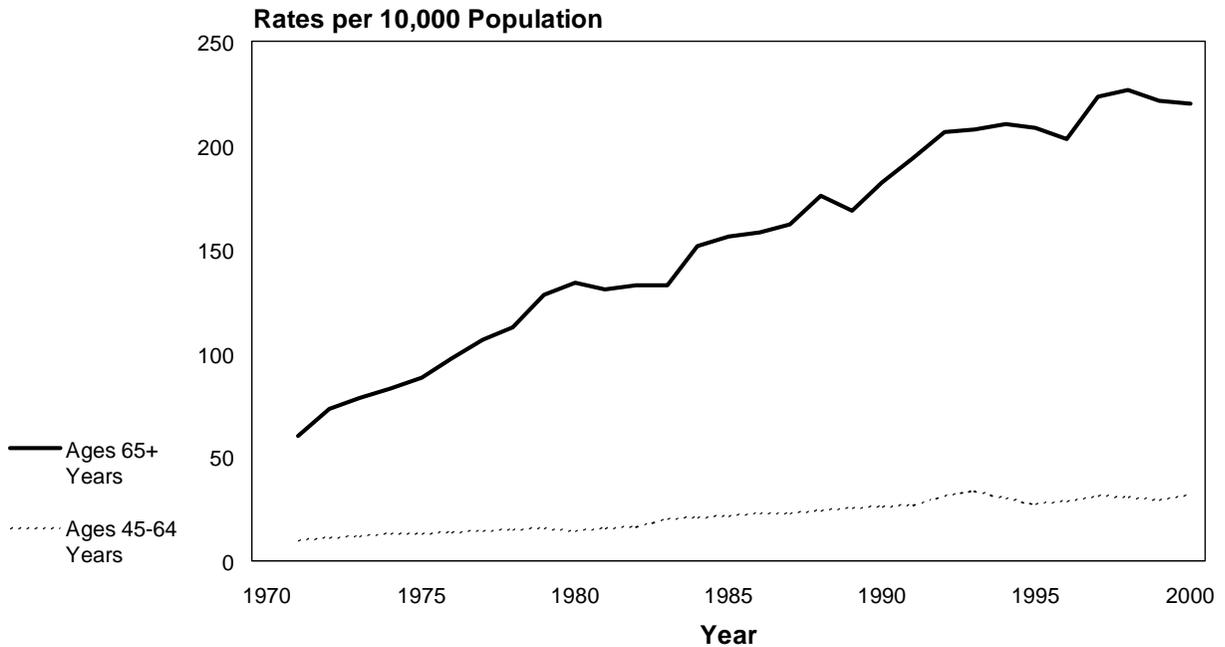
## Adult Population With Hypertension\* by Age, Gender, and Race, U.S., 1999–2000



\* Systolic blood pressure 140+ mmHg or diastolic blood pressure 90+ mmHg or on antihypertensive medication.

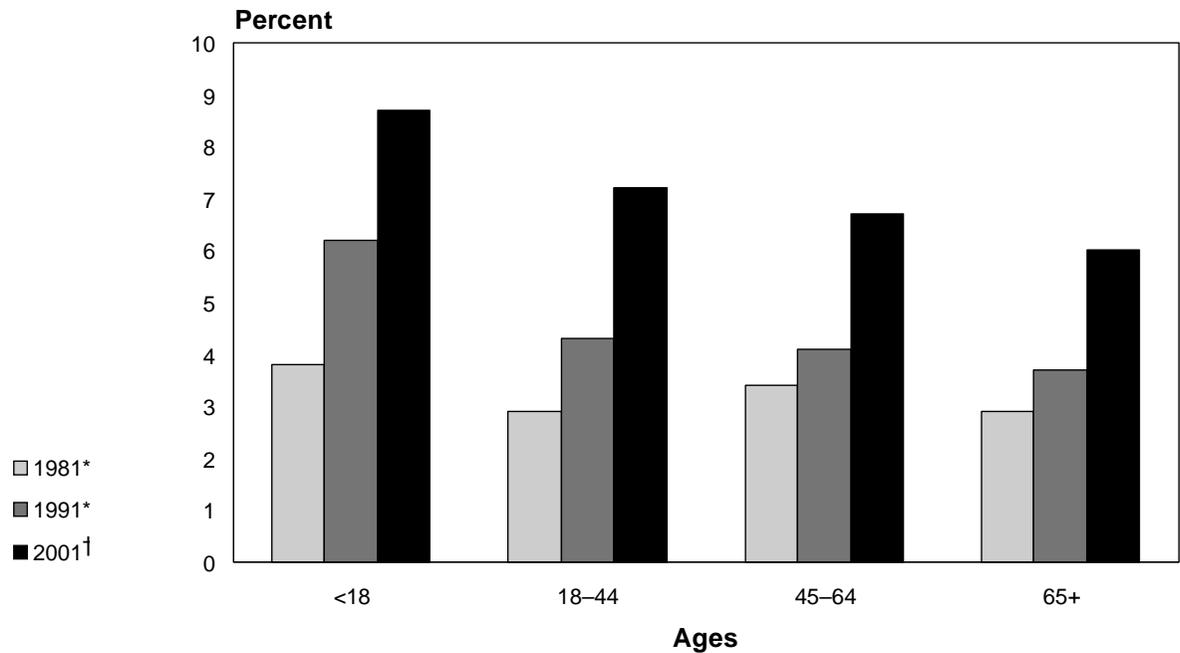
Source: NHANES, NCHS, and personal communication.

### Hospitalization Rates for Congestive Heart Failure, Ages 45–64 Years and 65+ Years, U.S., 1971–2000



Source: National Hospital Discharge Survey, NCHS.

### Prevalence of Asthma by Age, U.S., 1981, 1991, and 2001



\* Positive response to question: During the past 12 months, did anyone in your family have asthma?

† Positive responses to questions: Has a doctor or other health professional ever told you that you had asthma? Do you still have it?

Note: NCHS changed interview questions, so estimates for 2001 are not comparable with earlier estimates.

Source: NHIS, NCHS.

## Direct and Indirect Economic Costs of Illness by Major Diagnosis, U.S., 2003

	Amount (Dollars in Billions)				Percent Distribution			
	Direct Costs*	Indirect Costs		Total	Direct Costs	Indirect Costs		Total
		Morbidity†	Mortality‡			Morbidity	Mortality	
Cardiovascular Disease	209.3	32.4	110.1	351.8	14.7	17.0	21.3	16.5
(including Blood Clotting)§	(49.3)	(7.1)	(26.1)	(82.5)	(3.5)	(3.7)	(5.0)	(3.9)
Lung Diseases**	70.2	25.0	31.0	126.2	4.9	13.1	6.0	5.9
Blood Diseases	7.4	0.7	2.8	10.9	0.5	0.4	0.5	0.5
<b>Subtotal</b>	<b>286.9</b>	<b>58.1</b>	<b>143.9</b>	<b>488.9</b>	<b>20.1</b>	<b>30.5</b>	<b>27.8</b>	<b>22.9</b>
Diseases of the Digestive System	146.3	9.8	23.0	179.1	10.3	5.1	4.4	8.4
Neoplasms	64.2	16.3	109.0	189.5	4.5	8.5	21.1	8.9
Mental Disorders	114.8	25.1	7.9	147.8	8.0	13.2	1.5	6.9
Diseases of the Nervous System	117.7	7.4	11.1	136.2	8.2	3.9	2.1	6.4
Diseases of the Musculoskeletal System	81.7	19.5	2.6	103.8	5.7	10.2	0.5	4.9
Diseases of the Genitourinary System	60.4	5.0	5.4	70.8	4.2	2.6	1.0	3.3
Endocrine, Nutritional, and Metabolic Diseases	56.9	6.3	16.9	80.1	4.0	3.3	3.3	3.8
Infectious and Parasitic Diseases	29.1	11.6	27.6	68.3	2.0	6.1	5.3	3.2
Diseases of the Skin	32.1	1.6	0.5	34.2	2.2	0.8	0.1	1.6
Other Respiratory Diseases	40.0	7.7	2.8	50.5	2.8	4.0	0.5	2.4
Other and Unallocated to Diseases	394.9	22.3	165.9	583.1	27.7	11.7	32.1	27.3
<b>Total</b>	<b>\$1,425.0</b>	<b>\$190.8</b>	<b>\$516.6</b>	<b>\$2,132.4</b>	<b>100%</b>	<b>100%</b>	<b>100%</b>	<b>100%</b>

\* Direct costs are personal health care expenditures for hospital and nursing home care, drugs, home care, and physician and other professional services. The estimation method is based on Centers for Medicare & Medicaid Services (CMS) projections for total 2003 health expenditures by type of direct costs and NCHS estimates of direct costs in 1995 for each of the major diagnostic groups. The proportion of costs for 1995 for each diagnostic group is applied to the equivalent 2003 total by type of direct cost.

† Morbidity costs were estimated for 2003 by multiplying NCHS estimates for 1980 by a 4.8 percent inflation factor derived from the increase in mean earnings estimated by the Bureau of the Census.

‡ The mortality cost for each disease group was estimated for 2003 by first multiplying the number of deaths in 1999 in each age- and sex-specific group by the 1999 present value of lifetime earnings (latest available) discounted at 3 percent; second, summing these estimates for each diagnostic group; and third, multiplying the estimates by a 1999–2003 inflation factor (1.26) based on change in mean earnings.

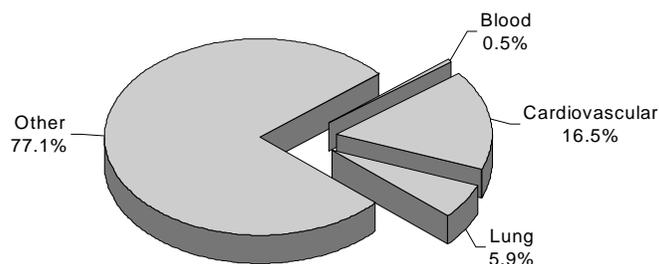
§ Costs of blood-clotting disease are estimated from predetermined proportions of CVD morbidity and mortality statistics for MI, cerebrovascular diseases, and diseases of arteries.

\*\* Does not include lung cancer or leukemia.

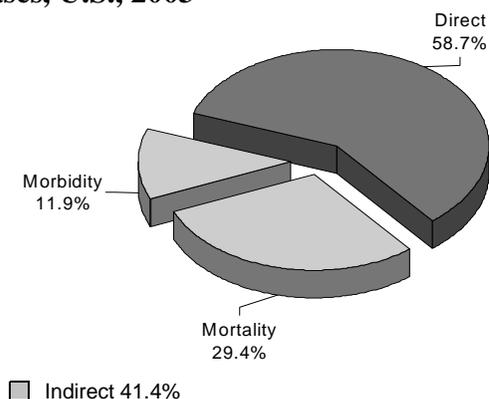
Note: Numbers may not add to totals due to rounding.

Source: Estimates by NHLBI; data from the NCHS, the CMS, the Bureau of the Census, and the Institute for Health and Aging, University of California, San Francisco.

### Total Economic Costs, U.S., 2003



### Economic Costs: Cardiovascular, Lung, and Blood Diseases, U.S., 2003







## 5. Institute-Initiated Programs Starting in FY 2002

More than two-thirds of the research supported by the NHLBI is initiated by individual investigators; the remainder is initiated by the Institute. This chapter describes the rationale for Institute-initiated programs and the objectives of the Institute-initiated programs that began in FY 2002.

It is incumbent upon the Institute to respond appropriately to evolving national needs, congressional mandates, and advances in scientific knowledge. Each NHLBI initiative represents the outcome of numerous and extensive discussions and thorough reviews by representatives of the scientific community, by Institute advisory committees or special emphasis panels, and by the National Heart, Lung, and Blood Advisory Council (NHLBAC). The advisory committees and special emphasis panels, together with professional societies and NHLBI staff, continually review the progress of research within the NHLBI program areas, assess newly acquired knowledge, and identify research topics that offer the best opportunities or have the greatest needs. This planning process contributes to policy development at the national level by setting priorities among competing programs and establishing budgets for individual programs and projects.

Initiatives generally emanate as Requests for Applications (RFAs) for grants, including cooperative agreements, or Requests for Proposals (RFPs) for contracts. A smaller number of initiatives take the form of Program Announcements (PAs). Applications and proposals submitted in response to RFAs and RFPs compete among themselves for specific "set-aside" funds. Applications submitted in response to PAs compete with other investigator-initiated applications for funding.

RFA, RFP, and PA concepts prepared by the Institute are presented to the NHLBAC for review, comments, and concurrence. Initiatives that receive the concurrence of the NHLBAC are considered further by the NHLBI Director in the context of the Institute's budget, program priorities, review workloads, and the proposed mechanism. These considerations

guide the Director's subsequent decisions to approve initiatives for release. RFAs, RFPs, and PAs are announced in the weekly publication, the *NIH Guide to Grants and Contracts*.

Applications and proposals submitted in response to RFAs and RFPs are reviewed by the NHLBI. Applications submitted in response to PAs are reviewed by the NIH Center for Scientific Review.

Descriptions of the Institute-initiated programs that began or were renewed in FY 2002 are presented below according to NHLBI scientific program. Also described are trans-NIH initiatives that included NHLBI participation.

### Heart and Vascular Diseases Program

#### Initiative Being Renewed

##### *Specialized Centers of Research (SCORs) in Molecular Medicine and Atherosclerosis*

The purpose of this renewal is to continue support for a network of collaborative multiproject SCORs that are seeking to elucidate the pathobiology of atherosclerotic lesions in the arterial wall. Researchers are investigating mechanisms associated with lesion susceptibility and initiation; lesion progression, complication, and regression; and interactions of vessel walls with systemic factors promoting atherogenesis.

#### New Initiative

##### *Role of Infectious Agents in Vascular Diseases*

The purpose of this RFA is to investigate the cellular and molecular mechanisms by which bacterial and viral infectious agents contribute to vascular disorders, such as atherogenesis, coronary events, and restenosis. The ultimate goal is to develop antiviral and antibacterial interventions, including vaccines that target these molecular mechanisms.

## Lung Diseases Program

### Initiative Being Renewed

#### *Specialized Centers of Research (SCORs) in Cellular and Molecular Mechanisms of Asthma, Pathobiology of Fibrotic Lung Disease, and Pathobiology of Lung Development*

The purpose of these programs is to promote multi-disciplinary basic and clinical research in cellular and molecular mechanisms associated with asthma, fibrosis and chronic interstitial lung disease, and diseases in newborns related to abnormal lung development and premature birth. Results from these SCOR grants are expected to have an impact on prevention, diagnosis, and treatment of these disorders.

### New Initiatives

#### *Centers for Reducing Asthma Disparities*

The objective of this RFA is to promote partnerships between minority-serving institutions and research intensive institutions to conduct collaborative research on factors that contribute to health disparities experienced by minority and economically disadvantaged populations in relation to asthma prevalence, morbidity, and mortality. Factors implicated as causes for the disparities include genetic variations, differences in lifestyle, SES, cultural-related health practices, and access to care.

#### *Mathematical Models of Cytokine/Chemokine Networks in HIV-Associated Lung Disease*

The purpose of this RFA is to stimulate research in the use of computational biological methods to create mathematical models of cytokine/chemokine networks in order to understand the role of such networks in mediating HIV type 1-associated inflammatory reactions and infections in the lung.

#### *Novel Biomarkers of Chronic Obstructive Pulmonary Disease (COPD)*

The purpose of this RFA is to identify and characterize novel biomarkers of COPD presence, severity, and exacerbation. New molecular, chemical, and radiographic measures may provide information about individual patients and about the disease process that is not available from existing methods.

## Blood Diseases and Resources Program

### Initiatives Being Renewed

#### *Comprehensive Sickle Cell Centers*

The purpose of this renewal is to provide continued funding for the comprehensive sickle cell centers. The centers serve to bridge the gap between research and service by providing support for basic and clinical research, clinical trials, training for young investigators, and patient service activities that are focused on implementing the best current models of treatment for SCD.

#### *Multicenter Study of Hydroxyurea (MSH) in Sickle Cell Anemia Adult Follow-up*

The purpose of this RFP is to extend the Multicenter Study of Hydroxyurea in Sickle Cell Disease follow-up to 10 years. Researchers are investigating the efficacy and toxic effects of long-term hydroxyurea therapy.

### New Initiatives

#### *Transfusion Medicine/Hemostasis Clinical Research Network*

The purpose of this RFA is to establish a clinical research network for evaluating new and existing blood products and cytokines in the treatment of hematologic disorders. Management strategies for individuals with hemostatic disorders also will be assessed.

## Trans-NHLBI

### Initiatives Being Renewed

#### *Mentored Minority Faculty Development Award*

The purpose of this renewal is to provide training to underrepresented minority faculty members that will prepare them for careers as independent investigators in research related to heart, lung, or blood diseases or sleep disorders.

#### *Minority Institution Research Scientist Development Award*

The objective of this renewal is to enhance the research skills of faculty members at minority institutions in heart, lung, and blood diseases and sleep disorders. Applicants will establish a mentoring relationship

with an accomplished investigator at a nearby institution. The ultimate goals are to enhance the minority institutions' science infrastructure and to provide research opportunities for underrepresented minorities at the applicant institutions.

#### ***Minority Institutional Research Training Program***

The objective of this renewal is to provide support to minority schools for research training in heart, lung, and blood diseases and sleep disorders. Qualified graduate and health professional students and individuals in post-doctoral training selected for the program will establish a mentoring relationship with an accomplished investigator at a nearby institution. Important program goals are to enhance the minority institutions' science infrastructure and to provide research opportunities for underrepresented minorities.

#### ***Short-Term Training for Minority Students***

The purpose of this renewal is to encourage institutions to provide opportunities for underrepresented minority undergraduate and graduate students to become exposed to biomedical or behavioral research in areas relevant to heart, lung, and blood diseases and sleep disorders through a short-term, full-time research experience of 2 to 3 consecutive months.

### **New Initiatives**

#### ***Innovative Concepts and Approaches to Developing Functional Tissues and Organs for Heart, Vascular, Lung, and Blood Applications***

The objective of this RFA is to stimulate research in tissue engineering as a biological substitute for implantation, or tissue regeneration and remodeling in vivo to replace, repair, maintain, or enhance heart, vascular, lung, or blood functions. The RFA encourages the formation of multidisciplinary teams of chemists, physicists, materials scientists, biologists, and physicians.

#### ***Interaction of Genes and Environment in Shaping Risk Factors for Heart, Lung, Blood, and Sleep Disorders***

The purpose of this RFA is to identify genes that modify the impact of environmental exposures on heart, lung, blood, and sleep disorders by quantifying the interaction between genetic variants and specific environmental changes. The ultimate goal is to identify subgroups of individuals based on genotype who are most likely to benefit from targeted environmental changes designed to reduce the development or progression of these diseases.

#### ***NHLBI Innovative Research Grant Program***

The objective of this RFA is to explore new approaches to heart, lung, and blood diseases and sleep disorders through analysis of existing data or use of existing biological specimens. Scientists will seek to provide preliminary results that demonstrate the feasibility of novel approaches for exploring and testing new hypotheses.

#### ***NHLBI Shared Microarray Facilities***

The purpose of this RFA is to establish or expand shared DNA microarray facilities with the equipment and expertise in the relevant disciplines (molecular biology, robotics, bioinformatics, genomics, statistics) needed to facilitate the application of DNA microarray technology to research in heart, lung, and blood diseases and sleep disorders. The shared microarray facilities will provide microarray services; relevant education, skills development, and assistance in microarray technology and its application; and analytical tools and guidance in the interpretation of gene expression profiling results to NHLBI-supported researchers.

#### ***Resuscitation: SBIR/STTR Technologies for Monitoring and Performing***

The objective of this PA is to stimulate multidisciplinary research in the development of new approaches and technologies for monitoring and resuscitating individuals who experience out-of-hospital cardiopulmonary and traumatic arrest. The goal of the program is to improve survival following severe reduction of oxygen and carbon dioxide transport due to circulatory failure.

#### ***Trials Assessing Innovative Strategies to Improve Clinical Practice Through Guidelines in Heart, Lung, and Blood Diseases***

The objective of this RFA is to evaluate clinical intervention strategies to improve implementation of national, evidence-based clinical practice guidelines for the treatment of heart, lung, and blood diseases. The interventions should involve strategies that address multiple barriers to guideline implementation or factors enhancing guideline adherence.

## **TRANS-NIH**

### **New Initiatives**

#### ***Basic Research to Improve Cardiopulmonary and Neurological Outcomes Following Resuscitation From Cardiopulmonary Arrest***

The purpose of this RFA is to study the effects of ischemia and subsequent blood flow restoration on cardiovascular and neurological functions. The ultimate goal is to develop effective new therapeutic strategies to restore heart function and preserve neurologic function after cardiopulmonary arrest.

#### ***Environmental Approaches to the Prevention of Obesity***

The purpose of this RFA is to study primary and secondary prevention approaches targeting environmental factors that contribute to excessive weight gain in children, adolescents, and adults. Collaboration with organizations/institutions, such as schools, worksites, community groups, supermarkets, restaurants, religious organizations, and recreation facilities, is recommended so that newly developed approaches that prove to be successful can be translated into larger scale interventions.

#### ***Heritable Disorders of Connective Tissue***

The objective of this RFA is to investigate heritable disorders of connective tissue caused by abnormalities in molecules involved in biosynthesis, processing, and degradation of structural macromolecules, as well as abnormalities in regulatory and signaling molecules that reside within the extracellular matrix. The ultimate goal is to develop new therapeutic strategies for diseases that involve alterations of the integrity of connective tissue compartments within the wall of the blood vessel and subsequent formation of aneurysms in the aorta and smaller arteries.

#### ***Highly Active Anti-Retroviral Therapy (HAART) Cardiovascular Toxicities***

The objective of this RFA is to elucidate the mechanisms by which use of HAART for HIV disease contributes to development of CVD. Results will lead to improved strategies for prevention and treatment.

#### ***Interrelationship Between Sleep and Diseases of the Heart, Lung, and Blood***

The goal of this RFA is to identify measurable characteristics of sleep and the interrelationship of sleep with heart, lung, and blood diseases in order to facilitate epidemiological and clinical studies, provide improved diagnostic tools, and stimulate development of innovative therapies.

#### ***Placebo Effects in Clinical Practice***

The objective of this RFA is to study the effects placebos have on clinical practice. Emphasis will be placed on factors necessary to elicit a placebo effect in clinical practice so that benefits of the therapeutic intervention can be enhanced to improve health and promote wellness.

#### ***Placebo Effects: Elucidation of Underlying Mechanisms***

The purpose of this RFA is to delineate, through cross-cutting multidisciplinary, integrative research, the underlying mechanisms by which a placebo leads to its ultimate physiological and psychological effects.

#### ***Sleep and Sleep Disorders in Children***

The purpose of this RFA is to advance understanding of fundamental biological mechanisms through which sleep deprivation and sleep disorders affect the cardiopulmonary, hematological, immunological, mental, and behavioral health of children. Specific objectives are to advance understanding of age-specific and individual requirements for sleep in children, define pathophysiological mechanisms underlying emergence and progression of childhood sleep disorders, and identify genetic factors and phenotypic variations in sleep characteristics that determine childhood patterns of sleep and circadian rhythmicity.

#### ***Treatment of HAART-Associated Metabolic Changes in Patients With HIV Infection***

The purpose of this RFA is to develop and evaluate strategies for treating metabolic complications associated with HAART in patients with HIV infection. Complications include dyslipidemia, insulin resistance, and lipodystrophy (abnormal distribution of body fat) which, in turn, are major risk factors for development of diabetes and CVD.



## 6. Institute Public Advisory Committees

### National Heart, Lung, and Blood Advisory Council

#### Structure

**Chair:** Claude Lenfant, M.D., Director, NHLBI

**Executive Secretary:** Deborah P. Beebe, Ph.D., Director, Division of Extramural Affairs, NHLBI, National Institutes of Health, Bethesda, MD 20892; 301-435-0260

The Secretary of Health and Human Services (HHS) appoints 18 members: 12 are leading representatives of the health and scientific disciplines (including public health and behavioral or social sciences), and 6 are from the general public and are leaders in the fields of public policy, law, health policy, economics, and management.

Members are appointed for overlapping terms of 4 years.

The Council includes the following ex officio members:

- Secretary, HHS
- Director, NIH
- Director, NHLBI
- Chief Medical Director, or Designee, Veterans Affairs
- Assistant Secretary of Defense for Health Affairs, or Designee.

#### Functions

The NHLBAC reviews applications for research grants, cooperative agreements, and training grants in heart, blood vessel, lung, and blood diseases; sleep disorders; and blood resources, and recommends scientific projects that merit support to the Director, NHLBI.

The Council advises the Secretary, HHS, the Assistant Secretary for Health, HHS, and the Directors, NIH and NHLBI, on matters relating to causes, prevention, and methods of diagnosis and treatment of diseases and resources within the purview of the Institute. As stated in its charter, the Council also “may review any grant, contract, or cooperative agreement proposed to be made or entered into by the Institute; may make recommendations to the Director of the Institute respecting research conducted at the Institute; may collect, by correspondence or by personal investigation, information as to studies that are being carried on in the United States or any other country with respect to the cause, prevention, diagnosis, and treatment of heart, blood vessel, lung, and blood diseases, and to the use of blood and blood products and the management of blood resources and with the approval of the Director of the Institute, make available such information through appropriate publications for the benefit of public and private health entities and health professions personnel and scientists and for the information of the general public; and may appoint subcommittees and convene workshops and conferences.”

The Council may also make recommendations to the Director, NIH and other authorized officials regarding the acceptance of conditional gifts pursuant to section 2501 of the Public Health Service Act.

#### Meetings

The Chair convenes meetings not fewer than four times a year and approves the agenda.

## National Heart, Lung, and Blood Advisory Council Membership\*

Claude Lenfant, M.D.

*Chair*

National Heart, Lung, and Blood Institute

Rina Alcalay, Ph.D. (2003)

University of California, Davis

Melissa A. Austin, M.D. (2004)

University of Washington

Carolyne Sue Byrnes (2004)

LAM Foundation

Allen W. Cowley, Jr., Ph.D. (2002)

Medical College of Wisconsin

Paul L. Douglass, M.D., F.A.C.C. (2002)

Metropolitan Atlanta Cardiology Consultants, P.C.

Jeffrey M. Drazen, M.D. (2004)

New England Journal of Medicine

Mary F. Lipscomb, M.D. (2003)

University of New Mexico School of Medicine

Robert J. Mason, M.D. (2005)

University of Colorado

Alan Meisel, J.D. (2003)

University of Pittsburgh School of Law

Jane W. Newburger, M.D. (2005)

Children's Hospital Boston

Ananda S. Prasad, M.D., Ph.D (2004)

Wayne State University

Amelie G. Ramirez, Dr.P.H. (2002)

Baylor College of Medicine

Robert D. Rosenberg, M.D., Ph.D. (2002)

Massachusetts Institute of Technology

Roger G. Spragg, M.D. (2002)

University of California, San Diego

George Thomas, M.D. (2005)

Bradenton Cardiology Center

Pearl T. Toy, M.D. (2004)

University of California, San Francisco

Linda V. Van Horn, Ph.D. (2005)

Northwestern University Medical School

Roberta G. Williams, M.D. (2003)

Children's Hospital of Los Angeles

### Ex Officio Members

Arn H. Eliasson, M.D.

Walter Reed Army Medical Center

Pamela Steele, M.D.

Department of Veterans Affairs Central Office

Tommy G. Thompson

Department of Health and Human Services

Elias A. Zerhouni, M.D.

National Institutes of Health

\* Current as of October 2002. The current roster, containing full addresses for the NHLBAC and Committees, can be obtained from the NHLBI's home page on the Internet at <http://www.nhlbi.nih.gov/nhlbi/meetings/index.htm>.

## Program Advisory and Review Committees

### Sickle Cell Disease Advisory Committee

**Chair:** Peter Lane, M.D., University of Colorado Health Sciences Center

**Executive Secretary:** Charles M. Peterson, M.D., Director, Blood Diseases Program, DBDR, NHLBI, National Institutes of Health, Bethesda, MD 20892; 301-435-0050

The Sickle Cell Disease Advisory Committee advises the Secretary and the Assistant Secretary for Health, HHS and the Directors of the NIH, the NHLBI, and the DBDR on matters related to the Sickle Cell Disease Program and makes recommendations concerning planning, execution, and evaluation of all aspects of the program.

#### Membership\*

Gilda A. Barabino, Ph.D. (2004)  
Northeastern University

Oswaldo Castro, M.D. (2004)  
Howard University

J. Hoxi Jones-Carranza (2004)  
Texas Department of Human Services

Herbert J. Meiselman, Sc.D. (2003)  
University of Southern California

Marie J. Stuart, M.D. (2003)  
Thomas Jefferson University

Joseph Telfair, Dr.P.H. (2004)  
University of Alabama at Birmingham

Russell E. Ware, M.D. (2006)  
Duke University Medical Center

Theodore Wun, M.D. (2006)  
University of California, Davis Cancer Center

#### Ex Officio Members

Joseph Desimone, Ph.D.  
Department of Veterans Affairs, Chicago

William H. Hannon, Ph.D.  
Centers for Disease Control and Prevention

Marie Y. Mann, M.D.  
Health Resources and Services Administration

Robert L. Sheffler, M.D.  
Brooke Army Medical Center

Elias A. Zerhouni, M.D.  
National Institutes of Health

### Sleep Disorders Research Advisory Board

**Chair:** Stuart F. Quan, M.D., University of Arizona College of Medicine

**Executive Secretary:** Carl E. Hunt, M.D., Director, National Center on Sleep Disorders Research, NHLBI, National Institutes of Health, Bethesda, Maryland 20892; 301-435-0199

The Sleep Disorders Research Advisory Board advises the Directors of the NIH, the NHLBI, and the National Center on Sleep Disorders Research on matters related to the scientific activities carried out by and through the Center and on policies regarding such activities, including the identification of research priorities for coordination of sleep and sleep disorders research by the NIH and other Federal, professional, and voluntary organizations.

#### Membership\*

Gene D. Block, Ph.D. (2004)  
University of Virginia

Sarah J. Caddick, Ph.D. (2004)  
Steven and Michele Kirsch Foundation

Mary A. Carskadon, Ph.D. (2003)  
Brown University School of Medicine

Kathryn A. Lee, Ph.D. (2006)  
University of California, San Francisco

Sandra B. McGinnis (2003)  
Patient Advocate—Sleep

\* Current as of October 2002.

Rafael Pelayo, M.D. (2006)  
Stanford University

Susan Redline, M.D. (2006)  
Case Western Reserve University

Clifford B. Saper, M.D., Ph.D. (2005)  
Harvard Medical School

Michael J. Sateia, M.D. (2006)  
Dartmouth Medical School

Dara D. Spearman (2003)  
University of Michigan

Phillip L. Williams (2004)  
Bethlehem Steel

#### **Ex Officio Members**

Colonel Gregory Belenky, M.D.  
Walter Reed Army Institute of Research

Robert W. Greene, M.D., Ph.D.  
Veterans Affairs Medical Center, Brockton

Israel Lederhendler, Ph.D.  
NIMH, National Institutes of Health

Claude Lenfant, M.D.  
NHLBI, National Institutes of Health

Andrew Monjan, Ph.D., M.P.H.  
NIA, National Institutes of Health

Paul Nichols, Ph.D.  
NINDS, National Institutes of Health

Eve E. Slater, M.D.  
Department of Health and Human Services

Marian Willinger, Ph.D.  
NICHD, National Institutes of Health

Elias A. Zerhouni, M.D.  
National Institutes of Health

#### **Clinical Trials Review Committee**

**Chair:** Carl J. Pepine, M.D., University of Florida  
College of Medicine

**Scientific Review Administrator:** Valerie L.  
Prenger, Ph.D., Health Science Administrator, Division  
of Extramural Affairs, NHLBI, National Institutes of  
Health, Bethesda, Maryland 20892; 301-435-0287

The Clinical Trials Review Committee provides initial  
technical merit review for the NHLBAC and the Director  
of the NHLBI on clinical trial applications for the  
support of studies to evaluate preventive or therapeutic  
measures of cardiovascular, lung, or blood diseases.

#### **Membership\***

Shelly L. Carter, Sc.D. (2006)  
The Emmes Corporation

Vernon M. Chinchilli, Ph.D. (2003)  
Pennsylvania State College of Medicine

James E. Fish, M.D. (2005)  
Thomas Jefferson Medical College

John M. Fontaine, M.D. (2005)  
Hahnemann University

Judith S. Hochman, M.D. (2006)  
Columbia University

James D. Hosking, Ph.D. (2003)  
University of North Carolina

Kenneth V. Leeper, M.D. (2004)  
Emory University School of Medicine

Marilyn J. Manco-Johnson, M.D. (2005)  
University of Colorado Health Sciences Center

Cynthia S. Rand, Ph.D. (2003)  
The Johns Hopkins University

David M. Reboussin, Ph.D. (2006)  
Wake Forest University School of Medicine

\* Current as of October 2002.

Linda G. Snetselaar, Ph.D. (2004)  
University of Iowa

Charles M. Stein, Ph.D. (2004)  
Vanderbilt University Medical Center

Marilyn J. Telen, M.D. (2005)  
Duke University Medical Center

Carla Yunis, M.D. (2004)  
3M Pharmaceuticals

### **Heart, Lung, and Blood Program Project Review Committee**

**Chair:** Gary K. Owens, Ph.D., University of Virginia School of Medicine

**Scientific Review Administrator:** Jeffery H. Hurst, Ph.D., Health Scientist Administrator, Division of Extramural Affairs, NHLBI, National Institutes of Health, Bethesda, Maryland 20892; 301-435-0303

The Heart, Lung, and Blood Program Project Review Committee provides initial technical merit review for the NHLBAC and the Director, NHLBI, on program project applications proposing research in the areas of heart, lung, and blood diseases and resources.

### **Membership\***

Roberto Bolli, M.D. (2004)  
University of Louisville School of Medicine

Martha K. Cathcart, Ph.D. (2004)  
Cleveland Clinic Foundation

Debra I. Diz, Ph.D. (2003)  
Wake Forest University School of Medicine

Jeffrey J. Fredberg, Ph.D. (2006)  
Harvard University

Joe G. Garcia, M.D. (2005)  
The Johns Hopkins University

Katherine A. High, M.D. (2005)  
University of Pennsylvania

Cheryl A. Hillery, M.D. (2005)  
The Blood Center of Southeastern Wisconsin

Alan H. Kadish, M.D. (2004)  
Northwestern University Medical School

K. J. Koa, M.D., Ph.D. (2005)  
University of Florida

Aldons J. Lusis, Ph.D. (2003)  
University of California, Los Angeles

Brooke T. Mossman, Ph.D. (2006)  
University of Vermont

Nancy J. Rusch, Ph.D. (2004)  
Medical College of Wisconsin

Roy L. Silverstein, M.D. (2006)  
Cornell University

Julian Solway, M.D. (2006)  
University of Chicago

Kurt R. Stenmark, M.D. (2005)  
University of Colorado Health Sciences Center

Michiko Watanabe, Ph.D. (2006)  
Case Western Reserve University

Gilbert C. White II, M.D. (2003)  
University of North Carolina

### **National Heart, Lung, and Blood Institute Special Emphasis Panel**

The Institute established the NHLBI Special Emphasis Panel (SEP) to perform initial peer review of applications and proposals that were previously handled by ad hoc committees. Concept review, previously handled by divisional program advisory committees, has also been incorporated into the SEP system. The SEP, which has neither a fixed membership nor a set meeting schedule, is constituted to provide required peer review expertise at precisely the time that it is needed.

### **Board of Scientific Counselors**

**Chair:** Joseph Loscalzo, M.D., Ph.D., Boston University School of Medicine

**Executive Secretary:** Elizabeth Nabel, M.D., Director, Clinical Research Program, NHLBI, National Institutes of Health, Bethesda, MD 20892; 301-496-1518

\* Current as of October 2002.

The Board of Scientific Counselors advises the Director and the Deputy Director for Intramural Research, NIH, and the Directors of NHLBI and the Division of Intramural Research, NHLBI, on the intramural research programs of the NHLBI.

**Membership\***

Ivor J. Benjamin, M.D. (2007)  
University of Texas Southwestern Medical Center

Nancy Berliner, M.D. (2007)  
Yale University

Nelson J. Chao, M.D. (2006)  
Duke University Medical Center

Pamela B. Davis, M.D. (2006)  
Case Western Reserve University

Kevin J. Foskett, Ph.D. (2005)  
University of Pennsylvania

Carole R. Mendelson, Ph.D. (2004)  
University of Texas Southwestern Medical Center

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\* Current as of October 2002.



# 7. Fiscal Year 2002 Budget Overview

## NHLBI Obligations by Funding Mechanism: Fiscal Year 2002

Funding Mechanism	Obligated Dollars* (Thousands)	Percent of Total NHLBI Budget
Research Project Grants <sup>†</sup>	\$1,779,573	69.2%
Centers of Research <sup>‡</sup>	108,416	4.2
Sickle Cell Centers	17,208	0.7
Centers for AIDS Research	2,538	0.1
Other Research Grants	98,459	3.8
<i>Research Careers Programs<sup>§</sup></i>	<i>63,511</i>	<i>2.5</i>
Training Programs	79,170	3.1
Research and Development Contracts	258,300	10.1
Intramural Laboratory and Clinical Research	146,736	5.7
Research Management and Support <sup>**</sup>	79,394	3.1
Research Facilities Construction Grants	—	—
<b>Total Obligations</b>	<b>\$2,569,794</b>	<b>100%</b>

\* Excludes funds provided by other agencies by means of a reimbursable agreement.

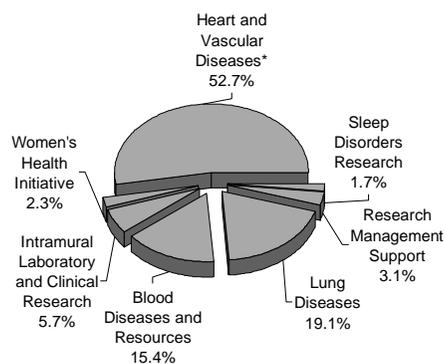
<sup>†</sup> Includes \$60,841 for Small Business Innovation Research (SBIR) Grants.

<sup>‡</sup> Includes P50, P20, and P30.

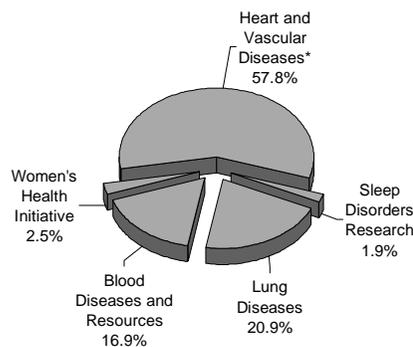
<sup>§</sup> Research Career Programs are a subset of Other Research Grants and are not added as a distinct funding mechanism.

\*\* Excludes OD and DIR research contracts, which are included in R&D contracts.

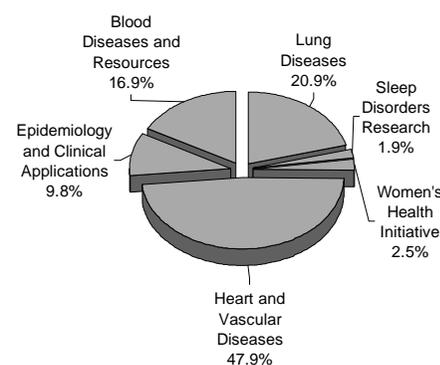
### NHLBI Total Obligations by Budget Category



### NHLBI Extramural Obligations by Program



### NHLBI Extramural Obligations by Division



\* Includes Heart and Vascular Diseases and Epidemiology and Clinical Applications.

For detailed data on FY 2002:

- Research grants, see Chapters 9 and 11
- Research and development contracts, see Chapters 10 and 11
- Research training and career development, see Chapter 13
- Geographic distribution of awards, see Chapter 14.

## NHLBI Extramural Obligations by Program: Fiscal Year 2002

Program	Obligated Dollars (Thousands)	Percent of NHLBI Extramural Budget
Heart and Vascular Diseases*	\$1,353,587	57.8%
Lung Diseases	490,457	20.9
Blood Diseases and Resources	395,957	16.9
Sleep Disorders Research	44,653	1.9
Women's Health Initiative	59,010	2.5
<b>Total, Extramural Obligations</b>	<b>\$2,343,664</b>	<b>100%</b>

\* Includes Heart and Vascular Diseases and Epidemiology and Clinical Applications.

## NHLBI Heart and Vascular Diseases Program\* Obligations by Funding Mechanism: Fiscal Year 2002

Funding Mechanism	Obligated Dollars (Thousands)	Percent of Program Budget
Research Project Grants	\$897,338	79.9%
Centers of Research	45,384	4.0
Other Research Grants	34,853	3.1
<i>Research Career Programs</i> †	21,778	1.9
Training Programs	40,729	3.6
Research and Development Contracts	104,762	9.3
<b>Total, Heart and Vascular Diseases</b>	<b>\$1,123,066</b>	<b>100%</b>

\* Includes Heart and Vascular Diseases only.

† Research Career Programs are a subset of Other Research Grants and are not added as a distinct funding mechanism.

## NHLBI Epidemiology and Clinical Applications Program Obligations by Funding Mechanism: Fiscal Year 2002

Funding Mechanism	Obligated Dollars (Thousands)	Percent of Program Budget
Research Project Grants	\$164,449	71.3%
Centers of Research	—	—
Other Research Grants	10,951	4.8
<i>Research Career Programs</i> *	9,177	4.0
Training Programs	4,648	2.0
Research and Development Contracts	50,473	21.9
<b>Total, Epidemiology and Clinical Applications</b>	<b>\$230,521</b>	<b>100%</b>

\* Research Career Programs are a subset of Other Research Grants and are not added as a distinct funding mechanism.

Note: Numbers may not add to total due to rounding.

### NHLBI Lung Diseases Program Obligations by Funding Mechanism: Fiscal Year 2002

Funding Mechanism	Obligated Dollars (Thousands)	Percent of Program Budget
Research Project Grants	\$375,138	76.5%
Centers of Research	42,597	8.7
Other Research Grants	36,601	7.5
<i>Research Career Programs*</i>	20,415	4.2
Training Programs	19,247	3.9
Research and Development Contracts	16,874	3.4
<b>Total, Lung Diseases</b>	<b>\$490,457</b>	<b>100%</b>

\* Research Career Programs are a subset of Other Research Grants and are not added as a distinct funding mechanism.

### NHLBI Blood Diseases and Resources Program Obligations by Funding Mechanism: Fiscal Year 2002

Funding Mechanism	Obligated Dollars (Thousands)	Percent of Program Budget
Research Project Grants	\$306,139	77.3%
Centers of Research	15,494	3.9
Sickle Cell Centers	17,208	4.3
Centers for AIDS Research	2,538	0.6
Other Research Grants	13,998	3.5
<i>Research Career Programs*</i>	10,101	2.6
Training Programs	13,502	3.4
Research and Development Contracts	27,078	6.8
<b>Total, Blood Diseases and Resources Program</b>	<b>\$395,957</b>	<b>100%</b>

\* Research Career Programs are a subset of Other Research Grants and are not added as a distinct funding mechanism.

### National Center on Sleep Disorders Research Program Obligations by Budget Mechanism: Fiscal Year 2002

Funding Mechanism	Obligated Dollars (Thousands)	Percent of Program Budget
Research Project Grants	\$36,509	81.8%
Centers of Research	4,941	11.1
Other Research Grants	2,056	4.6
<i>Research Career Programs*</i>	2,042	4.6
Training Programs	1,043	2.3
Research and Development Contracts	104	0.2
<b>Total, Sleep Disorders Research</b>	<b>\$44,653</b>	<b>100%</b>

\* Research Career Programs are a subset of Other Research Grants and are not added as a distinct funding mechanism.

Note: Numbers may not add to total due to rounding.

**Women's Health Initiative**  
**Obligations by Funding Mechanism: Fiscal Year 2002**

<b>Funding Mechanism</b>	<b>Obligated Dollars (Thousands)</b>	<b>Percent of Program Budget</b>
Research Project Grants	\$ —	— %
Centers of Research	—	—
Other Research Grants	—	—
<i>Research Career Programs*</i>	—	—
Training Programs	—	—
Research and Development Contracts	59,010	100
<b>Total, Women's Health Initiative</b>	<b>\$59,010</b>	<b>100%</b>

\* Research Career Programs are a subset of Other Research Grants and are not added as a distinct funding mechanism.



## 8. Long-Term Trends

### Budget History of the NHLBI: Fiscal Years 1950–2002

Dollars (Thousands)

Fiscal Year	Budget Estimate to Congress	House Allowance	Senate Allowance	Appropriation	Obligations	Cumulative Fiscal Year Obligations
1950	\$ 34,630	\$ 11,575	\$ 29,117	\$ 16,075	\$ 15,768	\$ 15,768
1951	8,800	8,800	9,400	9,400	8,497	24,265
1952	10,237	10,074	10,156	10,083	9,850	34,115
1953	9,779	9,623	12,000	12,000	11,398	45,513
1954	11,040	12,000	15,418	15,168	14,952	60,465
1955	14,570	16,168	17,168	16,668	16,595	77,060
1956	17,454	17,398	23,976	18,808	18,838	95,898
1957	22,106	25,106	33,396	33,396	32,392	128,290
1958	33,436	33,436	38,784	35,936	35,973	164,263
1959	34,820	36,212	49,529	45,613	45,468	209,731
1960	45,594	52,744	89,500	62,237	61,565	271,296
1961	63,162	71,762	125,166	86,900	86,239	357,535
1962	97,073	105,723	160,000	132,912	110,849	468,384
1963	126,898	143,398	149,498	147,398	120,597	588,981
1964	130,108	129,325	130,545	132,404	117,551	706,532
1965	125,640	124,521	125,171	124,824	124,412	830,944
1966	141,412	146,212	143,462	141,462	141,171	972,115
1967	148,407	154,770	164,770	164,770	164,342	1,136,457
1968	167,954	167,954	177,954	167,954	162,134	1,298,591
1969	169,735	164,120	172,120	166,928	161,834	1,460,425
1970	160,513	160,513	182,000	171,257	160,433	1,620,858
1971	171,747	178,479	203,479	194,901	194,826	1,815,684
1972	195,492	211,624	252,590	232,627	232,577	2,048,261
1973	255,280	300,000	350,000	300,000	255,722	2,303,983
1974	265,000	281,415	320,000	302,915	327,270	2,631,253
1975	309,299	321,196	330,000	327,996	327,953	2,959,206
1976	324,934	329,079	379,059	370,096	368,648	3,327,854
TQ <sup>A</sup>	59,715	58,015	58,015	58,763	60,639	3,388,493
1977	342,855	380,661	420,661	396,661	396,857	3,785,350
1978	403,642	432,642	456,000	447,901	447,968	4,233,318
1979	454,336	485,584	485,584	510,134	510,080	4,743,398
1980	507,344	527,544	527,544	527,544	527,248	5,270,646
1981	532,799	560,264	565,264	549,693	550,072	5,820,718
1982	579,602	583,831	587,741	559,637	559,800	6,380,518
1983	577,143	620,947	624,542	624,259	624,260	7,004,778
1984	639,774	665,859	683,489	704,939	705,064	7,709,842
1985	718,852	764,135	807,149	805,269	803,810	8,513,652
1986	775,254	856,388	863,652	859,239	821,901	9,335,553
1987	785,697	921,410	921,502	930,001	929,982	10,265,535
1988	821,887	990,808	1,000,349	965,536	965,283	11,230,818
1989	1,054,503	1,018,983	1,056,003	1,045,985	1,045,508	12,276,326
1990	1,039,846	1,090,930	1,091,597	1,072,354	1,070,683	13,347,009
1991	1,112,502	1,135,589	1,137,235	1,126,942	1,125,915	14,472,924
1992	1,209,924	1,202,398	1,190,396	1,191,500	1,190,070	15,662,994
1993	1,245,396	1,228,455	1,228,455	1,214,693	1,214,693	16,877,687
1994	1,198,402	1,277,880	1,277,880	1,277,880	1,277,852	18,155,539
1995	1,266,961	1,259,590	1,259,590	1,258,472	1,314,969	19,470,508
1996	1,337,021	1,355,866	1,320,254 <sup>B</sup>	1,355,866	1,351,422 <sup>C</sup>	20,821,930
1997	1,320,555 <sup>D</sup>	1,438,265	1,344,742 <sup>D</sup>	1,432,529 <sup>E</sup>	1,431,821	22,253,751
1998	1,467,189	1,513,004	1,531,898	1,531,061 <sup>F</sup>	1,526,276	23,780,027
1999	1,709,328 <sup>G</sup>	1,720,344	1,793,697	1,793,697 <sup>H</sup>	1,788,008	25,568,035
2000	1,759,806	1,937,404	2,001,185	2,040,291 <sup>I</sup>	2,027,286	27,595,321
2001	2,069,582	2,328,102	2,328,102	2,299,866 <sup>J</sup>	2,298,035	29,893,356
2002	2,567,429	2,547,675	2,618,966	2,576,125 <sup>K</sup>	2,569,794	32,463,150

A TQ=Transition Quarter, July 1–September 30, 1976.

B Senate Allowance reflects the Institute share of the Government-wide rescission and the HHS rescission.

C Obligations reflect the Institute share of the Government-wide rescission, the HHS rescission, and a transfer to other NIH Institutes through the NIH Director's 1 percent transfer authority.

D Excludes funds for AIDS research activities consolidated in the NIH Office of AIDS Research (OAR).

E Excludes enacted administrative reduction.

F Excludes \$321,000 Director Transfer; \$2,856,000 Secretary Transfer; and \$1,600,000 Director Rescission.

G Includes \$5,161,000 Bioterrorism reduction.

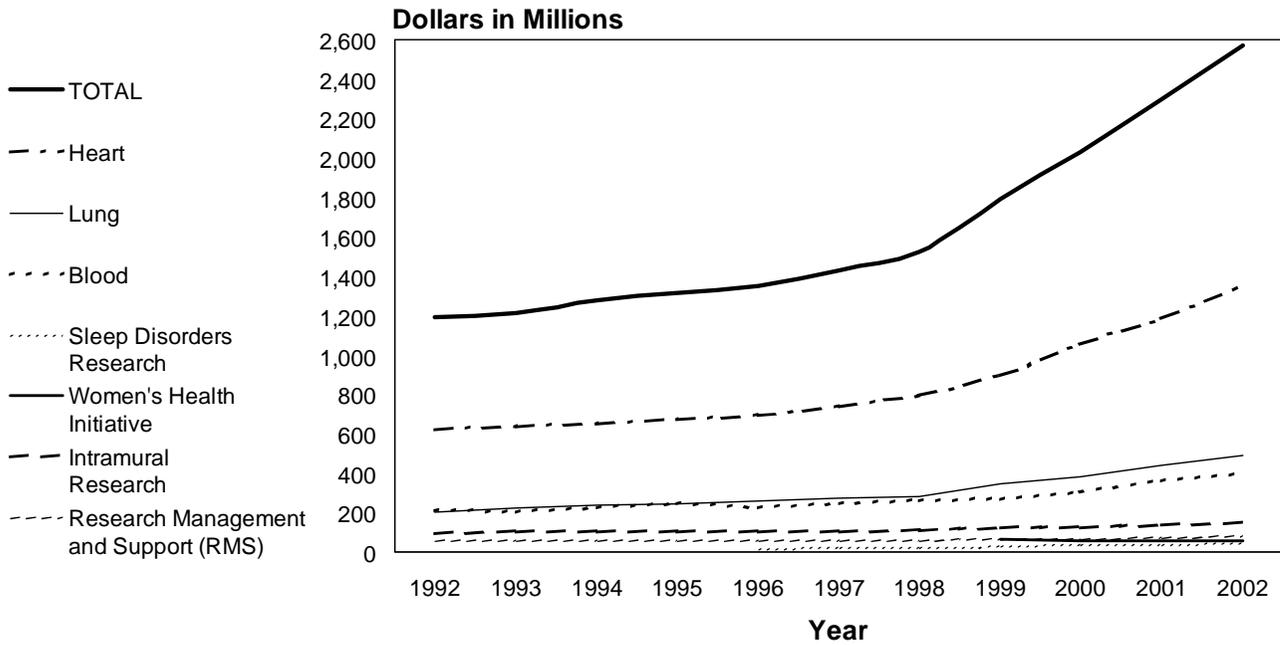
H Excludes \$3,840,000 Director Transfer; \$571,000 Secretary Transfer; and \$1,188,000 Director Rescission.

I Excludes \$1,701,000 Director Transfer; \$424,000 Secretary Transfer; and \$10,867,000 Rescission.

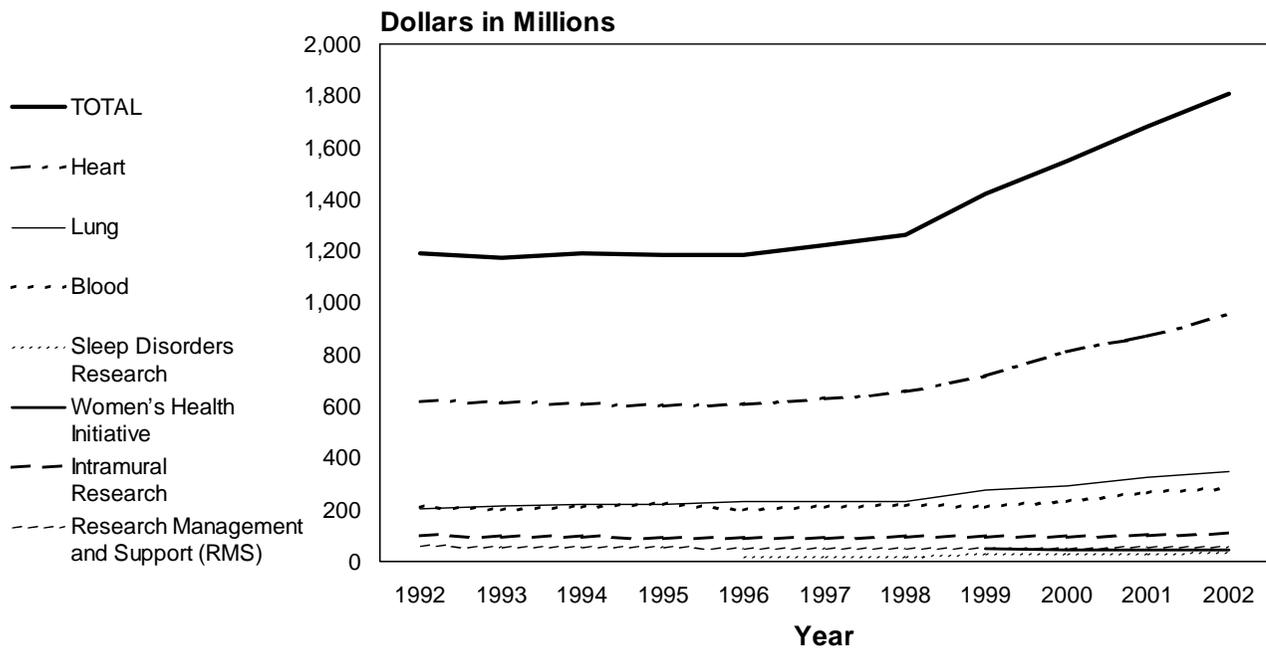
J Excludes \$479,000 transfer to the Office of Human Research Protection; \$436,000 Secretary Transfer; and \$875,000 Rescission.

K Excludes \$395,000 Government-wide Rescission; \$2,135,000 Labor/HHS/Education Rescission; \$928,000 from HHS to OMB Rescission; and \$2,782,000 Secretary 1 percent transfer.

**NHLBI Total Obligations by Budget Category: Fiscal Years 1992–2002**  
**Current Dollars**



**NHLBI Total Obligations by Budget Category: Fiscal Years 1992–2002**  
**Constant 1992 Dollars**



## NHLBI Total Obligations by Budget Category: Fiscal Years 1992–2002

Current Dollars (Millions)											
Budget Category	Fiscal Year										
	1992	1993	1994	1995	1996	1997	1998	1999	2000	2001	2002
Extramural Research											
Heart	\$ 619.5	\$ 632.0	\$ 651.7	\$ 668.9	\$ 692.8	\$ 737.9	\$ 795.6	\$ 898.0	\$1,058.0	\$1,186.6	\$1,353.4
Lung	203.4	221.6	238.7	243.0	261.9	273.4	281.7	346.2	380.4	444.0	490.5
Blood	211.9	203.5	227.4	244.6	224.3	242.7	257.5	266.1	305.9	364.0	396.0
Sleep Disorders Research	—	—	—	—	15.9	18.7	22.3	31.2	35.1	37.0	44.7
Women's Health Initiative	—	—	—	—	—	—	—	63.1	57.7	59.2	59.0
Intramural Research	97.1	98.2	101.7	98.9	101.8	104.4	111.6	119.5	122.3	133.7	146.7
Research Management and Support (RMS)	58.2	59.4	58.4	59.5	54.8	54.6	57.6	63.9	67.9	73.5	79.4
<b>Total</b>	<b>\$1,190.1</b>	<b>\$1,214.7</b>	<b>\$1,277.9</b>	<b>\$1,314.9</b>	<b>\$1,351.5</b>	<b>\$1,431.7</b>	<b>\$1,526.3</b>	<b>\$1,788.0</b>	<b>\$2,027.3</b>	<b>\$2,298.0</b>	<b>\$2,569.8</b>

Note: Numbers may not add to total due to rounding.

## NHLBI Total Obligations by Budget Category: Fiscal Years 1992–2002

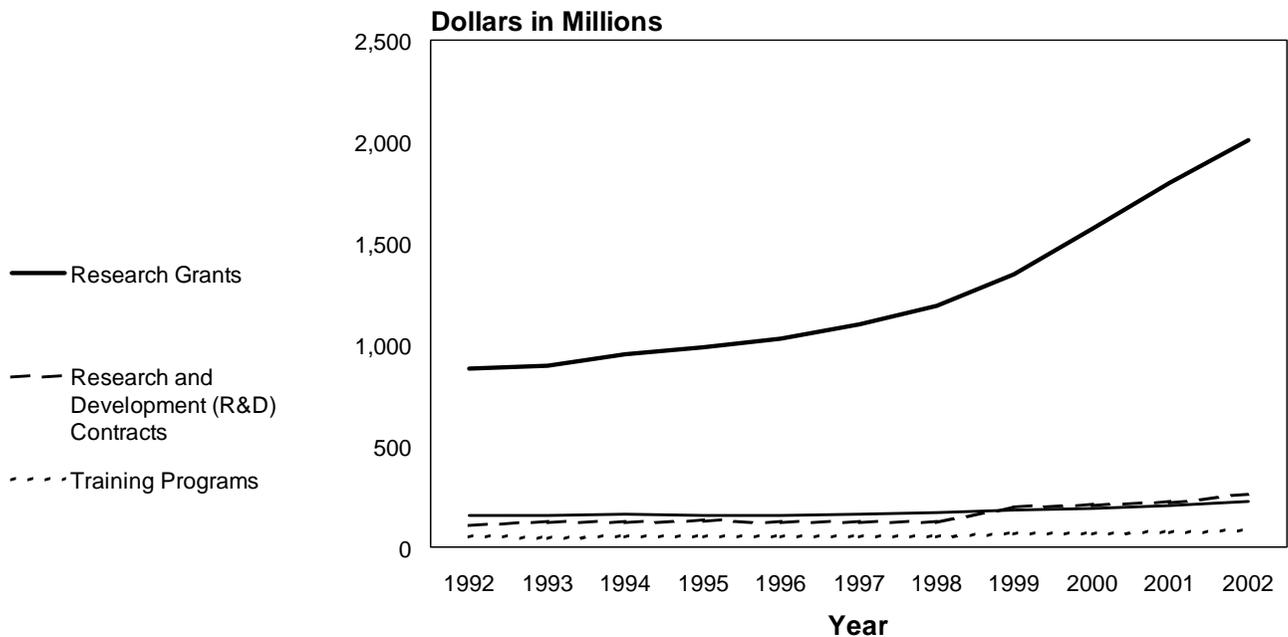
Constant 1992 Dollars (Millions)											
Budget Category	Fiscal Year										
	1992	1993	1994	1995	1996	1997	1998	1999*	2000	2001	2002
Extramural Research											
Heart	\$ 619.5	\$ 611.1	\$ 606.7	\$ 602.0	\$ 607.8	\$ 630.3	\$ 657.2	\$ 714.8	\$ 807.3	\$ 868.2	\$ 952.9
Lung	203.4	214.3	222.2	218.7	229.8	233.1	232.7	275.6	290.2	325.0	345.3
Blood	211.9	196.8	211.7	220.1	196.4	207.5	212.7	211.8	233.4	266.4	278.8
Sleep Disorders Research	—	—	—	—	14.0	16.2	18.4	24.8	26.8	27.1	31.5
Women's Health Initiative	—	—	—	—	—	—	—	50.2	44.0	43.3	41.5
Intramural Research	97.1	95.0	94.7	89.0	89.5	88.8	92.2	95.1	93.3	97.9	103.3
Research Management and Support (RMS)	58.2	57.4	54.4	53.6	48.2	47.0	47.6	50.9	51.8	53.8	55.9
<b>Total</b>	<b>\$1,190.1</b>	<b>\$1,174.6</b>	<b>\$1,189.7</b>	<b>\$1,183.4</b>	<b>\$1,185.7</b>	<b>\$1,222.9</b>	<b>\$1,260.8</b>	<b>\$1,423.2</b>	<b>\$1,546.8</b>	<b>\$1,681.7</b>	<b>\$1,809.2</b>

\* 2.8% Inflation Factor used to calculate FY 1999.

This table is based on the Biomedical Research & Development Price Index (January 2002).

Note: Numbers may not add to total due to rounding.

### NHLBI Total Obligations by Budget Mechanism: Fiscal Years 1992–2002



### NHLBI Total Obligations by Budget Mechanism: Fiscal Years 1992–2002

Current Dollars (Millions)

Funding Mechanism	Fiscal Year										
	1992	1993	1994	1995	1996	1997	1998	1999	2000	2001	2002
Research Grants*	\$ 880.4	\$ 895.3	\$ 951.2	\$ 982.6	\$1,025.4	\$1,100.9	\$1,189.8	\$1,346.6	\$1,570.5	\$1,796.9	\$2,006.2
Research and Development (R&D) Contracts	107.7	117.5	118.3	125.9	120.9	121.9	116.7	197.2	201.3	220.1	258.3
Training Programs	46.7	44.3	48.3	48.0	48.5	49.8	50.6	60.8	65.4	73.7	79.2
Intramural Research and Research Management and Support (RMS)†	155.3	157.6	160.1	158.4	156.6	159.1	169.2	183.4	190.1	207.3	226.1
<b>Total</b>	<b>\$1,190.1</b>	<b>\$1,214.7</b>	<b>\$1,277.9</b>	<b>\$1,314.9</b>	<b>\$1,351.4</b>	<b>\$1,431.7</b>	<b>\$1,526.3</b>	<b>\$1,788.0</b>	<b>\$2,027.3</b>	<b>\$2,298.0</b>	<b>\$2,569.8</b>

\* Includes Research Career Programs.

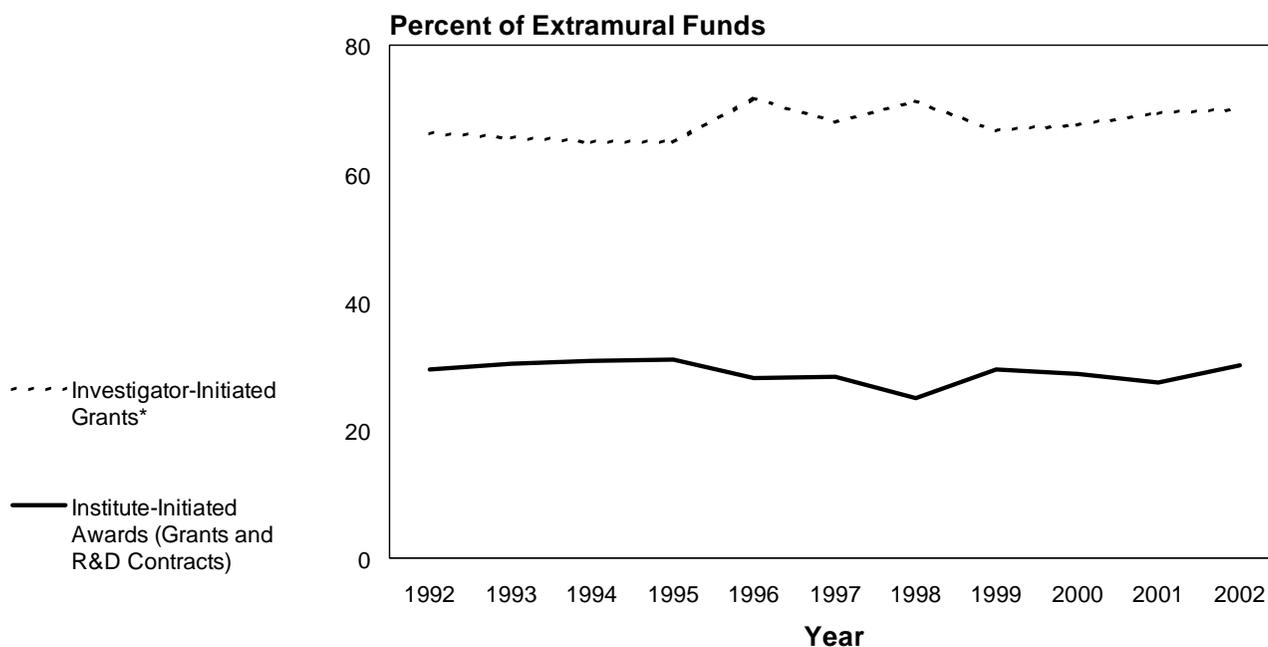
† Excludes Office of the Director and DIR research contracts, which are included in R&D contracts.

### NHLBI Employment: Fiscal Years 1992–2002

Staff	Fiscal Year										
	1992	1993	1994	1995	1996	1997	1998	1999	2000	2001	2002
FTEs*	931	911	854	822	834	829	840	847	865	868	880

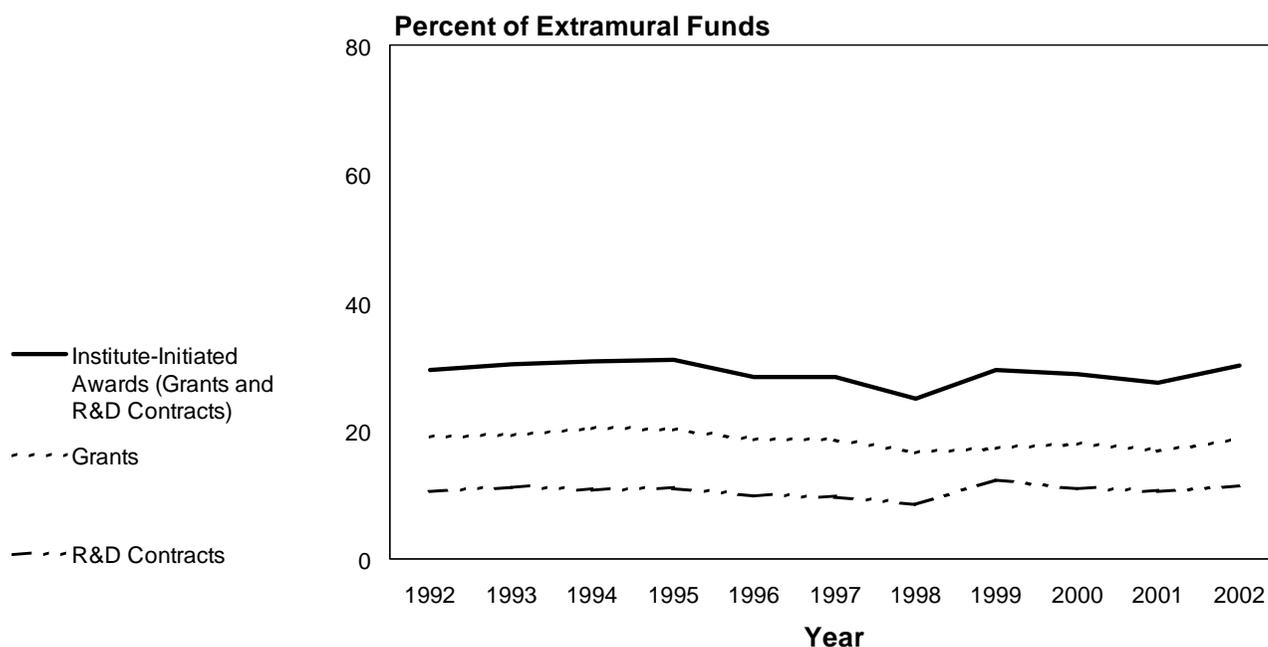
\* Full-time equivalents.

### NHLBI Institute-Initiated and Investigator-Initiated Awards: Fiscal Years 1992–2002



\* Includes Research Career Programs.

### NHLBI Grants and Research and Development Contracts as Subsets of Institute-Initiated Awards: Fiscal Years 1992–2002



## NHLBI Extramural Programs: Fiscal Years 1992–2002

Dollars (Millions)

Funding Mechanism	Fiscal Year										
	1992	1993	1994	1995	1996	1997	1998	1999	2000	2001	2002
Investigator-Initiated Awards											
Investigator-Initiated Grants*	\$ 654.8	\$ 663.2	\$ 669.7	\$ 725.0	\$ 815.5	\$ 835.3	\$ 930.5	\$ 1,023.6	\$ 1,188.6	\$ 1,388.8	\$ 1,521.4
Research Career Programs	23.0	23.1	25.1	25.7	28.9	28.9	36.1	46.3	53.0	57.5	63.5
Subtotal, Investigator-Initiated Awards	677.8	686.3	694.8	750.7	844.4	864.2	966.6	1,069.9	1,241.6	1,446.3	1,584.9
Institute-Initiated Awards											
Institute-Initiated Grants (RFA)	202.6	209.0	226.4	231.9	216.8	236.8	223.2	276.7	328.9	350.7	421.3
Centers†	96.5	96.6	101.5	107.0	87.5	87.7	114.4	119.9	123.8	127.2	128.2
R&D Contracts (RFP)	107.7	117.5	118.3	125.9	116.7	121.9	116.7	197.2	201.3	220.1	258.3
Subtotal, Institute-Initiated Awards	310.3	326.5	344.7	357.8	333.5	358.7	339.9	473.9	530.2	570.8	679.6
Training											
Individual Awards	6.3	6.2	7.2	7.1	7.3	6.8	7.6	9.2	8.9	8.9	9.5
Institutional Awards	39.9	37.2	40.0	40.0	40.2	42.0	42.0	50.3	55.2	63.4	69.7
Subtotal, Training‡	46.7	44.3	48.2	48.0	48.5	49.8	50.6	60.8	65.4	73.7	79.2
<b>Total, Extramural</b>	<b>\$1,034.8</b>	<b>\$1,057.1</b>	<b>\$1,087.7</b>	<b>\$1,156.5</b>	<b>\$1,226.4</b>	<b>\$1,272.7</b>	<b>\$1,357.1</b>	<b>\$1,604.6</b>	<b>\$1,837.2</b>	<b>\$2,090.8</b>	<b>\$2,343.7</b>

\* Includes all R18s.

† Centers are a subset of Institute-Initiated Grants (RFAs), and are not added to the Institute-Initiated Awards subtotal as a distinct category.

‡ Numbers do not add to subtotal because line-items exclude NIH assessments.

## NHLBI Extramural Programs: Fiscal Years 1992–2002

Percent of Total Extramural Budget

Funding Mechanism	Fiscal Year										
	1992	1993	1994	1995	1996	1997	1998	1999	2000	2001	2002
Investigator-Initiated Awards											
Investigator-Initiated Grants*	63.3%	62.7%	61.6%	62.7%	66.5%	65.6%	68.6%	63.8%	64.7%	66.4%	64.9%
Research Career Programs (K04, K06)	2.2	2.2	2.3	2.2	2.4	2.3	2.7	2.9	2.9	2.8	2.7
Subtotal, Investigator-Initiated Awards	65.5	64.9	63.9	64.9	68.9	67.9	71.2	66.7	67.6	69.2	67.6
Institute-Initiated Awards											
Institute-Initiated Grants (RFA)	19.6	19.8	20.8	20.1	17.7	18.6	16.4	17.2	17.9	16.8	18.0
Centers†	9.3	9.1	9.3	9.3	7.1	6.9	8.4	7.5	6.7	6.1	5.5
R&D Contracts (RFP)	10.4	11.1	10.9	10.9	9.5	9.6	8.6	12.3	11.0	10.5	11.0
Subtotal, Institute-Initiated Awards	30.0	30.9	31.7	30.9	27.2	28.2	25.0	29.5	28.9	27.3	29.0
Training											
Individual Awards	0.6	0.6	0.7	0.6	0.6	0.5	0.6	0.6	0.5	0.4	0.4
Institutional Awards	3.9	3.5	3.7	3.5	3.3	3.3	3.1	3.1	3.0	3.0	3.0
Subtotal, Training‡	4.5	4.2	4.4	4.2	4.0	3.9	3.7	3.8	3.6	3.5	3.4
<b>Total, Extramural</b>	<b>100%</b>										

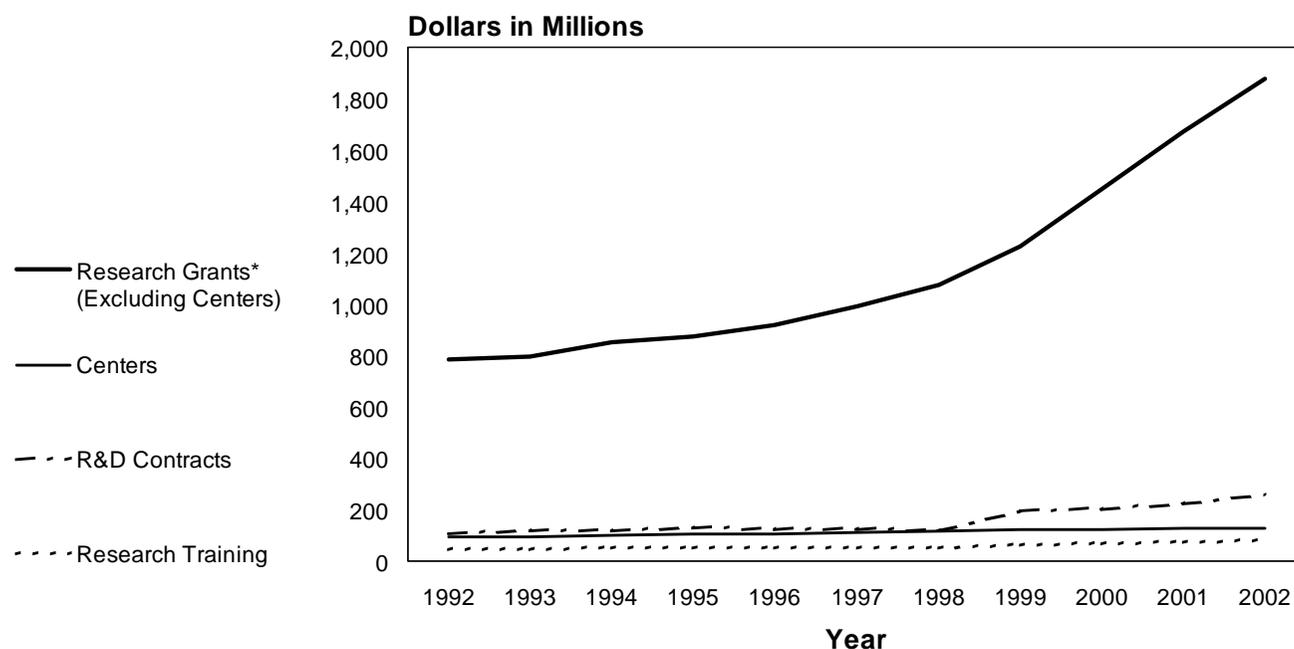
\* Includes all R18s.

† Centers are a subset of Institute-Initiated Grants (RFAs), and are not added to the Institute-Initiated Awards subtotal as a distinct category.

‡ Numbers do not add to subtotal because line-items exclude NIH assessments.

Note: Numbers may not add to total due to rounding.

## NHLBI Extramural Research Funding Mechanism: Fiscal Years 1992–2002



## NHLBI Extramural Research Funding Mechanism: Fiscal Years 1992–2002

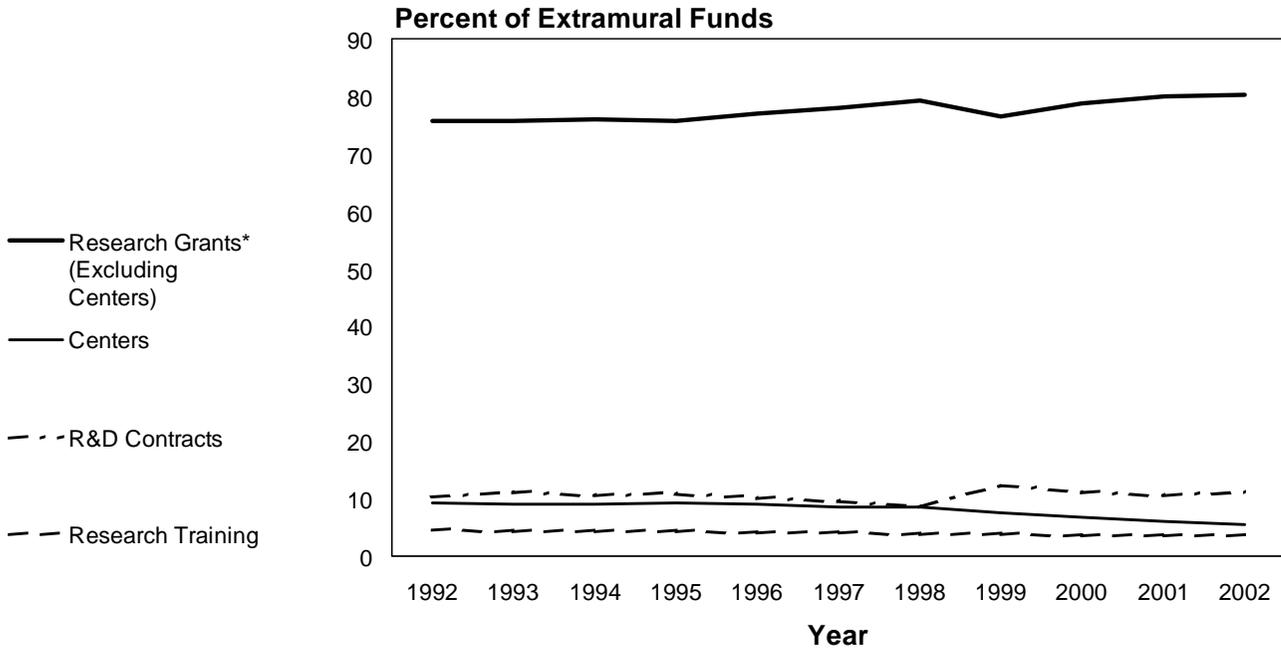
Dollars (Millions)

Funding Mechanism	Fiscal Year										
	1992	1993	1994	1995	1996	1997	1998	1999	2000	2001	2002
Research Grants*	\$ 783.9	\$ 798.7	\$ 849.7	\$ 875.7	\$ 918.7	\$ 992.3	\$1,075.4	\$1,226.7	\$1,446.7	\$1,669.8	\$1,878.0
Centers	96.5	96.6	101.5	107.0	106.7	108.7	114.4	119.9	123.8	127.2	128.2
R&D Contracts	107.7	117.5	118.3	125.9	120.9	121.9	116.7	197.2	201.3	220.1	258.3
Research Training	46.7	44.3	48.2	48.0	48.5	49.8	50.6	60.8	65.4	73.7	79.2
<b>Total, Extramural</b>	<b>\$1,034.8</b>	<b>\$1,057.1</b>	<b>\$1,117.7</b>	<b>\$1,156.6</b>	<b>\$1,194.8</b>	<b>\$1,272.7</b>	<b>\$1,357.1</b>	<b>\$1,604.6</b>	<b>\$1,837.2</b>	<b>\$2,090.8</b>	<b>\$2,343.7</b>

\* Includes Research Career Programs; does not include Centers.

Note: Numbers may not add to total due to rounding.

## NHLBI Extramural Research Funding Mechanism: Fiscal Years 1992–2002



## NHLBI Extramural Research Funding Mechanism: Fiscal Years 1992–2002

**Percent of Total Extramural Budget**

Funding Mechanism	Fiscal Year										
	1992	1993	1994	1995	1996	1997	1998	1999	2000	2001	2002
Research Grants*	75.8%	75.6%	76.0%	75.7%	76.9%	78.0%	79.2%	76.4%	78.7%	79.9%	80.1%
Centers	9.3	9.1	9.1	9.3	8.9	8.5	8.4	7.5	6.7	6.1	5.5
R&D Contracts	10.4	11.1	10.6	10.9	10.1	9.6	8.6	12.3	11.0	10.5	11.0
Research Training	4.5	4.2	4.3	4.2	4.1	3.9	3.7	3.8	3.6	3.5	3.4
<b>Total, Extramural</b>	<b>100%</b>										

\* Includes Research Career Programs; does not include Centers.

Note: Numbers may not add to total due to rounding.

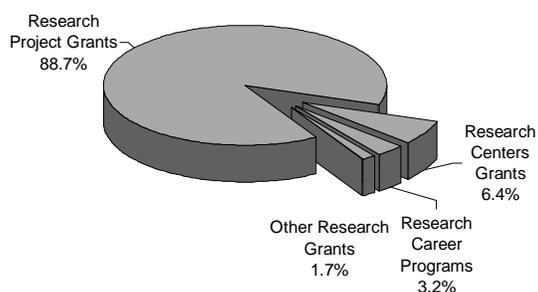


## 9. Research Grants

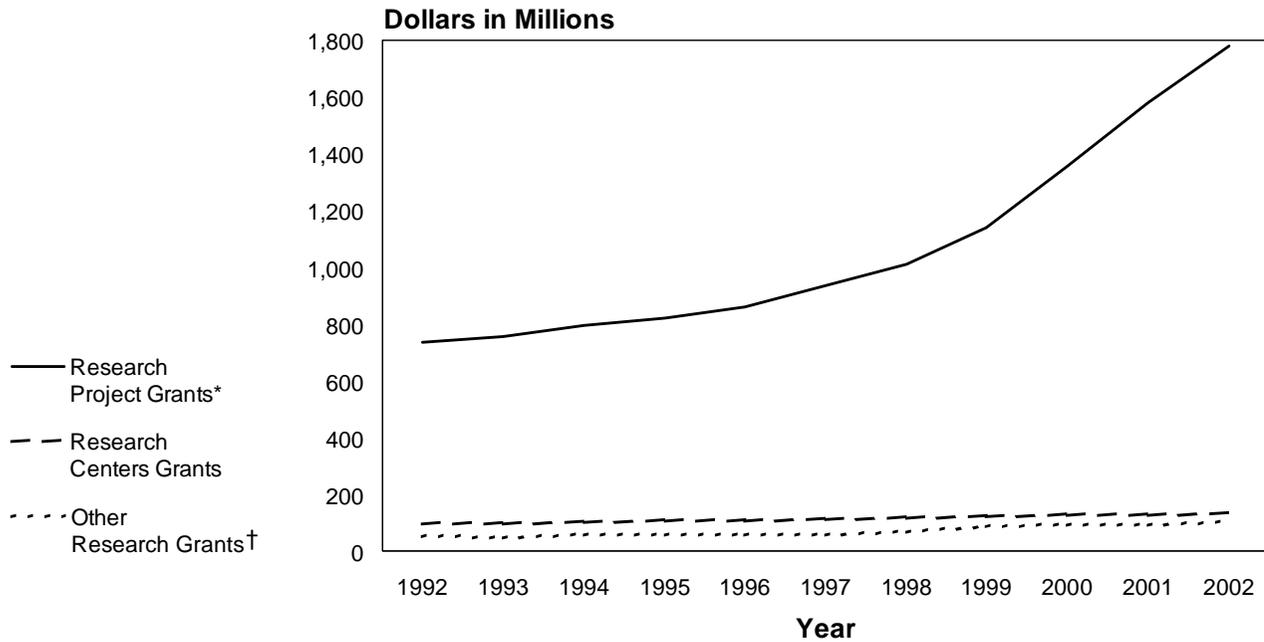
### NHLBI Research Grants by Funding Mechanism: Fiscal Year 2002

	Number of Grants	Total Cost (Dollars in Thousands)	Percent of Total NHLBI Research Grant Dollars
<b>Research Project Grants (RPGs)</b>			
<b>Research Project Grants (Excluding Small Business RPGs)</b>			
Regular Research Grants (R01)	3,462	\$1,165,689	58.10%
Small Research Grants (R03)	12	857	0.04
Program Project Grants (P01)	180	308,622	15.38
Cooperative Agreements (U01)	243	194,313	9.69
Area Grants (R15)	13	1,781	0.09
Explorative Developmental Grant (R21)	50	10,841	0.54
Transition Award (R29)	23	2,555	0.13
Method to Extend Research in Time (R37)	88	32,641	1.63
Exploratory/Developmental Grants Phase II (R33)	4	1,433	0.07
<b>Subtotal, Research Project Grants (Excluding Small Business RPGs)</b>	<b>4,075</b>	<b>1,718,732</b>	<b>85.67</b>
<b>Small Business Research Project Grants</b>			
Small Business Technology Transfer (STTR Phase I) (R41)	8	1,306	0.07
Small Business Technology Transfer (STTR Phase II) (R42)	7	2,199	0.11
Small Business Innovation Research (SBIR Phase I) (R43)	81	10,229	0.51
Small Business Innovation Research (SBIR Phase II) (R44)	105	47,107	2.35
<b>Subtotal, Small Business Research Project Grants</b>	<b>201</b>	<b>60,841</b>	<b>3.03</b>
<b>Subtotal, Research Project Grants</b>	<b>4,276</b>	<b>1,779,573</b>	<b>88.70</b>
<b>Research Center Grants</b>			
Exploratory Grants (P20)	2	1,513	0.08
Centers for AIDS Research (P30)	—	2,538	0.13
Animal Model and Animal and Biological Material Resource Grants (P40)	—	125	0.01
Specialized Centers of Research (SCOR) (P50)	64	106,777	5.32
Sickle Cell Centers (P60)	10	17,208	0.86
<b>Subtotal, Research Center Grants</b>	<b>76</b>	<b>128,161</b>	<b>6.39</b>
<b>Research Career Programs</b>			
Mentored Research Development Award for Minority Faculty (K01)	54	5,711	0.28
Minority Institution Faculty Mentored Research Scientist Award (K01)	2	1,703	0.08
Independent Scientist Award (K02)	33	3,130	0.16
Research Career Award (K06)	2	69	0.00
Nutrition Academic Award (K07)	19	2,906	0.14
Sleep Academic Award (K07)	8	722	0.04
Clinical Investigator Scientist Award (K08)	236	29,295	1.46
Mentored Patient-Oriented Research Career Development Award (K23)	90	11,909	0.59
Midcareer Investigator Award in Patient-Oriented Research (K24)	37	4,058	0.20
Mentored Quantitative Research Career Development Award (K25)	7	921	0.05
Clinical Research Curriculum Award (K30)	55	3,090	0.15
<b>Subtotal, Research Career Programs</b>	<b>543</b>	<b>63,514</b>	<b>3.17</b>
<b>Other Research Grants</b>			
Cooperative Clinical Research (U10, R10)	26	14,048	0.70
Minority Biomedical Research Support (S06, S14, R25)	—	3,480	0.17
Biomedical Research Support (S07)	—	3,452	0.17
Other (R09, R13, R18, R24, R25, T15, U09, U24, UH1)	48	13,966	0.70
<b>Subtotal, Other Research Grants</b>	<b>74</b>	<b>34,946</b>	<b>1.74</b>
<b>Total, NHLBI Research Grants</b>	<b>4,969</b>	<b>\$2,006,194</b>	<b>100%</b>

### NHLBI Total Research Grants by Category



**NHLBI Research Project Grant,\* Research Centers Grant, and Other Research Grant Obligations:  
Fiscal Years 1992–2002**



**NHLBI Research Project Grant,\* Research Centers Grant, and Other Research Grant Obligations:  
Fiscal Years 1992–2002**

**Dollars (Thousands)**

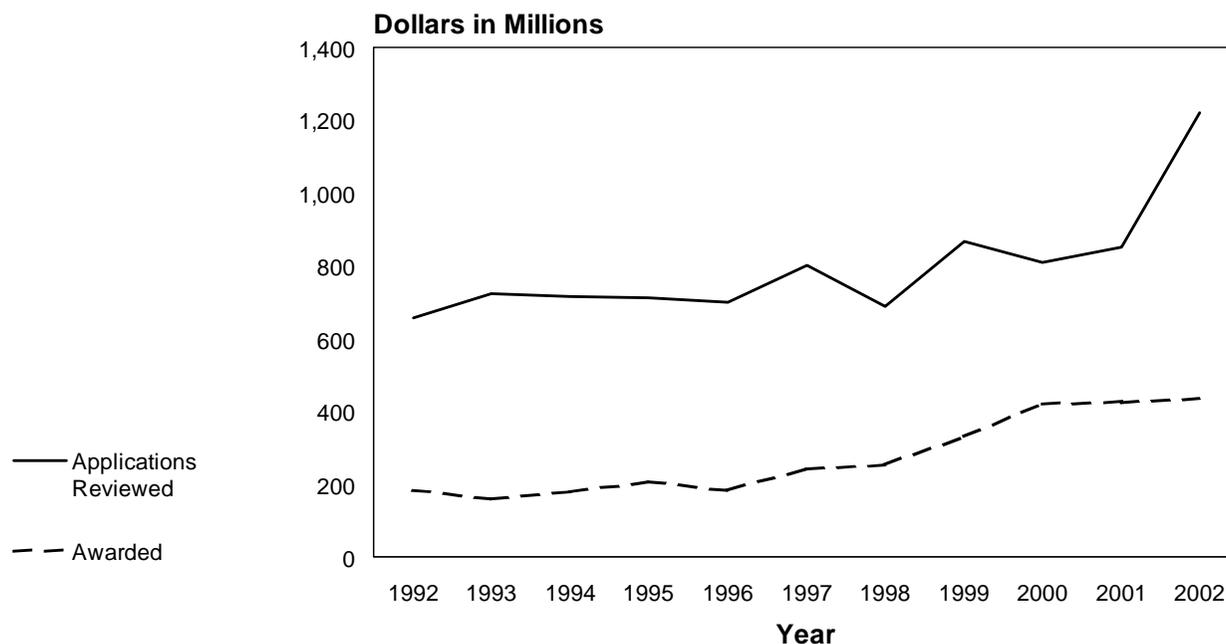
	Fiscal Year										
	1992	1993	1994	1995	1996	1997	1998	1999	2000	2001	2002
Research Project Grants*	\$736,232	\$752,978	\$797,092	\$819,674	\$862,027‡	\$935,322	\$1,009,152	\$1,142,473	\$1,356,034	\$1,580,751	\$1,779,573
Research Centers Grants	96,510	96,628	101,535	106,980	106,688	108,665	114,397	119,889	123,803	127,232	128,161
Other Research Grants†	47,656	45,654	52,576	55,974	56,692	56,993	66,234	84,219	90,666	88,958	98,460
<b>Total</b>	<b>\$880,398</b>	<b>\$895,260</b>	<b>\$951,203</b>	<b>\$982,628</b>	<b>\$163,380</b>	<b>\$1,100,980</b>	<b>\$1,189,783</b>	<b>\$1,346,581</b>	<b>\$1,570,503</b>	<b>\$1,796,941</b>	<b>\$2,006,194</b>

\* Includes R01, U01, P01, R29, R37, R43, and R44; R03 and R41 beginning in 1994; R55 beginning in 1995; R15 and R42 beginning in 1996; R21 beginning in 1997; and R33 beginning in 2001.

† Includes Research Career Programs; excludes General Research Support Grants.

‡ Includes Program Evaluation and IMPAC II Assessment of \$4,435,000.

**NHLBI Competing Research Project Grant Applications\*: Fiscal Years 1992–2002**  
**Total Cost Dollars Reviewed and Awarded**

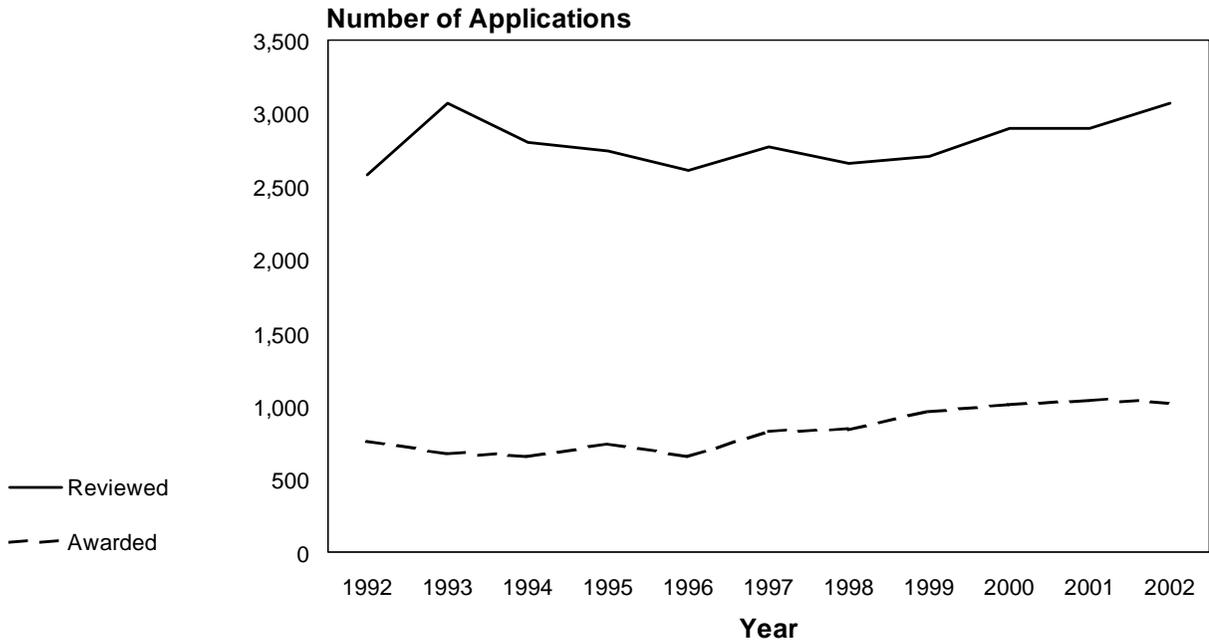


**NHLBI Competing Research Project Grant Applications\*: Fiscal Years 1992–2002**  
**Total Cost Dollars Reviewed and Awarded**

	Dollars (Millions)										
	Fiscal Year										
	1992	1993	1994	1995	1996	1997	1998	1999	2000	2001	2002
Applications Reviewed	\$658.4	\$724.3	\$715.0	\$710.3	\$699.2	\$802.1	\$687.1	\$867.1	\$809.8	\$851.7	\$1,221.7
Awarded	181.3	158.0	180.4	207.5	182.1	240.1	252.4	330.4	418.4	424.3	437.4

\* Includes R01, U01, P01, R29, and R37; R03 beginning in 1994; R55 beginning in 1995; R15 beginning in 1996; R21 beginning in 1997; and R33 beginning in 2001.

**NHLBI Competing Research Project Grant Applications\*: Fiscal Years 1992–2002  
 Number Reviewed and Awarded**

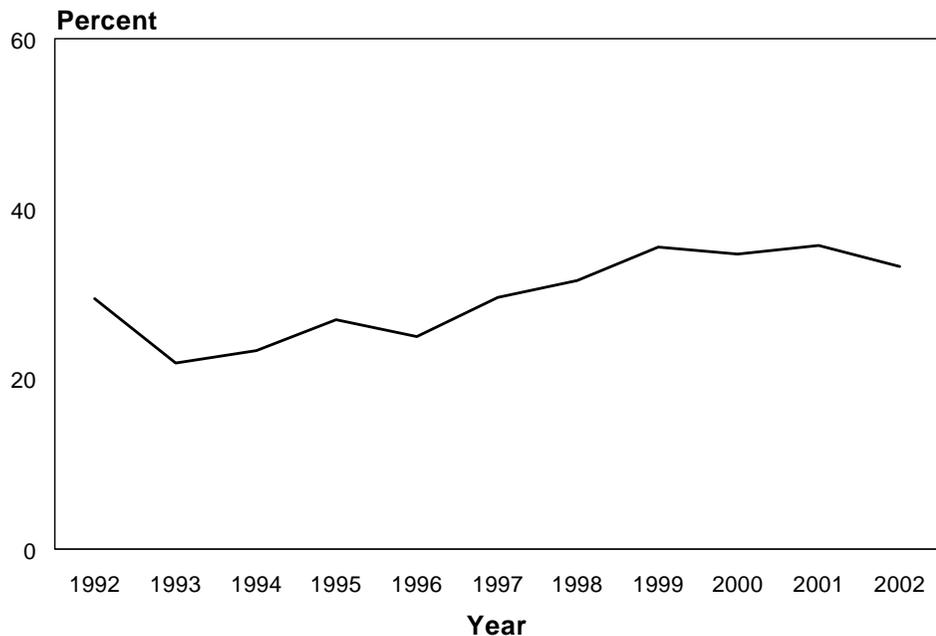


**Number Reviewed and Awarded and Percent Funded**

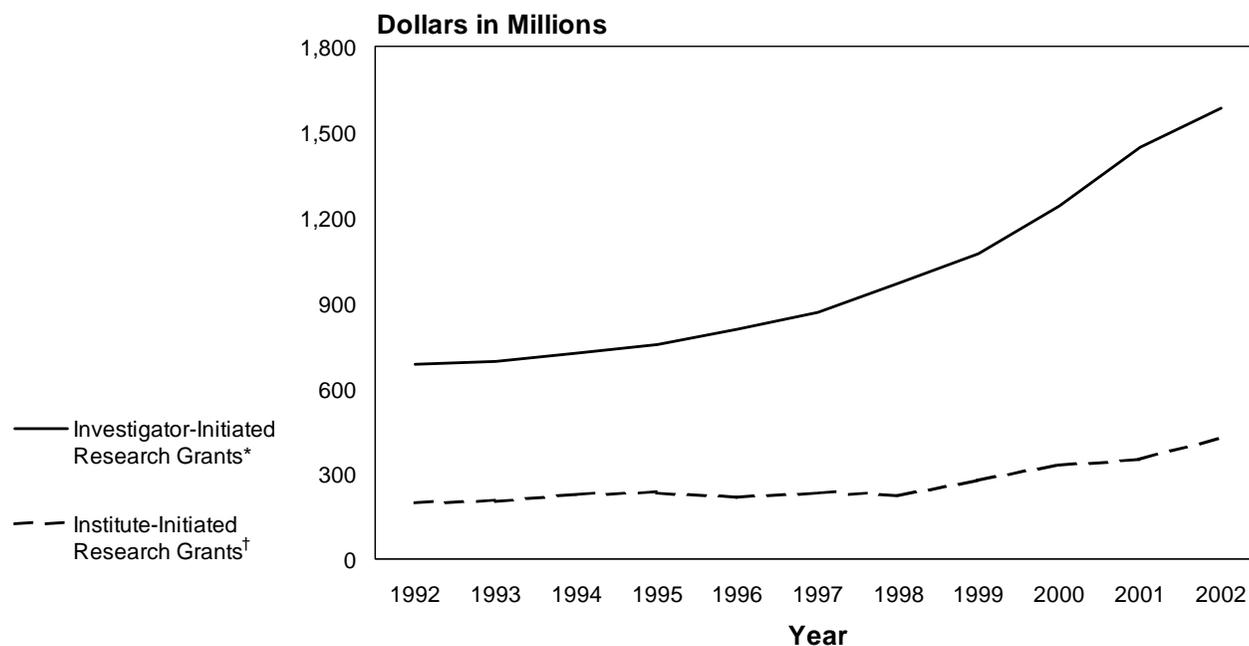
	Fiscal Year										
	1992	1993	1994	1995	1996	1997	1998	1999	2000	2001	2002
Applications Reviewed	2,580	3,072	2,801	2,744	2,605	2,771	2,657	2,704	2,893	2,895	3,064
RPGs Awarded	759	673	655	740	652	821	837	959	1,003	1,033	1,018
Success Rate (percent)	29.4	21.9	23.4	27.0	25.0	29.6	31.5	35.5	34.7	35.7	33.2

\* Includes R01, U01, P01, R29, and R37; R03 beginning in 1994; R55 beginning in 1995; R15 beginning in 1996; R21 beginning in 1997; and R33 beginning in 2001.

**Percent of Reviewed Applications Funded (Success Rate)**



## NHLBI Investigator-Initiated and Institute-Initiated Grant Obligations: Fiscal Years 1992–2002



## NHLBI Investigator-Initiated and Institute-Initiated Grant Obligations: Fiscal Years 1992–2002

Dollars (Millions)

	Fiscal Year											
	1992	1993	1994	1995	1996	1997	1998	1999	2000	2001	2002	
Investigator-Initiated*	\$683.9	\$692.8	\$724.8	\$750.7	\$804.1	\$867.9	\$966.6	\$1,069.9	\$1,241.6	\$1,446.2	\$1,584.9	
Institute-Initiated†	196.5	202.5	226.4	231.9	216.8	233	223.2	276.7	328.9	350.7	421.3	
<b>Total</b>	<b>\$880.4</b>	<b>\$895.3</b>	<b>\$951.2</b>	<b>\$982.6</b>	<b>\$1,020.9‡</b>	<b>\$1,100.9</b>	<b>\$1,189.8</b>	<b>\$1,346.6</b>	<b>\$1,570.5</b>	<b>\$1,796.9</b>	<b>\$2,006.2</b>	

\* Includes R01, U01, P01, R29, R37, R43, and R44; R03 and R41 beginning in 1994; R55 beginning in 1995; R15 and R42 beginning in 1996; R21 beginning in 1997; and R33 beginning in 2001.

† Includes Centers Grants and Cooperative Agreement RFAs.

‡ Excludes Program Evaluation Assessment of \$4,435,000.

## NHLBI Research Project Grants\*: Amount Funded by Type of Award, Fiscal Years 1992–2002

	Dollars (Millions)										
	Fiscal Year										
	1992	1993	1994	1995	1996	1997	1998	1999	2000	2001	2002
<b>Competing</b>											
New Competing	\$ 88.5	\$ 89.9	\$ 99.7	\$111.1	\$ 90.5	\$135.8	\$147.5	\$ 202.0	\$ 266.4	\$ 280.0	\$ 291.2
Renewal Competing	101.2	79.1	79.6	94.5	90.4	104	103.9	127.2	152.0	143.9	143.9
Competing Supplements	0.5	0.6	1.1	1.9	1.2	0.3	1.0	1.2	0.9	0.4	2.3
Subtotal, Competing	190.2	169.6	180.4	207.5	182.1	240.1	252.4	330.4	419.3	424.3	437.4
<b>Noncompeting</b>											
Subtotal, Noncompeting	546.0	583.4	599.9	588.4	649.9	662.4	721.3	770.6	889.3	1,101.5	1,281.3
<b>Total, Competing and Noncompeting</b>	<b>\$736.2</b>	<b>\$753.0</b>	<b>\$780.3</b>	<b>\$795.9</b>	<b>\$832.0</b>	<b>\$902.5</b>	<b>\$973.7</b>	<b>\$1,101.0</b>	<b>\$1,308.6</b>	<b>\$1,525.8</b>	<b>\$1,718.7</b>

\* Includes R01, U01, P01, R29, and R37; R03 beginning in 1994; R55 beginning in 1995; R15 beginning in 1996; R21 beginning in 1997; and R33 beginning in 2001.

## Facility and Administrative (F&A)\* Costs of NHLBI Research Project Grants†: Fiscal Years 1992–2002

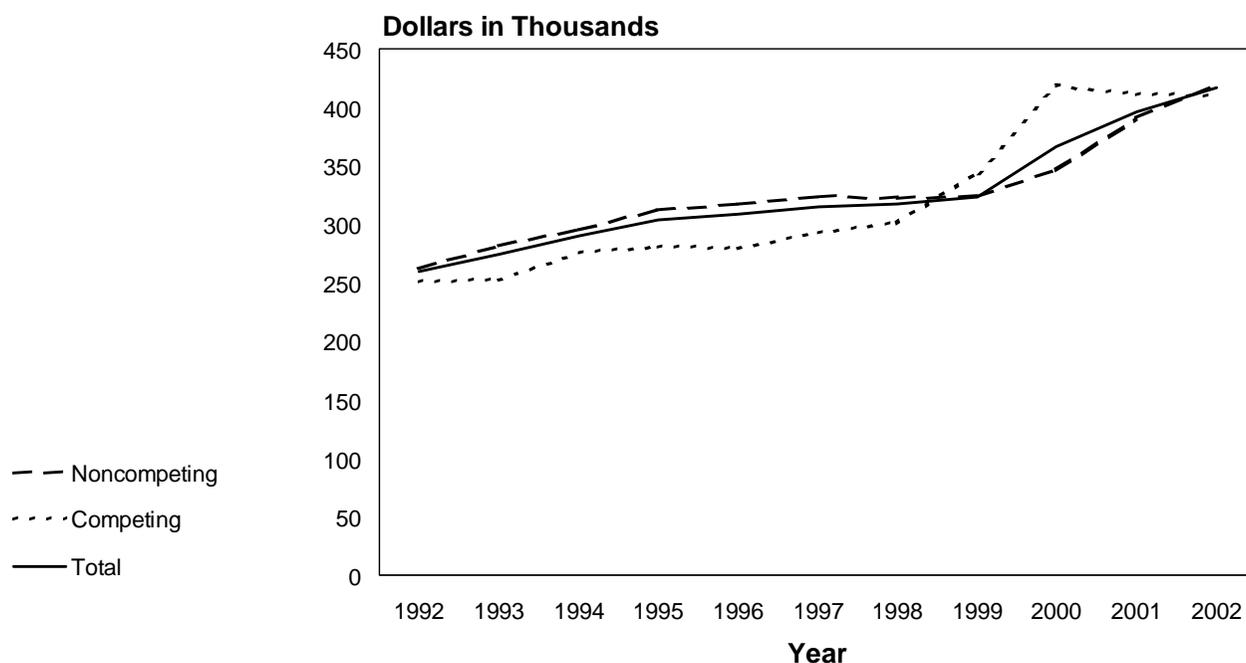
Fiscal Year	Dollars (Thousands)			
	Direct Cost	F&A Cost†	Total Cost	F&A Cost as a Percent of Direct Cost
1992	\$ 503,076	\$ 233,156	\$ 736,232	46.3%
1993	516,022	236,956	752,978	45.9
1994	534,374	245,965	780,339	46.0
1995	543,502	252,423	795,925	46.4
1996	564,219	267,785	832,004	47.5
1997	611,576	290,915	902,491	47.6
1998	660,009	313,765	973,774	47.5
1999	764,198	336,756‡	1,100,954	44.1
2000	891,244	417,312	1,308,556	46.8
2001	1,045,144	480,673	1,525,817	46.0
2002	1,182,408	536,324	1,718,732	45.4

\* Previously called Indirect Cost.

† Includes R01, U01, P01, R29, and R37; R03 beginning in 1994; R55 beginning in 1995; R15 beginning in 1996; R21 beginning in 1997; and R33 beginning in 2001.

‡ Excludes Program Evaluation Assessment of \$1,216,000.

### NHLBI Research Project Grants\*: Average Costs, Fiscal Years 1992–2002



### NHLBI Research Project Grants\*: Average Costs, Fiscal Years 1992–2002

**Dollars (Thousands)**

	Fiscal Year										
	1992	1993	1994	1995	1996	1997	1998	1999	2000	2001	2002
Noncompeting	\$261.7	\$281.0	\$294.8	\$312.8	\$317.5	\$323.0	\$322.6	\$323.4	\$346.6	\$390.7	\$418.8
Competing	251.4	252.0	275.5	280.4	279.3	292.5	301.6	344.5	418.0	410.8	409.1
<b>Total</b>	<b>\$259.0</b>	<b>\$273.9</b>	<b>\$290.1</b>	<b>\$303.7</b>	<b>\$308.3</b>	<b>\$314.2</b>	<b>\$316.9</b>	<b>\$329.4</b>	<b>\$366.6</b>	<b>\$396.1</b>	<b>\$416.2</b>

\* Includes R01, U01, P01, R29, R37, R43, and R44; R03 and R41 beginning in 1994; R55 beginning in 1995, R15 and R42 beginning in 1996; R21 beginning in 1997; and R33 beginning in 2001.

## NHLBI Cooperative Agreements (U01, U10) Programs

Cooperative Agreements were instituted to support discrete, circumscribed projects in areas of an investigator's specific interest and competency with substantial programmatic participation by the NHLBI during performance of the activity.

	Total Obligations Prior to FY 2002	Total FY 2002 Obligations	Total Obligations to Date
<b>Heart and Vascular Diseases</b>			
A CHF Trial Investigating Outcomes of Exercise (ACTION)	\$ —	\$ 7,489,394	\$ 7,489,394
Azithromycin and Coronary Events Study (ACES)	6,412,683	1,254,228	7,666,911
Bypass Angioplasty Revascularization Investigation (BARI) Data Coordinating Center	50,906,906	1,455,489	52,362,395
Bypass Angioplasty Revascularization Investigation in Type 2 Diabetics (BARI 2D)	10,457,477	8,642,339	19,099,816
Center for Fetal Monkey Gene Transfer for Heart, Lung, and Blood Diseases	529,898	705,445	1,235,343
Ecologically Guided Bioprospecting in Panama	150,000	50,000	200,000
Family Blood Pressure Program	57,418,654	10,082,786	67,501,440
Genetics of Coronary and Aortic Calcification (GENCAC)	3,283,532	3,408,710	6,692,242
Genetics of Coronary Artery Disease in Alaskan Natives (GOCADAN)	3,417,148	2,138,227	5,555,375
Girls Health Enrichment Multisite Studies (GEMS)	7,523,751	2,713,306	10,237,057
Hematocrit Strategy in Infant Heart Surgery	1,030,268	595,956	1,626,224
Home Automatic External Defibrillator Trial (HAT)	—	3,566,730	3,566,730
Interaction of Genes and Environment in Shaping Risk Factors for Heart, Lung, Blood, and Sleep Disorders	—	10,727,651	10,727,651
Multidisciplinary Study of Right Ventricular Dysplasia	1,703,278	1,642,067	3,345,345
Mutations in Developmental Pathways by N-Ethyl-N-Nitrosourea (ENU) Mutagenesis	400,000	200,000	600,000
Occluded Artery Trial (OAT)	12,574,250	1,724,200	14,298,450
Pediatric Cardiovascular Clinical Research Network	3,447,570	4,822,007	8,269,577
Pharmacogenetics Research Network	8,235,472	8,444,897	16,680,369
PREMIER: Lifestyle Interventions for Blood Pressure Control	12,179,443	1,505,073	13,684,516
Programs of Excellence in Gene Therapy	23,398,893	13,698,117	37,097,010
Programs of Genomic Applications (PGAs) for Heart, Lung, and Blood Diseases	73,676,170	36,690,489	110,366,659
Stop Atherosclerosis in Native Diabetics Study (SANDS)	—	2,409,835	2,409,835
Strong Heart Study	33,066,388	5,788,919	38,855,307
Sudden Cardiac Death in Heart Failure Trial (SCD-HeFT)	8,443,157	1,412,018	9,855,175
Surgical Treatment for Ischemic Heart Failure (STICH)	—	5,709,397	5,709,397
Trial of Activity for Adolescent Girls (TAAG)	10,105,269	5,919,453	16,024,722
Women's Ischemia Syndrome Evaluation (WISE)	1,502,322	1,506,497	3,008,819
<b>Subtotal, Heart and Vascular Diseases</b>	<b>329,862,529</b>	<b>144,303,230</b>	<b>474,165,759</b>
<b>Lung Diseases</b>			
Asthma Clinical Research Network (ACRN)	40,562,000	5,862,537	46,424,537
Centers for Reducing Asthma Disparities	—	5,933,220	5,933,220
Childhood Asthma Research and Education (CARE) Network	14,491,554	6,004,651	20,496,205
Collaborative Program in Bronchopulmonary Dysplasia	12,411,885	3,811,393	16,223,278
Collaborative Studies on the Genetics of Asthma (CSGA)	32,846,231	27,349	32,873,580
Inhaled Nitric Oxide for the Prevention of Chronic Lung Disease	3,762,198	1,764,494	5,526,692
Inhaled Nitric Oxide in Prevention of Chronic Lung Disease	3,289,375	1,839,151	5,128,526
Linkage Study in Familial Pulmonary Fibrosis	1,340,699	706,592	2,047,291
Lung Health Study—Long-Term Follow-up	7,271,408	926,580	8,197,988
Pharmacogenetics of Asthma Treatment	5,333,868	2,673,360	8,007,228
Prospective Investigation of Pulmonary Embolism Diagnosis-II (PIOPED II)	5,856,834	3,171,660	9,028,494
Sarcoidosis Genetic Linkage Consortium	5,493,680	1,600,982	7,094,662
Scleroderma Lung Study	4,301,152	1,501,330	5,802,482
<b>Subtotal, Lung Diseases</b>	<b>136,960,884</b>	<b>35,823,299</b>	<b>172,784,183</b>

	Total Obligations Prior to FY 2002	Total FY 2002 Obligations	Total Obligations to Date
<b>Blood Diseases and Resources</b>			
Blood and Marrow Transplant Clinical Research Network	5,360,364	5,899,050	11,259,414
Induction of Stable Chimerism for Sickle Cell Anemia	489,103	525,048	1,014,151
Reference Laboratory to Evaluate Therapies for Sickle Cell Disease	433,180	494,568	927,748
Sibling Donor Cord Blood Banking and Transplantation	1,221,933	1,223,754	2,445,687
Stroke Prevention in Sickle Cell Anemia (STOP II)	7,658,580	3,168,445	10,827,025
Thalassemia (Cooley's Anemia) Clinical Research Network	4,410,593	2,269,299	6,679,892
Transfusion Medicine/Hemostasis Clinical Research Network	—	6,052,717	6,052,717
Subtotal, Blood Diseases and Resources	19,573,753	19,632,881	39,206,634
<b>National Center for Sleep Disorders Research</b>			
Apnea Positive Pressure Long-Term Efficacy Study (APPLES)	—	3,223,476	3,223,476
Determinants of Compensatory Sleep Phenotype in Mice	510,579	277,531	788,110
Sleep Heart Health Study	11,289,289	3,015,542	14,304,831
Subtotal, National Center for Sleep Disorders Research	11,799,868	6,516,549	18,316,417
<b>Total, NHLBI Cooperative Agreements</b>	<b>\$498,197,034</b>	<b>\$206,275,959</b>	<b>\$704,472,993</b>

## Heart and Vascular Diseases Program

### A CHF Trial Investigating Outcomes of Exercise (ACTION), Initiated in Fiscal Year 2002

The purpose of this trial is to determine the long-term safety and effectiveness of exercise training for patients with CHF. Patients receiving the exercise regimen also will receive standard care and will be compared with patients receiving standard care alone. The secondary objective is to determine the incidence and significance of exercise-related complications, the effect of training on exercise tolerance and quality of life, and the cost-effectiveness of training.

#### Obligations

##### Funding History:

Fiscal Year 2002—\$7,489,394

Total Funding to Date—\$7,489,394

#### Current Active Organizations and Grant Numbers

1. Duke University  
Durham, North Carolina —HL-63747
2. Case Western Reserve University  
Henry Ford Health System  
Detroit, Michigan —HL-64250
3. Oregon Health & Science University  
Portland, Oregon —HL-64257
4. Washington University  
St. Louis, Missouri —HL-64264
5. University of Colorado  
Health Sciences Center  
Denver, Colorado —HL-64265
6. Duke University  
Durham, North Carolina —HL-66461
7. Emory University  
Atlanta, Georgia —HL-66482
8. Wake Forest University  
Winston-Salem, North Carolina —HL-66491
9. Ohio State University  
Columbus, Ohio —HL-66494
10. University of Alabama at Birmingham  
Birmingham, Alabama —HL-66497
11. Case Western Reserve University  
Cleveland, Ohio —HL-66501
12. Boston Medical Center  
Boston, Massachusetts —HL-68973
13. University of California, Los Angeles  
Los Angeles, California —HL-68990

### Azithromycin and Coronary Events Study (ACES), Initiated in Fiscal Year 1998

The purpose of this study is to determine whether treatment with the antibiotic, azithromycin, for 1 year will reduce the rate of nonfatal MI and CHD deaths over 3 ½ years in patients with documented coronary artery disease and serologic evidence of past infection with *Chlamydia pneumoniae*.

#### Obligations

##### Funding History:

Fiscal Year 2002—\$1,254,228

Fiscal Years 1998–2001—\$6,412,683

Total Funding to Date—\$7,666,911

#### Current Active Organization and Grant Number

1. University of Washington  
Seattle, Washington —HL-58706

### Bypass Angioplasty Revascularization Investigation (BARI) Data Coordinating Center, Initiated in Fiscal Year 1987

See Chapter 11. Clinical Trials.

### Bypass Angioplasty Revascularization Investigation in Type 2 Diabetics (BARI 2D), Initiated in Fiscal Year 2000

The purpose of this trial is to compare alternative treatment strategies for managing Type 2 diabetic patients with angiographically proven coronary artery disease and stable angina or ischemia. Revascularization combined with aggressive medical anti-ischemia treatment will be compared to aggressive medical anti-ischemia treatment alone; simultaneously, researchers will determine whether insulin-sensitizing drugs like metformin and the glitazones for controlling blood sugar levels offer any survival advantage over drugs that increase insulin levels. Twenty percent of the patients are from minority populations.

#### Obligations

##### Funding History:

Fiscal Year 2002—\$8,642,339

Fiscal Years 2000–2001—\$10,457,477

Total Funding to Date—\$19,099,816

### Current Active Organizations and Grant Numbers

1. University of Pittsburgh  
Pittsburgh, Pennsylvania —HL-61744
2. St. Louis University  
St. Louis, Missouri —HL-61746
3. Stanford University  
Stanford, California —HL-61748
4. University of Vermont  
Burlington, Vermont —HL-63804

### Center for Fetal Monkey Gene Transfer for Heart, Lung, and Blood Diseases, Initiated in Fiscal Year 2001

The purpose of this Center is to provide expertise, sources, and resources to NHLBI-supported investigators who wish to evaluate viral and nonviral gene transfer strategies in nonhuman primates.

#### Obligations

##### Funding History:

Fiscal Year 2002—\$705,445

Fiscal Year 2001—\$529,898

Total Funding to Date—\$1,235,343

#### Current Active Organization and Grant Number

1. University of California, Davis  
Davis, California —HL-69748

### Ecologically Guided Bioprospecting in Panama, Initiated in Fiscal Year 1999

The objective of this study is to promote conservation and sustainable bioprospecting in Panama via ecological research and to discover new products for medicine and agriculture.

#### Obligations

##### Funding History:

Fiscal Year 2002—\$50,000

Fiscal Years 1999–2001—\$150,000

Total Funding to Date—\$200,000

#### Current Active Organization and Grant Number

1. Smithsonian Institution  
Washington, DC —TW-01021

### Family Blood Pressure Program, Initiated in Fiscal Year 1995

The objectives of this program are to identify major genes associated with high blood pressure and to investigate the interactions between genetic and environmental determinants of hypertension in defined populations, many of which consist of specific minority groups. The study consists of collaborative networks that share technology, data, skills, biological materials, and population resources.

#### Obligations

##### Funding History:

Fiscal Year 2002—\$10,082,786

Fiscal Years 1995–2001—\$57,418,654

Total Funding to Date—\$67,501,440

### Current Active Organizations and Grant Numbers

1. University of Michigan at Ann Arbor  
Ann Arbor, Michigan —HL-54457
2. University of Mississippi  
Medical Center  
Jackson, Mississippi —HL-54463
3. Mayo Foundation  
Rochester, Minnesota —HL-54464
4. The Johns Hopkins University  
Baltimore, Maryland —HL-54466
5. University of Utah  
Salt Lake City, Utah —HL-54471
6. University of Minnesota, Twin Cities  
Minneapolis, Minnesota —HL-54472
7. Washington University  
St. Louis, Missouri —HL-54473
8. University of Texas  
Health Science Center  
Houston, Texas —HL-54481
9. Loyola University Medical Center  
Maywood, Illinois —HL-54485
10. University of Alabama at Birmingham  
Birmingham, Alabama —HL-54495
11. University of Minnesota, Twin Cities  
Minneapolis, Minnesota —HL-54496
12. Boston University  
Boston, Massachusetts —HL-54497
13. Staub Pacific Health Foundation  
Health Research Institute  
Honolulu, Hawaii —HL-54498
14. University of Texas  
Health Science Center  
Houston, Texas —HL-54504

15. Medical College of Wisconsin  
Milwaukee, Wisconsin —HL-54508
16. University of North Carolina  
Chapel Hill, North Carolina —HL-54509
17. University of Michigan at Ann Arbor  
Ann Arbor, Michigan —HL-54512
18. University of Pittsburgh  
Pittsburgh, Pennsylvania —HL-54526
19. Stanford University  
Stanford, California —HL-54527
20. University of California, San Diego  
San Diego, California —HL-64777

### **Genetics of Coronary and Aortic Calcification (GENCAC), Initiated in Fiscal Year 2001**

The purpose of this program is to examine vascular calcification and inflammation in patients who have previously been examined and extensively genotyped by the NHLBI Family Heart Study, in order to identify genetic factors influencing susceptibility to coronary and aortic atherosclerosis and individual variability in the inflammatory response. The study includes approximately 600 blacks (275 sibships).

#### **Obligations**

##### **Funding History:**

- Fiscal Year 2002—\$3,408,710
- Fiscal Year 2001—\$3,283,532
- Total Funding to Date—\$6,692,242

#### **Current Active Organizations and Grant Numbers**

1. University of North Carolina  
Chapel Hill, North Carolina —HL-67893
2. University of Utah  
Salt Lake City, Utah —HL-67894
3. Wake Forest University  
Winston Salem, North Carolina —HL-67895
4. Boston University  
Boston, Massachusetts —HL-67896
5. Wake Forest University  
Winston Salem, North Carolina —HL-67897
6. University of Alabama  
Birmingham, Alabama —HL-67898
7. Washington University  
St. Louis, Missouri —HL-67899
8. University of Minnesota, Twin Cities  
Minneapolis, Minnesota —HL-67900
9. University of Minnesota, Twin Cities  
Minneapolis, Minnesota —HL-67901
10. University of Texas  
Health Science Center  
Houston, Texas —HL-67902

### **Genetics of Coronary Artery Disease in Alaskan Natives (GOCADAN), Initiated in Fiscal Year 2000**

The purpose of this study is to document CVD and CVD risk factors in approximately 40 extended families (1,200 members from villages in Northern Alaska). Scientists seek to identify and characterize genes that contribute to CVD in this unique and understudied population.

#### **Obligations**

##### **Funding History**

- Fiscal Year 2002—\$2,138,227
- Fiscal Years 2000–2001—\$3,417,148
- Total Funding to Date—\$5,555,375

#### **Current Active Organization and Grant Number**

1. MedStar Research Institute  
Washington, DC —HL-64244

### **Girls Health Enrichment Multisite Studies (GEMS), Initiated in Fiscal Year 1999**

See Chapter 11. Clinical Trials.

### **Hematocrit Strategy in Infant Heart Surgery, Initiated in Fiscal Year 2000**

The purpose of this study is to determine which hematocrit level—30 versus 20 percent—provides the optimal degree of hemodilution during infant open heart surgery to repair congenital heart defects. Scientists will compare the effects of the two hematocrit levels with respect to cardiovascular and neurodevelopmental outcomes in the infants during the immediate postoperative period and at 1 year of age.

#### **Obligations**

##### **Funding History:**

- Fiscal Year 2002—\$595,956
- Fiscal Years 2000–2001—\$1,030,268
- Total Funding to Date—\$1,626,224

#### **Current Active Organization and Grant Number**

1. Children's Hospital, Boston  
Boston, Massachusetts —HL-63411

### Home Automatic External Defibrillator Trial (HAT), Initiated in Fiscal Year 2002

The purpose of this trial is to compare standard response (call 911 and give cardiopulmonary resuscitation) to sudden cardiac arrest to standard response augmented with automatic external defibrillator use provided by spouses or other family members in 7,000 survivors of an anterior wall MI. The primary end-point is total mortality.

#### Obligations

Funding History:

Fiscal Year 2002—\$3,566,730

Total Funding to Date—\$3,566,730

#### Current Active Organization and Grant Number

1. Seattle Institute for Cardiac Research  
Seattle, Washington —HL-67972

### Interaction of Genes and Environment in Shaping Risk Factors for Heart, Lung, Blood, and Sleep Disorders, Initiated in Fiscal Year 2002

The purpose of this study is to identify novel genes that interact with specific environmental exposures to modify risk factors for heart, lung, blood, and sleep disorders. The genetic aspects of response to environmental change, and related biological mechanisms, will be studied using short-term, focused interventions in families. Subgroups will be identified based on genotype who are most likely to benefit from targeted environmental changes designed to reduce the development or progression of heart, lung, blood, or sleep diseases.

#### Obligations

Funding History:

Fiscal Year 2002—\$10,727,651

Total Funding to Date—\$10,727,651

#### Current Active Organizations and Grant Numbers

1. Tulane University  
New Orleans, Louisiana —HL-72507
2. LSU Pennington Biomedical  
Research Center  
Baton Rouge, Louisiana —HL-72510
3. University of Maryland  
Baltimore Professional School  
Baltimore, Maryland —HL-72525

4. The Johns Hopkins University  
Baltimore, Maryland —HL-72518
5. University of Minnesota  
Minneapolis, Minnesota —HL-72524

### Multidisciplinary Study of Right Ventricular Dysplasia, Initiated in Fiscal Year 2001

The purpose of this multidisciplinary, multicenter study is to investigate the cardiac, clinical, and genetic aspects of arrhythmogenic right ventricular dysplasia (ARVD). A North American ARVD registry of patients and their families will be established. Researchers seek to identify chromosomal loci and specific genetic mutations associated with this disorder.

#### Obligations

Funding History:

Fiscal Year 2002—\$1,642,067

Fiscal Year 2001—\$1,703,278

Total Funding to Date—\$3,345,345

#### Current Active Organizations and Grant Numbers

1. University of Arizona  
Tucson, Arizona —HL-65594
2. Baylor College of Medicine  
Houston, Texas —HL-65652
3. University of Rochester  
Rochester, New York —HL-65961

### Mutations in Developmental Pathways by N-Ethyl-N-Nitrosourea (ENU) Mutagenesis, Initiated in Fiscal Year 2000

The purpose of this project is to establish a mouse mutagenesis center to isolate ENU-induced mutations that disrupt developmental pathways. Investigators will screen and characterize lethal mutants that disrupt cardiac and central nervous system/axial development.

#### Obligations

Funding History:

Fiscal Year 2002—\$200,000

Fiscal Years 2000–2001—\$400,000

Total Funding to Date—\$600,000

#### Current Active Organization and Grant Number

1. Baylor College of Medicine  
Houston, Texas —HD-39372

### **Occluded Artery Trial (OAT), Initiated in Fiscal Year 1999**

The objective of this study is to determine whether percutaneous revascularization to open an occluded artery within a few days or as long as a month following an acute MI in asymptomatic patients improves their outcome. While the benefits of early restoration of blood flow following an acute MI have been well established, it is not known whether later intervention is also beneficial.

#### **Obligations**

##### **Funding History:**

Fiscal Year 2002—\$1,724,200

Fiscal Years 1999–2001—\$12,574,250

Total Funding to Date—\$14,298,450

#### **Current Active Organizations and Grant Numbers**

1. Duke University  
Durham, North Carolina —HL-62257
2. St. Luke's-Roosevelt Institute  
for Health Science  
New York, New York —HL-62509
3. Maryland Medical Research Institute  
Baltimore, Maryland —HL-62511

### **Pediatric Cardiovascular Clinical Research Network, Initiated in Fiscal Year 2001**

See Chapter 11. Clinical Trials.

### **Pharmacogenetics Research Network, Initiated in Fiscal Year 2001**

The purpose of this study is to establish a network to systematically evaluate candidate genes that may influence pharmacologic response to drug treatments for arrhythmia, heart failure, hypertension, and lipid disorders. Investigators seek to identify gene polymorphisms capable of predicting drug toxicity and efficacy. One of the projects has 50-percent minority participation.

#### **Obligations**

##### **Funding History:**

Fiscal Year 2002—\$8,444,897

Fiscal Year 2001—\$8,235,472

Total Funding to Date—\$16,680,369

### **Current Active Organizations and Grant Numbers**

1. University of California  
Lawrence Berkeley Laboratory  
Berkeley, California —HL-69757
2. University of California, San Diego  
San Diego, California —HL-69758

### **PREMIER: Lifestyle Interventions for Blood Pressure Control, Initiated in Fiscal Year 1998**

The objective of this study is to evaluate two multi-component lifestyle interventions to control blood pressure in a patient population consisting of a high percentage of blacks. Participants with either Stage 1 hypertension or high normal blood pressure are assigned to usual care, a comprehensive intervention (reduced salt intake, increased physical activity, moderation of alcohol intake, and weight loss), or the comprehensive intervention plus the "DASH" diet (enhanced fruit and vegetable intake, enhanced use of low-fat dairy products, and reductions in saturated fats, total fats, and cholesterol).

#### **Obligations**

##### **Funding History:**

Fiscal Year 2002—\$1,505,073

Fiscal Years 1998–2001—\$12,179,443

Total Funding to Date—\$13,684,516

#### **Current Active Organizations and Grant Numbers**

1. Duke University  
Durham, North Carolina —HL-60570
2. LSU Pennington Biomedical  
Research Center  
Baton Rouge, Louisiana —HL-60571
3. Kaiser Foundation Research Institute  
Oakland, California —HL-60573
4. The Johns Hopkins University  
Baltimore, Maryland —HL-60574
5. Kaiser Foundation Hospitals  
Oakland, California —HL-62828

### **Programs of Excellence in Gene Therapy, Initiated in Fiscal Year 2000**

The objective of these programs is to create an environment that will enable rapid translation of preclinical studies in cardiovascular, pulmonary, and hematologic diseases into human pilot experiments. In addition, the programs are offering training at the interface between

basic science and clinical application. Six national cores provide access to specialized services, such as generating vectors for clinical use, performing morphologically based studies, producing and processing hematopoietic stem cells, and performing primate transplantation studies.

### Obligations

#### Funding History:

Fiscal Year 2002—\$13,698,117

Fiscal Years 2000–2001—\$23,398,893

Total Funding to Date—\$37,097,010

### Current Active Organizations and Grant Numbers

1. University of Washington  
Seattle, Washington —HL-66947
2. Stanford University  
Stanford, California —HL-66948
3. University of Pittsburgh  
Pittsburgh, Pennsylvania —HL-66949
4. Weill Medical College  
of Cornell University  
New York, New York —HL-66952
5. Weill Medical College  
of Cornell University  
New York, New York —HL-67738

### Programs of Genomic Applications (PGAs) for Heart, Lung, and Blood Diseases, Initiated in Fiscal Year 2000

The goal of this program is to develop information, tools, and resources to link genes to biological function. Specifically, researchers will identify the human genes relevant to heart, lung, blood, and sleep functions. In addition, the PGAs will establish training programs for NHLBI-supported investigators in the use of genomic information and technologies.

### Obligations

#### Funding History:

Fiscal Year 2002—\$36,690,489

Fiscal Years 2000–2001—\$73,676,170

Total Funding to Date—\$110,366,659

### Current Active Organizations and Grant Numbers

1. Medical College of Wisconsin  
Milwaukee, Wisconsin —HL-66579
2. Institute for Genomic Research  
Rockville, Maryland —HL-66580

3. Harvard University School of Medicine  
Boston, Massachusetts —HL-66582
4. The Johns Hopkins University  
Baltimore, Maryland —HL-66583
5. University of Pennsylvania  
Philadelphia, Pennsylvania —HL-66588
6. University of California, Berkeley  
Berkeley, California —HL-66590
7. University of California, San Francisco  
San Francisco, California —HL-66600
8. Duke University  
Durham, North Carolina —HL-66604
9. Jackson Laboratory  
Bar Harbor, Maine —HL-66611
10. The George Washington University  
Washington, DC —HL-66613
11. Children's Research Institute  
Washington, DC —HL-66614
12. The Johns Hopkins University  
Baltimore, Maryland —HL-66615
13. Boston University  
Boston, Massachusetts —HL-66617
14. The Johns Hopkins University  
Baltimore, Maryland —HL-66618
15. Institute for Genomic Research  
Rockville, Maryland —HL-66619
16. Jackson Laboratory  
Bar Harbor, Maine —HL-66620
17. J. David Gladstone Institutes  
San Francisco, California —HL-66621
18. The Johns Hopkins University  
Baltimore, Maryland —HL-66623
19. Fred Hutchinson Cancer  
Research Center  
Seattle, Washington —HL-66642
20. Massachusetts General Hospital  
Boston, Massachusetts —HL-66678
21. University of California  
Lawrence Berkeley Laboratory  
Berkeley, California —HL-66681
22. University of Washington  
Seattle, Washington —HL-66682
23. University of California  
Lawrence Berkeley Laboratory  
Berkeley, California —HL-66691
24. University of California  
Lawrence Berkeley Laboratory  
Berkeley, California —HL-66713
25. University of California  
Lawrence Berkeley Laboratory  
Berkeley, California —HL-66727
26. University of California  
Lawrence Berkeley Laboratory  
Berkeley, California —HL-66728

27. University of California Lawrence Berkeley Laboratory Berkeley, California	—HL-66729
28. Stanford University Stanford, California	—HL-66735
29. Brigham and Women's Hospital Boston, Massachusetts	—HL-66795
30. Brigham and Women's Hospital Boston, Massachusetts	—HL-66796
31. University of Arizona Tucson, Arizona	—HL-66800
32. University of Arizona Tucson, Arizona	—HL-66801
33. University of Arizona Tucson, Arizona	—HL-66803
34. Brigham and Women's Hospital Boston, Massachusetts	—HL-66804
35. Brigham and Women's Hospital Boston, Massachusetts	—HL-66805
36. University of Arizona Tucson, Arizona	—HL-66806
37. University of Texas Southwestern Medical Center Dallas, Texas	—HL-66880

### **Stop Atherosclerosis in Native Diabetics Study (SANDS), Initiated in Fiscal Year 2002**

The purpose of this study is to compare a treatment of aggressively lowering LDL cholesterol (goal less than or equal to 75 mg/dL) and blood pressure (goal less than or equal to 115/75 mmHg) to usual standard care in a population of diabetic American Indians with CVD, but who have relatively low levels of LDL cholesterol and blood pressure.

#### **Obligations**

##### **Funding History:**

Fiscal Year 2002—\$2,409,835  
Total Funding to Date—\$2,409,835

#### **Current Active Organization and Grant Number**

1. MedStar Research Institute  
Washington, DC —HL-67031

### **Strong Heart Study, Initiated in Fiscal Year 1988**

The objectives of this study are to survey CVD morbidity and mortality rates among three geographically diverse groups of American Indians and to estimate their levels of CVD risk factors. Phases II and III of the cohort study extended surveillance of community mortality and assessed development of CVD and changes in

CVD risk factors. In Phase III, investigators added a substudy of asthma and a pilot family study. The purpose of Phase IV is to enlarge the family study to 120 families comprising 3,600 members to investigate genetic and environmental contributors of CVD.

#### **Obligations**

##### **Funding History:**

Fiscal Year 2002—\$5,788,919  
Fiscal Years 1988–2001—\$33,066,388  
Total Funding to Date—\$38,855,307

#### **Current Active Organizations and Grant Numbers**

1. MedStar Research Institute  
Washington, DC —HL-41642
2. Missouri Breaks Research, Inc.  
Timberlake, South Dakota —HL-41652
3. University of Oklahoma  
Health Sciences Center  
Oklahoma City, Oklahoma —HL-41654
4. Southwest Foundation for  
Biomedical Research  
San Antonio, Texas —HL-65520
5. Weill Medical College of  
Cornell University  
New York, New York —HL-65521

### **Sudden Cardiac Death in Heart Failure Trial (SCD-HeFT), Initiated in Fiscal Year 1997**

The purpose of this study is to determine whether survival among heart failure patients is improved by the treatment with amiodarone or implantation of a cardioverter defibrillator compared to conventional therapy.

#### **Obligations**

##### **Funding History:**

Fiscal Year 2002—\$1,412,018  
Fiscal Years 1997–2001—\$8,443,157  
Total Funding to Date—\$9,855,175

#### **Current Active Organizations and Grant Numbers**

1. Duke University  
Durham, North Carolina —HL-55297
2. Duke University  
Durham, North Carolina —HL-55496
3. University of Washington  
Seattle, Washington —HL-55766

### **Surgical Treatment for Ischemic Heart Failure (STICH), Initiated in Fiscal Year 2002**

The objectives of this multicenter, international, randomized trial are twofold: (1) to determine whether coronary artery bypass grafting (CABG) plus intensive medical therapy compared to medical therapy alone improves long-term survival in patients with heart failure and left ventricular (LV) dysfunction who have coronary artery disease amenable to surgical revascularization; and (2) to determine whether CABG plus surgical ventricular restoration to a more normal LV size compared to CABG alone improves survival free of subsequent hospitalization in patients with anterior LV dysfunction.

#### **Obligations:**

##### **Funding History:**

Fiscal Year 2002—\$5,709,397  
Total Funding to Date—\$5,709,397

#### **Current Active Organizations and Grant Numbers**

- |   |           |
|---|-----------|
| 1. Jefferson Medical College<br>Philadelphia, Pennsylvania      | —HL-69009 |
| 2. Mayo Clinic<br>Rochester, Minnesota                          | —HL-69010 |
| 3. Duke University<br>Durham, North Carolina                    | —HL-69011 |
| 4. Northwestern University<br>Chicago, Illinois                 | —HL-69012 |
| 5. Duke University<br>Durham, North Carolina                    | —HL-69013 |
| 6. Duke University<br>Durham, North Carolina                    | —HL-69015 |
| 7. University of Southern California<br>Los Angeles, California | —HL-72683 |

### **Trial of Activity for Adolescent Girls (TAAG), Initiated in Fiscal Year 2000**

See Chapter 11. Clinical Trials.

### **Women's Ischemia Syndrome Evaluation (WISE), Initiated in Fiscal Year 2001**

The purpose of this study is to extend the follow-up of WISE patients to determine the incremental long-term prognostic value of novel testing developed in WISE, develop sex-specific incremental outcome models to evaluate the prognostic value of female reproductive variables, and to maintain a WISE database and infrastructure to facilitate further investigations into the mechanisms underlying ischemic syndromes in women.

#### **Obligations**

##### **Funding History:**

Fiscal Year 2002—\$1,506,497  
Fiscal Year 2001—\$1,502,322  
Total Funding to Date—\$3,008,819

#### **Current Active Organizations and Grant Numbers**

- |   |           |
|---|-----------|
| 1. University of Pittsburgh<br>Pittsburgh, Pennsylvania | —HL-64829 |
| 2. University of Pittsburgh<br>Pittsburgh, Pennsylvania | —HL-64914 |
| 3. University of Florida<br>Gainesville, Florida        | —HL-64924 |

#### **Lung Diseases**

### **Asthma Clinical Research Network (ACRN), Initiated in Fiscal Year 1993**

The objective of this study is to establish a network of interactive asthma clinical research groups to rapidly assess novel treatment methods and to ensure that findings on optimal management of asthmatic patients are rapidly disseminated to practitioners and health care professionals. The minority patient population will be approximately 33 percent for each protocol.

#### **Obligations**

##### **Funding History:**

Fiscal Year 2002—\$5,862,537  
Fiscal Years 1993–2001—\$40,562,000  
Total Funding to Date—\$46,424,537

#### **Current Active Organizations and Grant Numbers**

- |  |           |
|--|-----------|
| 1. Jefferson Medical College<br>Philadelphia, Pennsylvania                               | —HL-51810 |
| 2. University of California, San Francisco<br>San Francisco, California                  | —HL-51823 |
| 3. Brigham and Women's Hospital<br>Boston, Massachusetts                                 | —HL-51831 |
| 4. National Jewish Center for Immunology<br>and Respiratory Medicine<br>Denver, Colorado | —HL-51834 |
| 5. University of Wisconsin<br>Madison, Wisconsin   | —HL-51843 |
| 6. Pennsylvania State University<br>Hershey, Pennsylvania                                | —HL-51845 |
| 7. Columbia University<br>New York, New York   | —HL-56443 |

## Centers for Reducing Asthma Disparities, Initiated in Fiscal Year 2002

The purpose of this study is to establish cooperative centers of research to reduce asthma disparities between whites and minorities and economically disadvantaged populations. The mission of the centers, comprising partnerships between minority servicing medical institutions and research-intensive institutions, is to promote interdisciplinary investigation of factors that contribute to disparities in asthma, accelerate development and evaluation of strategies to promote effective asthma management among minority and economically disadvantaged populations, encourage training and career development for minority clinical research investigators, and improve the effectiveness of NHLBI-supported research-intensive institutions in developing and sustaining culturally appropriate research and demonstration activities on reducing disparities.

### Obligations

#### Funding History:

Fiscal Year 2002—\$5,933,220

Total Funding to Date—\$5,933,220

### Current Active Organizations and Grant Numbers

1. Meharry Medical College  
Nashville, Tennessee —HL-72431
2. Howard University  
Washington, DC —HL-72433
3. Rhode Island Hospital  
Providence, Rhode Island —HL-72438
4. The Johns Hopkins University  
Baltimore, Maryland —HL-72455
5. Vanderbilt University  
Nashville, Tennessee —HL-72471
6. Northwestern University  
Chicago, Illinois —HL-72478
7. Brigham and Women's Hospital  
Boston, Massachusetts —HL-72494
8. Center for Community Health Education,  
Research, and Service  
Boston, Massachusetts —HL-72495
9. Hektoen Institute for Medical Research  
Chicago, Illinois —HL-72496
10. University of Puerto Rico Medical Sciences  
San Juan, Puerto Rico —HL-72519

## Childhood Asthma Research and Education (CARE) Network, Initiated in Fiscal Year 1999

See Chapter 11. Clinical Trials.

## Collaborative Program in Bronchopulmonary Dysplasia, Initiated in Fiscal Year 1999

The objectives of this program are to support a multi-institutional collaborative research effort—by providing a well-defined model of prematurity and bronchopulmonary dysplasia to investigators—and to study mechanisms of lung pathobiology that underlie development of chronic lung disease of prematurity.

### Obligations

#### Funding History:

Fiscal Year 2002—\$3,811,393

Fiscal Years 1999–2001—\$12,411,885

Total Funding to Date—\$16,223,278

### Current Active Organizations and Grant Numbers

1. Southwest Foundation for  
Biomedical Research  
San Antonio, Texas —HL-52636
2. Brigham and Women's Hospital  
Boston, Massachusetts —HL-52638
3. University of Texas, Southwestern  
Medical Center  
Dallas, Texas —HL-52647
4. University of California, San Francisco  
San Francisco, California —HL-56061
5. National Jewish Medical and  
Research Center  
Denver, Colorado —HL-56263
6. Barnes Jewish Hospital  
St. Louis, Missouri —HL-63387
7. National Jewish Medical and  
Research Center  
Denver, Colorado —HL-63397
8. University of Texas  
Southwestern Medical Center  
Dallas, Texas —HL-63399
9. University of Rochester  
Rochester, New York —HL-63400

## Collaborative Studies on the Genetics of Asthma (CSGA), Initiated in Fiscal Year 1992

The CSGA is a study to identify genes associated with asthma and to elucidate their functional role in development of the disease. The initial genome screen has been completed on 237 sibling pairs from three racial/ethnic groups (blacks, whites, and Hispanics).

### Obligations

#### Funding History:

Fiscal Year 2002—\$27,349

Fiscal Years 1992–2001—\$32,846,231

Total Funding to Date—\$32,873,580

### Current Active Organizations and Grant Numbers

1. University of Chicago  
Chicago, Illinois —HL-49596
2. Wake Forest University  
Winston-Salem, North Carolina —HL-49602
3. University of Minnesota  
Minneapolis, Minnesota —HL-49609
4. The Johns Hopkins University  
Baltimore, Maryland —HL-49612
5. Wake Forest University  
Winston-Salem, North Carolina —HL-58977

### Inhaled Nitric Oxide for the Prevention of Chronic Lung Disease, Initiated in Fiscal Year 2000

The objective of this clinical trial is to determine whether low-dose inhaled nitric oxide (NO), administered within the first 48 hours of life to premature newborns (weighing between 500 and 1250 grams) with respiratory failure requiring mechanical ventilation, will prevent development of chronic lung disease.

#### Obligations

Funding History:

- Fiscal Year 2002—\$1,764,494
- Fiscal Years 2000–2001—\$3,762,198
- Total Funding to Date—\$5,526,692

#### Current Active Organization and Grant Number

1. The Children's Hospital  
University of Colorado  
Denver, Colorado —HL-64857

### Inhaled Nitric Oxide in Prevention of Chronic Lung Disease, Initiated in Fiscal Year 2000

The objective of this clinical trial is to determine whether low-dose inhaled NO, administered to preterm infants (weighing between 500 and 1250 grams) who continue to require mechanical ventilation at 14 days of age, will reduce the incidence of chronic lung disease.

#### Obligations

Funding History:

- Fiscal Year 2002—\$1,839,151
- Fiscal Years 2000–2001—\$3,289,375
- Total Funding to Date—\$5,128,526

#### Current Active Organization and Grant Number

1. Children's Hospital of Philadelphia  
Philadelphia, Pennsylvania —HL-62514

### Linkage Study in Familial Pulmonary Fibrosis, Initiated in Fiscal Year 2000

The purpose of this study is to identify a group of genetic loci that may subsequently prove to contain novel genes involved in the development of familial pulmonary fibrosis. Investigators will use standard genetic methodology (linkage analysis) to determine the distribution of polymorphisms for genetic markers in families with familial pulmonary fibrosis.

#### Obligations

Funding History:

- Fiscal Year 2002—\$706,592
- Fiscal Years 2000–2001—\$1,340,699
- Total Funding to Date—\$2,047,291

#### Current Active Organization and Grant Number

1. Duke University  
Durham, North Carolina —HL-67467

### Lung Health Study—Long-Term Follow-up, Initiated in Fiscal Year 1998

The purpose of this study is to perform a long-term follow-up to former Lung Health Study participants to assess the incidence of morbidity and mortality from respiratory diseases, CVD, and other causes.

#### Obligations

Funding History:

- Fiscal Year 2002—\$926,580
- Fiscal Years 1998–2001—\$7,271,408
- Total Funding to Date—\$8,197,988

#### Current Active Organizations and Grant Numbers

1. The Johns Hopkins University  
Baltimore, Maryland —HL-59274
2. University of Minnesota, Twin Cities  
Minneapolis, Minnesota —HL-59275
3. University of Pittsburgh  
Pittsburgh, Pennsylvania —HL-59276
4. Case Western Reserve University  
Cleveland, Ohio —HL-59277
5. University of Utah  
Salt Lake City, Utah —HL-59290
6. University of Alabama at Birmingham  
Birmingham, Alabama —HL-59291
7. University of Manitoba  
Winnipeg, Canada —HL-59292
8. University of California  
Los Angeles, California —HL-59293

9. Mayo Foundation  
Rochester, Minnesota —HL-59294
10. Oregon Health Sciences University  
Portland, Oregon —HL-59320
11. Case Western Reserve University  
Detroit, Michigan —HL-59739

### **Pharmacogenetics of Asthma Treatment, Initiated in Fiscal Year 2000**

The objective of this project is to bring together research experts in asthma, epidemiology, statistics, bio-informatics, physiology, clinical trials, genetics, and genomics to focus on the pharmacogenetics of asthma treatment.

#### **Obligations**

##### **Funding History:**

Fiscal Year 2002—\$2,673,360

Fiscal Years 2000–2001—\$5,333,868

Total Funding to Date—\$8,007,228

#### **Current Active Organization and Grant Number**

1. Brigham and Women's Hospital  
Boston, Massachusetts —HL-65899

### **Prospective Investigation of Pulmonary Embolism Diagnosis-II (PIOPED II), Initiated in Fiscal Year 2000**

The purpose of this multicenter collaborative study is to determine the sensitivity, specificity, and positive and negative predictive values of spiral computed tomography for diagnosis of acute pulmonary embolism; 30 percent of the patients are expected to be from minority populations.

#### **Obligations**

##### **Funding History:**

Fiscal Year 2002—\$3,171,660

Fiscal Years 2000–2001—\$5,856,834

Total Funding to Date—\$9,028,494

#### **Current Active Organizations and Grant Numbers**

1. Emory University  
Atlanta, Georgia —HL-63899
2. University of Michigan at Ann Arbor  
Ann Arbor, Michigan —HL-63928
3. Washington University  
St. Louis, Missouri —HL-63931
4. Duke University  
Durham, North Carolina —HL-63932

5. University of Calgary  
Calgary, Alberta —HL-63940
6. Henry Ford Health Sciences Center  
Detroit, Michigan —HL-63941
7. The George Washington University  
Washington, DC —HL-63942
8. Weill Medical College of  
Cornell University  
New York, New York —HL-63981
9. Massachusetts General Hospital  
Boston, Massachusetts —HL-63982
10. St. Joseph Mercy-Oakland  
Pontiac, Michigan —HL-67453

### **Sarcoidosis Genetic Linkage Consortium, Initiated in Fiscal Year 1999**

The purpose of this multicenter study is to identify sarcoidosis susceptibility genes and determine how these genes and environmental risk factors interact to cause sarcoidosis.

#### **Obligations**

##### **Funding History:**

Fiscal Year 2002—\$1,600,982

Fiscal Years 1999–2001—\$5,493,680

Total Funding to Date—\$7,094,662

#### **Current Active Organization and Grant Number**

1. Case Western Reserve University,  
Henry Ford Health Sciences Center  
Detroit, Michigan —HL-60263

### **Scleroderma Lung Study, Initiated in Fiscal Year 1999**

To evaluate the efficacy and safety of cyclophosphamide versus placebo for the prevention and progression of symptomatic pulmonary disease in patients with systemic sclerosis.

#### **Obligations**

##### **Funding History:**

Fiscal Year 2002—\$1,501,330

Fiscal Years 1999–2001—\$4,301,152

Total Funding to Date—\$5,802,482

#### **Current Active Organizations and Grant Numbers**

1. University of Medicine  
and Dentistry of New Jersey  
Piscataway, New Jersey —HL-60550
2. University of California, Los Angeles  
Los Angeles, California —HL-60587

3. The Johns Hopkins University  
Baltimore, Maryland —HL-60597
4. University of California, Los Angeles  
Los Angeles, California —HL-60606
5. Boston University  
Boston, Massachusetts —HL-60682
6. University of Alabama at Birmingham  
Birmingham, Alabama —HL-60748
7. Medical University of South Carolina  
Charleston, South Carolina —HL-60750
8. Georgetown University  
Washington, DC —HL-60794
9. University of Texas  
Houston, Texas —HL-60839
10. University of Illinois  
Chicago, Illinois —HL-60895

## Blood Diseases and Resources

### Blood and Marrow Transplant Clinical Research Network, Initiated in Fiscal Year 2001

See Chapter 11. Clinical Trials.

### Induction of Stable Chimerism for Sickle Cell Anemia, Initiated in Fiscal Year 2001

The purpose of this study is to investigate a transplant procedure for SCD that significantly reduces the toxicity of allogeneic hematopoietic cell transplantation while retaining its therapeutic benefit.

#### Obligations

##### Funding History:

Fiscal Year 2002—\$525,048

Fiscal Year 2001—\$489,103

Total Funding to Date—\$1,014,151

#### Current Active Organization and Grant Number

1. Children's Hospital Oakland  
Oakland, California —HL-68091

### Reference Laboratory to Evaluate Therapies for Sickle Cell Disease, Initiated Fiscal Year 1997

The purpose of this study is to establish a reference laboratory that will evaluate potentially useful compounds for the treatment of SCD.

#### Obligations

##### Funding History:

Fiscal Year 2002—\$494,568

Fiscal Year 2001\*—\$433,180

Total Funding to Date—\$927,748

#### Current Active Organization and Grant Number

1. Children's Hospital of Philadelphia  
Philadelphia, Pennsylvania —HL-58930

### Sibling Donor Cord Blood Banking and Transplantation, Initiated in Fiscal Year 2001

The purpose of this study is to establish a cord blood bank for collecting sibling donor cord blood in families that currently have a child with sickle cell anemia or thalassemia with the intent of future transplantation.

#### Obligations

##### Funding History:

Fiscal Year 2002—\$1,223,754

Fiscal Year 2001—\$1,221,933

Total Funding to Date—\$2,445,687

#### Current Active Organization and Grant Number

1. Children's Hospital Oakland  
Oakland, California —HL-61877

### Stroke Prevention in Sickle Cell Anemia (STOP II), Initiated in Fiscal Year 2000

The purpose of this study is to optimize, in high-risk patients with sickle cell anemia, the primary prevention strategy proven effective in STOP. Ninety-eight percent of the patients are expected to come from minority populations.

#### Obligations

##### Funding History:

Fiscal Year 2002—\$3,168,445

Fiscal Years 2000–2001—\$7,658,580

Total Funding to Date—\$10,827,025

#### Current Active Organizations and Grant Numbers

1. New England Research Institutes, Inc.  
Watertown, Massachusetts —HL-52016
2. Medical College of Georgia  
Augusta, Georgia —HL-52193

\* Became U01 in 2001.

### **Thalassemia (Cooley's Anemia) Clinical Research Network**

See Chapter 11. Clinical Trials.

### **Transfusion Medicine/Hemostasis Clinical Research Network**

See Chapter 11. Clinical Trials.

### **National Center on Sleep Disorders Research**

#### **Apnea Positive Pressure Long-Term Efficacy Study (APPLES), Initiated in Fiscal Year 2002**

The purpose of this study is to evaluate the effectiveness of continuous positive airway pressure (CPAP) therapy to provide significant, stable, and long-term neurocognitive or other benefits to patients with obstructive sleep apnea (OSA). Investigators will identify specific neurocognitive deficits associated with OSA and determine which ones are reversible and most sensitive to the effects of CPAP therapy.

#### **Obligations**

##### **Funding History:**

Fiscal Year 2002—\$3,223,476

Total Funding to Date—\$3,223,476

#### **Current Active Organization and Grant Number**

1. Stanford University  
Stanford, California —HL-68060

#### **Determinants of Compensatory Sleep Phenotype in Mice, Initiated in Fiscal Year 2000**

The goal of this study is to increase understanding of dopaminergic stimulant interactions with sleep homeostasis, compensatory sleep response mechanisms, and genetic determinants of phenotypic variation in sleep homeostasis.

#### **Obligations**

##### **Funding History:**

Fiscal Year 2002—\$277,531

Fiscal Years 2000–2001—\$510,579

Total Funding to Date—\$788,110

#### **Current Active Organization and Grant Number**

1. Stanford University  
Stanford, California —HL-64243

#### **Sleep Heart Health Study, Initiated in Fiscal Year 1999**

The purpose of this multicenter observational study is to determine the degree to which sleep apnea is an independent or contributing risk factor for the development of cardiovascular or cerebrovascular disease.

#### **Obligations**

##### **Funding History:**

Fiscal Year 2002—\$3,015,542

Fiscal Years 1999–2001—\$11,289,289

Total Funding to Date—\$14,304,831

#### **Current Active Organizations and Grant Numbers**

1. University of California, Davis  
Davis, California —HL-53916
2. New York University Medical Center  
New York, New York —HL-53931
3. University of Minnesota, Twin Cities  
Minneapolis, Minnesota —HL-53934
4. The Johns Hopkins University  
Baltimore, Maryland —HL-53937
5. University of Arizona  
Tucson, Arizona —HL-53938
6. Boston University  
Boston, Massachusetts —HL-53941
7. Missouri Breaks Research, Inc.  
Timberlake, South Dakota —HL63429
8. Case Western Reserve University  
Cleveland, Ohio —HL63463
9. The Johns Hopkins University  
Baltimore, Maryland —HL64360

## NHLBI Research Centers (P50, P60, P30) Programs

### Specialized Centers of Research (P50) Program

Specialized Centers of Research (SCOR) were instituted to advance basic knowledge and to generate the most effective techniques and methods of clinical management and prevention in the areas of arteriosclerosis, hypertension, pulmonary diseases, and thrombosis. Currently, the SCOR Program focuses on 16 active areas of heart, blood vessel, lung, blood, and sleep research.

Area of Concentration	Obligations (Dollars in Thousands)			Total to Date
	Period of Operation	Prior to FY 2002	FY 2002	
<b>Heart and Vascular Diseases Program</b>				
Gene Transfer Principles for Heart, Lung, and Blood Diseases	1997–	\$ 26,428	\$ 879	\$ 27,307
Ischemic Heart Disease in Blacks	1995–	18,288	3,028	21,316
Ischemic Heart Disease, Sudden Cardiac Death, Heart Failure	1995–	98,277	15,492	113,769
Molecular Genetics of Hypertension	1996–	53,836	9,139	62,975
Molecular Medicine and Atherosclerosis	1997–	35,586	7,986	43,572
Pediatric Cardiovascular Diseases	1993–	36,894	7,221	44,115
Subtotal, Heart and Vascular Diseases Program		269,309	43,745	313,054
<b>Lung Diseases Program</b>				
Acute Lung Injury	1994–	65,354	9,932	75,286
Airway Biology and Pathogenesis of Cystic Fibrosis	1988–	46,262	5,559	51,821
Cellular and Molecular Mechanisms of Asthma	1996–	56,958	15,043	72,001
Pathobiology of Fibrotic Lung Disease	1997–	23,564	4,997	28,561
Pathobiology of Lung Development	1996–	40,978	7,067	48,045
Subtotal, Lung Diseases Program		233,116	42,598	275,714
<b>Blood Diseases and Resources Program</b>				
Hematopoietic Stem Cell Biology	1995–	28,981	5,452	34,433
Hemostatic and Thrombotic Disorders	1971–	148,191	7,030	155,221
Transfusion Biology and Medicine	1985–	52,464	3,011	55,475
Subtotal, Blood Diseases and Resources Program		229,636	15,493	245,129
<b>National Center for Sleep Disorders Research</b>				
Neurobiology of Sleep and Sleep Apnea	1998–	17,879	4,941	22,820
Subtotal, National Center for Sleep Disorders Research		17,879	4,941	22,820
<b>Total, Specialized Centers of Research (P50)</b>		<b>\$749,940</b>	<b>\$106,777</b>	<b>\$856,717</b>

## Heart and Vascular Diseases Program

### Gene Transfer Principles for Heart, Lung, and Blood Diseases

The purpose of this SCOR is to provide the basic science foundation necessary for gene transfer technology and its application to somatic gene transfer.

#### Obligations

Fiscal Year 2002—\$879,414

#### Current Active Organization and Grant Number

1. Baylor College of Medicine  
Houston, Texas —HL-59314

### Ischemic Heart Disease in Blacks

The purpose of this SCOR is to promote interdisciplinary study of issues surrounding ischemic heart disease in blacks. Investigators are using a combination of approaches, including molecular, cellular, and genetic studies; animal experiments; and human studies to advance knowledge in this area.

#### Obligations

Fiscal Year 2002—\$3,027,522

#### Current Active Organizations and Grant Numbers

1. Boston University  
Boston, Massachusetts —HL-55993
2. Medical College of Wisconsin  
Milwaukee, Wisconsin —HL-65203

### Ischemic Heart Disease, Sudden Cardiac Death, Heart Failure

The purpose of this SCOR is to encourage creative, interdisciplinary approaches to elucidation of the etiology and pathophysiology of these diseases at the molecular, cellular, and tissue levels and the translation of research findings into improved diagnosis, treatment, and prevention.

#### Obligations

Fiscal Year 2002—\$15,491,649

## Current Active Organizations and Grant Numbers

1. The Johns Hopkins University  
Baltimore, Maryland —HL-52307
2. University of Cincinnati  
Cincinnati, Ohio —HL-52318
3. University of California  
Los Angeles, California —HL-52319
4. Brigham and Women's Hospital  
Boston, Massachusetts —HL-52320
5. University of Utah  
Salt Lake City, Utah —HL-52338
6. University of California  
San Diego, California —HL-53773
7. Baylor College of Medicine  
Houston, Texas —HL-54313
8. New England Medical Center  
Boston, Massachusetts —HL-63494
9. Harvard University  
Boston, Massachusetts —HL-63609

## Molecular Genetics of Hypertension

The goals of five SCOR projects are to study the molecular genetics of hypertension, to provide understanding of the etiology and pathogenesis of hypertension, and to apply new knowledge for the improved diagnosis and management of the disease.

#### Obligations

Fiscal Year 2002—\$9,139,696

#### Current Active Organizations and Grant Numbers

1. Medical College of Wisconsin  
Milwaukee, Wisconsin —HL-54998
2. Brigham and Women's Hospital  
Boston, Massachusetts —HL-55000
3. Boston University Medical Center  
Boston, Massachusetts —HL-55001
4. University of Iowa Hospitals  
Iowa City, Iowa —HL-55006
5. Yale University School of Medicine  
New Haven, Connecticut —HL-55007

## Molecular Medicine and Atherosclerosis

The goal of this SCOR is to advance understanding of the etiology and pathobiology of the atherosclerotic lesion at the molecular level through modern methods and approaches of molecular medicine. Some of the sub-projects have a large minority patient population.

## Obligations

Fiscal Year 2002—\$7,985,891

## Current Active Organizations and Grant Numbers

1. Columbia University  
New York, New York —HL-56984
2. Brigham and Women's Hospital  
Boston, Massachusetts —HL-56985
3. University of California  
San Diego, California —HL-56989
4. University of Pennsylvania  
Philadelphia, Pennsylvania —HL-70128

## Pediatric Cardiovascular Diseases

The purpose of this SCOR is to apply innovative approaches to elucidate the etiology and pathophysiology of pediatric CVD. Research findings will be translated into improved diagnosis, treatment, and prevention of CVD in children.

## Obligations

Fiscal Year 2002—\$7,220,750

## Current Active Organizations and Grant Numbers

1. Washington University  
St. Louis, Missouri —HL-61006
2. University of Texas,  
Southwestern Medical Center  
Dallas, Texas —HL-61033
3. Harvard University  
Boston, Massachusetts —HL-61036
4. Children's Hospital of Philadelphia  
Philadelphia, Pennsylvania —HL-62177
5. University of Iowa  
Iowa City, Iowa —HL-62178

## Lung Diseases Program

### Acute Lung Injury

The objective of this SCOR is to examine biochemical, immunological, and physiological mechanisms associated with acute lung injury and repair to improve the diagnosis, management, and prevention of ARDS.

## Obligations

Fiscal Year 2002—\$9,932,056

## Current Active Organizations and Grant Numbers

1. University of California, San Diego  
La Jolla, California —HL-23584
2. University of Washington  
Seattle, Washington —HL-30542
3. University of Minnesota, Twin Cities  
Minneapolis, Minnesota —HL-50152
4. University of Utah  
Salt Lake City, Utah —HL-50153
5. University of Michigan  
Ann Arbor, Michigan —HL-60289
6. University of Pennsylvania  
Philadelphia, Pennsylvania —HL-60290
7. University of Iowa  
Iowa City, Iowa —HL-60316

## Airway Biology and Pathogenesis of Cystic Fibrosis

The goals of this SCOR are to investigate the basic mechanisms underlying cystic fibrosis, develop new hypotheses, and apply innovative strategies for approaching clinical and fundamental issues.

## Obligations

Fiscal Year 2002—\$5,559,099

## Current Active Organizations and Grant Numbers

1. University of North Carolina  
Chapel Hill, North Carolina —HL-60280
2. University of California  
San Francisco, California —HL-60288
3. Case Western Reserve University  
Cleveland, Ohio —HL-60293
4. University of Iowa  
Iowa City, Iowa —HL-61234

## Cellular and Molecular Mechanisms of Asthma

The objective of this SCOR program is to apply critical science and technology to increase understanding of cellular and molecular mechanisms of asthma, including those mechanisms underlying the biological impact of environmental factors.

## Obligations

Fiscal Year 2002—\$15,042,935

## Current Active Organizations and Grant Numbers

1. University of New Mexico  
Albuquerque, New Mexico —HL-56384

2. University of California  
San Francisco, California —HL-56385
3. University of Wisconsin  
Madison, Wisconsin —HL-56396
4. University of Chicago  
Chicago, Illinois —HL-56399
5. Washington University  
St. Louis, Missouri —HL-56419
6. University of Pennsylvania  
Philadelphia, Pennsylvania —HL-67663
7. Beth Israel Deaconess Medical Center  
Boston, Massachusetts —HL-67664
8. University of Arizona  
Tucson, Arizona —HL-67672
9. Stanford University  
Stanford, California —HL-67674

### Pathobiology of Fibrotic Lung Disease

The purpose of this SCOR is to study cellular and molecular mechanisms involved in transition from inflammatory events associated with early fibrotic disease to later processes involving wound healing, repair, and fibrosis.

#### Obligations

Fiscal Year 2002—\$4,996,473

#### Current Active Organizations and Grant Numbers

1. University of Michigan  
Ann Arbor, Michigan —HL-56402
2. National Jewish Medical & Research  
Center for Immunology and  
Respiratory Diseases  
Denver, Colorado —HL-56556
3. University of California, Los Angeles  
Los Angeles, California —HL-67665

### Pathobiology of Lung Development

The objective of this program is to foster multidisciplinary research enabling basic science findings to be more rapidly applied to clinical problems related to lung development. The program focuses on identification of the molecular variables involved in lung development and assessment of the impact of injury during critical periods.

#### Obligations

Fiscal Year 2002—\$7,066,813

### Current Active Organizations and Grant Numbers

1. Children's Hospital Medical Center  
Cincinnati, Ohio —HL-56387
2. Children's Hospital of Philadelphia  
Philadelphia, Pennsylvania —HL-56401
3. University of Colorado Health  
Science Center  
Denver, Colorado —HL-57144
4. Children's Hospital of Boston  
Boston, Massachusetts —HL-67669

### Blood Diseases and Resources Program

#### Hematopoietic Stem Cell Biology

The goal of this SCOR is to advance knowledge of basic stem cell biology in areas of stem cell isolation, quantitation by *in vivo* assay, *in vitro* and *in vivo* growth and replication, gene insertion, and engraftment.

#### Obligations

Fiscal Year 2002—\$5,452,150

#### Current Active Organizations and Grant Numbers

1. Dana Farber Cancer Institute  
Boston, Massachusetts —HL-54785
2. Children's Hospital  
Los Angeles, California —HL-54850
3. Fred Hutchinson Cancer  
Research Center  
Seattle, Washington —HL-54881

#### Hemostatic and Thrombotic Disorders

The purpose of this SCOR is to investigate pathogenic mechanisms involved in human thrombotic disease and to develop improved methods for its diagnosis and treatment. One of the studies has a large minority patient population.

#### Obligations

Fiscal Year 2002—\$7,030,437

#### Current Active Organizations and Grant Numbers

1. Mt. Sinai School of Medicine  
New York, New York —HL-54469
2. University of Pennsylvania  
Philadelphia, Pennsylvania —HL-54500
3. University of Oklahoma  
Oklahoma City, Oklahoma —HL-54502
4. Baylor College of Medicine  
Houston, Texas —HL-65967

## Transfusion Biology and Medicine

This SCOR has been established to foster new approaches for improving the availability, efficacy, safety, and quality of blood and blood products for therapeutic uses. One of the centers has a large minority population.

### Obligations

Fiscal Year 2002—\$3,011,348

### Current Active Organizations and Grant Numbers

1. New York Blood Center  
New York, New York —HL-54459
2. University of California, San Francisco  
San Francisco, California —HL-54476

## National Center for Sleep Disorders Research

### Neurobiology of Sleep and Sleep Apnea

The objective of this SCOR is to integrate molecular, cellular, and genetic approaches to sleep control with clinical investigations on the etiology and pathogenesis of sleep disorders, particularly sleep apnea.

### Obligations

Fiscal Year 2002—\$4,940,951

### Current Active Organizations and Grant Numbers

1. University of Pennsylvania  
Philadelphia, Pennsylvania —HL-60287
2. Brigham and Women's Hospital  
Boston, Massachusetts —HL-60292
3. University of California  
Los Angeles, California —HL-60296

## Comprehensive Sickle Cell Centers (P60) Program

The Comprehensive Sickle Cell Centers (CSCC) were instituted in FY 1972 to bridge the gap between research and service by combining basic and clinical research, clinical trials and applications training, and community service projects into one program. The patients recruited for the clinical studies are primarily from minority populations.

### Obligations

Fiscal Year 2002—\$17,207,672

### Current Active Organizations and Grant Numbers

1. Boston Medical Center Boston, Massachusetts	—HL-15157	6. Montefiore Medical Center New York, New York	—HL-38655
2. University of California, San Francisco San Francisco, California	—HL-20985	7. University of Southern California Los Angeles, California	—HL-48484
3. College of Physicians and Surgeons of Columbia University New York, New York	—HL-28381	8. University of Alabama at Birmingham Birmingham, Alabama	—HL-58418
4. Children's Hospital of Philadelphia Philadelphia, Pennsylvania	—HL-38632	9. Children's Hospital Medical Center Cincinnati, Ohio	—HL-58421
5. University of South Alabama Mobile, Alabama	—HL-38639	10. Thomas Jefferson University Philadelphia, Pennsylvania	—HL-62148

## Centers for AIDS Research (P30) Program

The NHLBI, along with five other NIH Institutes, contributes to the support of six Centers for AIDS Research (CFAR) that were established to provide a multidisciplinary environment that promotes basic, clinical, behavioral, and translational research activities in the prevention, detection, and treatment of HIV infection and AIDS. Almost half of the patient population comes from minority groups.

### Obligations

Fiscal Year 2002—\$2,538,133

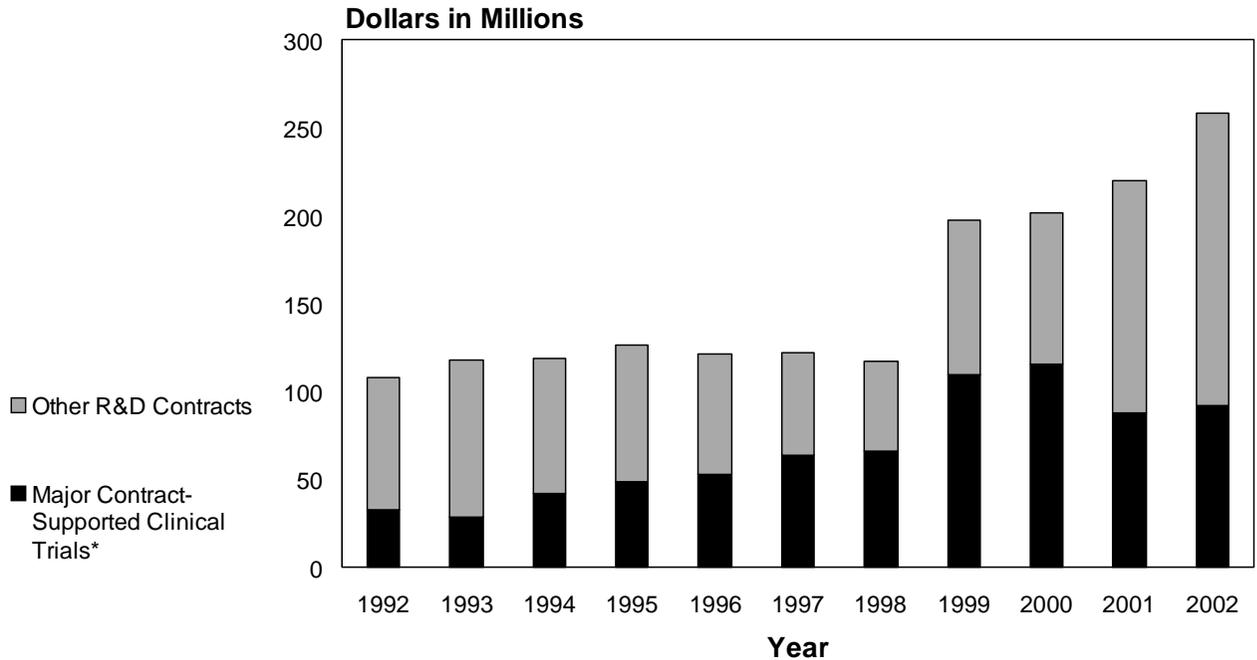
### Current Active Organizations and Grant Numbers

1. University of Washington Seattle, Washington	—AI-27757	9. Massachusetts General Hospital Boston, Massachusetts	—AI-42851
2. University of Alabama at Birmingham Birmingham, Alabama	—AI-27767	10. The Johns Hopkins University Baltimore, Maryland	—AI-42855
3. University of California, Los Angeles Los Angeles, California	—AI-28697	11. University of California, Davis Davis, California	—AI-49366
4. University of California, San Diego San Diego, California	—AI-36214	12. University of North Carolina Chapel Hill, North Carolina	—AI-50410
5. Case Western Reserve University Cleveland, Ohio	—AI-36219	13. University of California, San Francisco Givi Center for AIDS Research San Francisco, California	—AI-27763
6. Miriam Hospital Providence, Rhode Island	—AI-42853	14. University of Massachusetts Medical School Worcester, Massachusetts	—AI-42845
7. Northwestern University Chicago, Illinois	—CA-79458	15. Emory University Atlanta, Georgia	—AI-50409
8. New York University School of Medicine New York, New York	—AI-27742		



# 10. Research and Development Contracts

## NHLBI Research and Development Contract Obligations\*: Fiscal Years 1992–2002



\* For detailed data on contract-supported clinical trials, see Chapter 11.

## NHLBI Total Research and Development Contract Obligations: Fiscal Years 1992–2002

**Dollars (Thousands)**

	Fiscal Year										
	1992	1993	1994	1995	1996	1997	1998	1999	2000	2001	2002
Heart	\$57,714	\$66,717	\$67,173	\$70,178	\$80,373	\$84,820	\$77,886	\$93,270	\$98,715	\$125,291	\$155,234
Lung	16,977	18,552	21,957	15,414	21,032	18,183	13,123	25,432	23,341	10,993	16,874
Blood	32,980	32,280	29,122	40,324	19,522	18,934	25,695	15,436	21,538	24,572	27,078
Sleep	—	—	—	—	—	—	—	—	—	—	104
Women's Health Initiative	—	—	—	—	—	—	—	63,100	57,700	59,200	59,010
<b>Total</b>	<b>\$107,671</b>	<b>\$117,549</b>	<b>\$118,252</b>	<b>\$125,916</b>	<b>\$120,927<sup>A</sup></b>	<b>\$121,937<sup>B</sup></b>	<b>\$116,704<sup>C</sup></b>	<b>\$197,238<sup>D</sup></b>	<b>\$201,294<sup>E</sup></b>	<b>\$220,056<sup>F</sup></b>	<b>\$258,300<sup>G</sup></b>

- A Includes Program Evaluation Assessment of \$4,250,000.
- B Includes Program Evaluation and IMPAC II Assessments of \$8,986,000.
- C Includes Program Evaluation and IMPAC II Assessments of \$12,589,000.
- D Includes Program Evaluation and IMPAC II Assessments of \$14,904,000.
- E Includes Program Evaluation and IMPAC II Assessments of \$17,944,000.
- F Includes Program Evaluation and IMPAC II Assessments of \$24,579,000.
- G Includes Program Evaluation and IMPAC II Assessments of \$35,827,000.

## Major NHLBI Research and Development Contracts by Program\*: Fiscal Years 1992–2002

	Total Obligations Prior to FY 2002	Total FY 2002 Obligations	Total Obligations to Date
<b>Heart and Vascular Diseases</b>			
Atherosclerosis Risk in Communities (ARIC)	\$110,975,635	\$2,080,000	\$113,055,635
Cardiovascular Health Study (CHS)	69,224,419	2,272,000	71,496,419
Coronary Artery Risk Development in Young Adults (CARDIA)	56,037,130	2,811,816	58,848,946
Framingham Study	37,365,516	6,198,599	43,564,115
Jackson Heart Study (JHS)	9,516,000	2,802,000	12,318,000
Mammalian Genotyping Service (MGS)	16,269,750	5,250,000	21,519,750
Multi-Ethnic Study of Atherosclerosis (MESA)	29,703,000	10,939,999	40,642,999
Proteomics Initiative	—	29,477,000	29,477,000
Translational Behavioral Science Research Consortium	—	4,185,421	4,185,421
<b>Lung Diseases</b>			
A Case-Controlled Etiologic Study of Sarcoidosis (ACCESS)	11,372,464	158,683	11,531,147
<b>Blood Diseases and Resources</b>			
Hemochromatosis and Iron Overload Screening Study (HEIRS)	11,477,577	9,471,775	20,949,352
Maintenance of NHLBI Biological Specimen Repository	3,690,565	932,000	4,622,565
Retrovirus Epidemiology Donor Study (REDS)	62,229,074	5,567,078	67,796,152

\* Excludes clinical trials included in Chapter 11.

### Heart and Vascular Diseases Program

#### Atherosclerosis Risk in Communities (ARIC), Initiated in Fiscal Year 1985

The ARIC program is a large-scale, long-term program that is measuring associations of CHD risk factors with atherosclerosis by race, gender, and geographic location. It focuses on early detection of CVD before symptoms, heart attacks, or strokes occur. The project consists of two groups: a community surveillance component and a cohort component from four communities. Three of the cohort components represent the racial mix of their community, whereas the fourth is exclusively black.

#### Obligations

Funding History:

Fiscal Year 2002—\$2,080,000

Fiscal Years 1985–2001—\$110,975,635

Total Funding to Date—\$113,055,635

#### Current Active Organizations and Contract Numbers

1. University of North Carolina  
Chapel Hill, North Carolina —HC-55015

2. Baylor College of Medicine  
Houston, Texas —HC-55016
3. University of North Carolina  
Chapel Hill, North Carolina —HC-55018
4. University of Minnesota  
Minneapolis, Minnesota —HC-55019
5. The Johns Hopkins University  
Baltimore, Maryland —HC-55020
6. Mississippi Medical Center  
Jackson, Mississippi —HC-55021
7. University of Texas  
Health Science Center  
Houston, Texas —HC-55022

#### Cardiovascular Health Study (CHS), Initiated in Fiscal Year 1988

The CHS is a population-based, longitudinal study of risk factors for the development and progression of CHD and stroke in elderly adults. Specific objectives for this phase of the project include identifying risk association with clinical disease by accumulation of events; determining whether presence or progression of subclinical disease (abnormalities detected noninvasively without signs or symptoms) are better predictors of clinical disease than traditional risk factors; identifying determinants of change in subclinical disease; and identifying characteristics of subgroups at low risk for developing

CVD (in whom preventive measures may be unnecessary). Minority representation is sufficient to assess black-white differences.

### Obligations

#### Funding History:

Fiscal Year 2002—\$2,272,000

Fiscal Years 1988–2001—\$69,224,419

Total Funding to Date—\$71,496,419

### Current Active Organizations and Contract Numbers

1. The Johns Hopkins University  
Baltimore, Maryland —HC-15103
2. University of Wisconsin  
Madison, Wisconsin —HC-75150
3. University of Washington  
Seattle, Washington —HC-85079
4. Bowman Gray School of Medicine  
Wake Forest University  
Winston-Salem, North Carolina —HC-85080
5. The Johns Hopkins University  
Baltimore, Maryland —HC-85081
6. University of Pittsburgh  
Pittsburgh, Pennsylvania —HC-85082
7. University of California, Davis  
Davis, California —HC-85083
8. University of Vermont  
Burlington, Vermont —HC-85086

### Coronary Artery Risk Development in Young Adults (CARDIA), Initiated in Fiscal Year 1984

The purpose of this study is to identify CVD risk factors that contribute to the development of early atherosclerosis in a cohort of black and white young adults with a range of attained education, aged 18 to 30 at baseline. The study examines the interrelationships of risk factors and lifestyles during the transition from adolescence to middle age. It also compares the evolution of risk factors between men and women, blacks and whites, and groups of differing SES.

### Obligations

#### Funding History:

Fiscal Year 2002—\$2,811,816

Fiscal Years 1984–2001—\$56,037,130

Total Funding to Date—\$58,848,946

### Current Active Organizations and Contract Numbers

1. Harbor-UCLA Research and  
Education Institute  
Torrance, California —HC-05187

2. University of California at Irvine  
Irvine, California —HC-45134
3. University of Alabama at Birmingham  
Birmingham, Alabama —HC-48047
4. University of Minnesota  
Minneapolis, Minnesota —HC-48048
5. Northwestern University  
Chicago, Illinois —HC-48049
6. Kaiser Permanente Division of Research  
Oakland, California —HC-48050
7. University of Alabama at Birmingham  
Birmingham, Alabama —HC-95095

### Framingham Study

The original Framingham Study was designed as a longitudinal investigation of constitutional and environmental factors influencing the development of CVD in individuals free of these conditions at the outset. Of the original 5,209 subjects, 700 members still remain. In 1971, the Framingham Offspring Study was initiated to assess familial and genetic factors associated with CHD. More than 5,000 offsprings (and their spouses) were included. A third-generation cohort (consisting of 3,500 grandchildren) has been added to permit examination of numerous hypotheses about the familial clustering of CVD and CVD risk factors. Additional goals include identifying new risk factors for cardiovascular, lung, and blood diseases and developing new imaging tests that can detect very early stages of coronary atherosclerosis in otherwise healthy adults.

### Obligations

#### Funding History:

Fiscal Year 2002—\$6,198,599

Fiscal Years 1983–2001—\$37,365,516

Total Funding to Date—\$43,564,115

### Current Active Organization and Contract Number

1. Boston University Medical Center  
Boston, Massachusetts —HC-38038

### Jackson Heart Study (JHS), Initiated in Fiscal Year 1998

The JHS is a single-site epidemiologic study of CVD in blacks, similar to established studies in Framingham, Massachusetts, and Honolulu, Hawaii, with primary goals of identifying risk factors for development and progression of CVD; enhancing recruitment, cohort retention, and scientific productivity of the existing Jackson site of the ARIC study; building research capabilities

at minority institutions; developing partnerships between minority and majority institutions; and expanding minority investigator participation in large-scale epidemiologic studies.

### Obligations

#### Funding History:

Fiscal Year 2002—\$2,802,000\*

Fiscal Years 1998–2001—\$9,516,000

Total Funding to Date—\$12,318,000

### Current Active Organizations and Contract Numbers

1. Jackson State University  
Jackson, Mississippi —HC-95170
2. Mississippi Medical Center  
Jackson, Mississippi —HC-95171
3. Tougaloo College  
Tougaloo, Mississippi —HC-95172

### Mammalian Genotyping Service (MGS), Initiated in Fiscal Year 1994

The MGS provides genotyping to meritorious projects involving humans, mice, rats, zebrafish, and dogs in all disease areas. It provides genome-wide screens, using short tandem repeat polymorphisms, to assist in finding genes associated with health and disease. Currently, the capacity of the MGS is 7.7 million genotypes per year.

### Obligations

#### Funding History:

Fiscal Year 2002—\$5,250,000

Fiscal Years 1994–2001—\$16,269,750

Total Funding to Date—\$21,519,750

### Current Active Organization and Contract Number

1. Marshfield Medical Research and  
Educational Foundation  
Marshfield, Wisconsin —HV-48141

### Multi-Ethnic Study of Atherosclerosis (MESA), Initiated in Fiscal Year 1999

The purpose of this study is to investigate the prevalence, correlates, and progression of subclinical CVD, i.e., disease detected noninvasively before it has produced clinical signs and symptoms, in a population consisting of 40 percent whites, 30 percent blacks, 20 percent Hispanics, and 10 percent Asians, predominantly of Chinese descent.

### Obligations

#### Funding History:

Fiscal Year 2002—\$10,939,999

Fiscal Years 1999–2001—\$29,703,000

Total Funding to Date—\$40,642,999

### Current Active Organizations and Contract Numbers

1. University of Washington  
Seattle, Washington —HC-95159
2. University of California  
Los Angeles, California —HC-95160
3. Columbia University  
New York, New York —HC-95161
4. The Johns Hopkins University  
Baltimore, Maryland —HC-95162
5. University of Minnesota  
Minneapolis, Minnesota —HC-95163
6. Northwestern University  
Chicago, Illinois —HC-95164
7. Wake Forest University  
Winston-Salem, North Carolina —HC-95165
8. University of Vermont  
Colchester, Vermont —HC-95166
9. New England Medical Center  
Boston, Massachusetts —HC-95167
10. The Johns Hopkins University  
Baltimore, Maryland —HC-95168
11. Harbor-UCLA Research and  
Education Institute  
Los Angeles, California —HC-95169

### Proteomics Initiative, Initiated in Fiscal Year 2002

The purpose of this program is to establish highly interactive, multidisciplinary centers to enhance and develop innovative proteomic technologies directed to relevant biologic questions associated with heart, lung, blood, and sleep health and disease. Scientists will focus on the cells' protein machinery directed towards understanding the molecular basis of the causes and progression of heart, lung, blood, and sleep disorders and identifying targets for therapeutic interventions.

### Obligations

#### Funding History:

Fiscal Year 2002—\$29,477,000

Total Funding to Date—\$29,477,000

\* Additional funding is provided by the NIH Office of Research on Minority Health (ORMH).

### Current Active Organizations and Contract Numbers

1. Boston University  
Boston, Massachusetts —HV-28178
2. Institute for Systems Biology  
Seattle, Washington —HV-28179
3. The Johns Hopkins University  
Baltimore, Maryland —HV-28180
4. Medical University of South Carolina  
Charleston, South Carolina —HV-28181
5. Medical College of Wisconsin  
Milwaukee, Wisconsin —HV-28182
6. Stanford University  
Stanford, California —HV-28183
7. University of Texas Medical Branch  
Galveston, Texas —HV-28184
8. University of Texas  
Southwestern Medical Center  
Dallas, Texas —HV-28185
9. Yale University  
New Haven, Connecticut —HV-28186
10. Henry M. Jackson Foundation for the  
Advancement of Military Medicine, Inc.  
Rockville, Maryland —HV-28187

### Translational Behavioral Science Research Consortium, Initiated in Fiscal Year 2002

The purpose of this program is to establish a consortium of interdisciplinary basic and applied social scientists to conduct research related to developing and testing theories from the behavioral or social sciences concerning cognitive, affective, motivational, developmental, and other factors and processes underlying human behavior. Acquired knowledge will be used to develop and test methods to encourage individuals to adopt and maintain a healthy lifestyle and manage behavioral risk factors for heart, lung, and blood diseases and sleep disorders.

#### Obligations

Funding History:

Fiscal Year 2002—\$4,185,421

Total Funding to Date—\$4,185,421

### Current Active Organizations and Contract Numbers

1. Weill Medical College of Cornell University  
New York, New York —HC-25196
2. Mount Sinai School of Medicine  
New York, New York —HC-25197

### Lung Diseases Program

#### A Case-Controlled Etiologic Study of Sarcoidosis (ACCESS), Initiated in Fiscal Year 1995

The purpose of this program is to support a multi-center case-control study, in a predominately black population, of potential etiologic factors for sarcoidosis, a systemic granulomatous disease that usually produces disease in the lung. The study is assessing the role of infection, as well as environmental and familial factors in the etiology of the disease. The protocol includes comprehensive clinical characterization and examination of markers of immune responsiveness, as well as banking of blood components for further studies.

#### Obligations

Funding History:

Fiscal Year 2002—\$158,683

Fiscal Years 1995–2001—\$11,372,464

Total Funding to Date—\$11,531,147

### Current Active Organizations and Contract Numbers

1. The Johns Hopkins University  
Baltimore, Maryland —HR-56065
2. National Jewish Center for Immunology  
and Respiratory Medicine  
Denver, Colorado —HR-56066
3. Case Western Reserve University  
Henry Ford Hospital  
Detroit, Michigan —HR-56067
4. Medical University of South Carolina  
Charleston, South Carolina —HR-56068
5. University of Cincinnati Medical Center  
Cincinnati, Ohio —HR-56069
6. University of Iowa  
Iowa City, Iowa —HR-56070
7. Mt. Sinai School of Medicine  
New York, New York —HR-56071
8. University of Pennsylvania  
Philadelphia, Pennsylvania —HR-56072
9. Georgetown University  
Washington, DC —HR-56073
10. Beth Israel Hospital  
Boston, Massachusetts —HR-56074
11. Clinical Trials and Surveys Corporation  
Baltimore, Maryland —HR-56075

## Blood Diseases and Resources Program

### Hemochromatosis and Iron Overload Screening Study (HEIRS), Initiated in Fiscal Year 2000

The purpose of this project is to determine the prevalence of iron overload and hereditary hemochromatosis and to study genetic and environmental determinants and potential clinical, personal, and societal impact of the disorder.

#### Obligations

Funding History:

Fiscal Year 2002—\$9,471,775

Fiscal Years 2000–2001—\$11,477,577

Total Funding to Date—\$20,949,352

#### Current Active Organizations and Contract Numbers

1. University of Minnesota  
Minneapolis, Minnesota —HC-05185
2. Howard University  
Washington, DC —HC-05186
3. University of Alabama  
Birmingham, Alabama —HC-05188
4. Kaiser Foundation Research Institute  
Oakland, California —HC-05189
5. University of California  
Irvine, California —HC-05190
6. London Health Science Centre  
Ontario, Canada —HC-05191
7. Wake Forest University  
Winston-Salem, North Carolina —HC-05192

### Maintenance of NHLBI Biological Specimen Repository, Initiated in Fiscal Year 1998

The purpose of this project is to establish an NHLBI Biological Specimen Repository for blood specimens from Institute-supported research. The Repository monitors storage, labeling, and testing of the specimens, as well as administers safe shipment of precise sample aliquots to approved investigators for future studies.

#### Obligations

Funding History:

Fiscal Year 2002—\$932,000

Fiscal Years 1998–2001—\$3,690,565

Total Funding to Date—\$4,622,565

#### Current Active Organization and Contract Number

1. BBI-Biotech Research Laboratories, Inc.  
Gaithersburg, Maryland —HB-87144

### Retrovirus Epidemiology Donor Study (REDS), Initiated in Fiscal Year 1989

This program was established to determine the prevalence of retrovirus positivity in blood donors, a majority of whom are minority. Researchers are evaluating the demographic, risk factor, and behavioral characteristics of blood donors with high risks who continue to donate. A blood specimen repository is also being established as a mechanism for evaluating new tests for known viruses and as a sentinel for as-yet-unrecognized viruses.

#### Obligations

Funding History:

Fiscal Year 2002—\$5,567,078

Fiscal Years 1989–2001—\$62,229,074

Total Funding to Date—\$67,796,152

#### Current Active Organizations and Contract Numbers

1. University of California, San Francisco  
San Francisco, California —HB-47114
2. Oklahoma Blood Institute  
Oklahoma City, Oklahoma —HB-97078
3. American Red Cross, Greater  
Chesapeake and Potomac Region  
Baltimore, Maryland —HB-97079
4. American Red Cross  
Southern California  
Los Angeles, California —HB-97080
5. American Red Cross  
Southeastern Michigan Region  
Detroit, Michigan —HB-97081
6. Westat, Inc.  
Rockville, Maryland —HB-97082



# 11. Clinical Trials

A clinical trial is defined as a scientific research study undertaken with human subjects to evaluate prospectively the diagnostic, prophylactic, or therapeutic effect of a drug, device, regimen, or procedure used or intended ultimately for use in the practice of

medicine or the prevention of disease. A clinical trial is planned and conducted prospectively and includes a concurrent control group or other appropriate comparison group.

## NHLBI Investigator-Initiated Clinical Trials: Fiscal Years 1992–2002

### Research Grants and Cooperative Agreements (Dollars in Thousands)

	Fiscal Year										
	1992	1993	1994	1995	1996	1997	1998	1999	2000	2001	2002
<b>Heart and Vascular Diseases</b>											
Program on Surgical Control of Hyperlipidemias (POSCH)	\$ —	\$ 485	\$ 500	\$ 538	\$ 566	\$ 294	\$ —	\$ —	\$ —	\$ —	\$ —
Stanford Coronary Risk Intervention Program (SCRIP)	382	—	—	—	—	—	—	—	—	—	—
Electrophysiologic Study vs. Electrocardiographic Monitoring (ESVEM)	740	—	—	—	—	—	—	—	—	—	—
Coronary Artery Surgery Study Follow-up	670	—	—	—	—	—	—	—	—	—	—
Emory Angioplasty Versus Surgery Trial (EAST)	—	277	288	296	296	—	—	—	—	—	—
Asymptomatic Carotid Artery Plaque Study (ACAPS)	1,255	—	—	66	70	—	—	—	—	—	—
Infant Heart Surgery: Central Nervous System Sequelae of Circulatory Arrest	770	756	516	598	699	685	582	584	392	75	—
Lifestyle Heart Trial	524	—	—	—	—	—	—	—	—	—	—
Thrombolysis in Myocardial Ischemia (T3)	636	—	—	—	—	—	—	—	—	—	—
Do Fish Oils Prevent Restenosis Post-Coronary Angioplasty?*	750	—	—	—	—	—	—	—	—	—	—
Prevention of Early Readmission in Elderly Congestive Heart Failure Patients	108	112	77	—	—	—	—	—	—	—	—
MRFIT Follow-up and Analysis	387	402	418	—	—	—	—	—	—	—	—
Multicenter Unsustained Tachycardia Trial*	2,072	2,092	2,095	1,958	504	—	—	—	—	—	—
Trial of Aspirin and Vitamin E in Nurses	1,170	1,393	1,488	1,426	1,434	1,473	1,536	1,530	1,594	—	—
Diet and Exercise for Elevated Risk (DEER)	775	805	703	—	—	—	—	—	—	—	—
Cardiovascular Risk Factors and the Menopause	539	610	601	451	478	494	528	186	—	—	—
Sodium Sensitivity in African Americans	686	492	97	249	—	—	—	—	—	—	—
Montreal Heart Attack Readjustment Trial (M-HART)	271	298	340	—	—	—	—	—	—	—	—
Stress Reduction in Elderly Blacks With Hypertension	296	321	338	321	—	—	—	—	—	—	—
Trial of Nonpharmacologic Intervention in the Elderly (TONE)	749	1,038	796	729	—	—	—	—	—	—	—
CABG Patch Trial*	—	3,362	3,117	1,344	988	1,171	—	—	—	—	—
Women's Antioxidant and Cardiovascular Study (WACS)	—	586	612	620	643	501	525	540	556	572	598
Oral Calcium in Pregnant Women With Hypertension	—	280	290	306	320	332	—	—	—	—	—
Stress Reduction and Atherosclerotic CVD in Blacks	—	—	219	330	403	407	40	326	339	360	376
Enalapril After Anthracycline Cardiotoxicity	—	—	587	647	707	724	789	—	—	—	—
Stress and Anger Management for Blacks With Hypertension	—	—	221	232	241	250	—	—	—	—	—
Estrogen Replacement and Atherosclerosis (ERA) Trial*	—	—	1,123	260	1,213	965	1,668	1,017	—	—	—

\* Paid by U01/U10.

**NHLBI Investigator-Initiated Clinical Trials: Fiscal Years 1992–2002 (continued)**

**Research Grants and Cooperative Agreements (Dollars in Thousands)**

	Fiscal Year										
	1992	1993	1994	1995	1996	1997	1998	1999	2000	2001	2002
<b>Heart and Vascular Diseases (continued)</b>											
Shock Trial: Should We Emergently Revascularize Occluded Coronaries for Cardiogenic Shock?	—	—	1,070	1,022	1,008	826	874	—	440	362	298
HDL-Atherosclerosis Treatment Study	—	—	484	480	427	445	340	—	326	—	—
Influence of Cardiopulmonary Bypass (CPB) Temperature on CABG Morbidity	—	—	118	107	118	—	—	—	—	—	—
Women's Estrogen/Progestin Lipid Lowering Hormone Atherosclerosis Regression Trial (WELL-HART)*	—	—	—	798	508	1,196	1,269	1,131	—	32	—
Mode Selection Trial in Sinus Node Dysfunction (MOST)*	—	—	—	2,163	1,857	2,096	1,700	2,879	1,136	154	—
Antioxidants and Prevention of Early Atherosclerosis*	—	—	—	793	240	603	—	—	—	—	—
Postmenopausal Hormone Therapy In Unstable Angina	—	—	—	253	258	264	271	276	—	—	—
Estrogen and Graft Atherosclerosis Research Trial (EAGER)*	—	—	—	—	476	488	305	—	361	371	—
Soy Estrogen Alternative Study (SEA)	—	—	—	—	219	217	221	—	—	—	—
REMATCH Trial*	—	—	—	—	—	1,258	1,798	1,333	825	750	—
Dietary Patterns, Sodium Intake, and Blood Pressure (DASH Sodium)*†	—	—	—	—	—	2,233	3,693	3,646	1,247	151	387
Sudden Cardiac Death in Heart Failure Trial (SCD-HeFT)*	—	—	—	—	—	1,571	1,667	1,709	1,698	1,798	1,412
CVD Risk and Health in Post-Menopausal Phytoestrogen Users	—	—	—	—	—	631	662	574	244	—	304
Treatment of Hypertension With Two Exercise Intensities	—	—	—	—	—	359	474	473	481	420	—
Prevention of Recurrent Venous Thromboembolism (PREVENT)	—	—	—	—	—	—	1,242	894	521	543	1,272
PREMIER: Lifestyle Interventions for Blood Pressure Control*	—	—	—	—	—	—	2,234	3,425	3,595	2,925	1,505
Azithromycin and Coronary Events Study (ACES)*	—	—	—	—	—	—	847	2,663	2,182	720	1,254
Antiarrhythmic Effects of N-3 Fatty Acids	—	—	—	—	—	—	—	514	542	529	647
Fatty Acid Antiarrhythmia Trial (FAAT)	—	—	—	—	—	—	—	519	605	—	—
Occluded Artery Trial (OAT)*	—	—	—	—	—	—	—	4,892	5,079	2,604	1,724
Bypass Angioplasty Revascularization Investigation in Type 2 Diabetics (BARI 2D)*	—	—	—	—	—	—	—	—	3,942	6,515	9,342
Hematocrit Strategy in Infant Heart Surgery*	—	—	—	—	—	—	—	—	473	557	596
Angiotensin-II Blockade in Mitral Regurgitation	—	—	—	—	—	—	—	—	—	553	610
Heart Failure Adherence and Retention Trial (HART)	—	—	—	—	—	—	—	—	—	795	1,617
Reduction of Triglycerides in Women on HRT	—	—	—	—	—	—	—	—	—	708	746
Women's Ischemia Syndrome Evaluation (WISE)†	—	—	—	—	—	—	—	—	—	1,502	1,506
ACE Inhibition and Novel Cardiovascular Risk Factors	—	—	—	—	—	—	—	—	—	—	694
A CHF Trial Investigating Outcomes of Exercise (ACTION)*	—	—	—	—	—	—	—	—	—	—	7,133
Clinical Trial of Dietary Protein on Blood Pressure	—	—	—	—	—	—	—	—	—	—	655
Home Automatic External Defibrillator Trial (HAT)*	—	—	—	—	—	—	—	—	—	—	3,567
Perioperative Interventional Neuroprotection Trial (POINT)	—	—	—	—	—	—	—	—	—	—	553

\* Paid by U01/U10.

† Previously an Institute-Initiated Clinical Trial.

**NHLBI Investigator-Initiated Clinical Trials: Fiscal Years 1992–2002 (continued)**

**Research Grants and Cooperative Agreements (Dollars in Thousands)**

	Fiscal Year										
	1992	1993	1994	1995	1996	1997	1998	1999	2000	2001	2002
<b>Heart and Vascular Diseases (continued)</b>											
Stop Atherosclerosis in Native Diabetics Study (SANDS)*	—	—	—	—	—	—	—	—	—	—	2,410
Surgical Treatment for Ischemic Heart Failure (STICH)*	—	—	—	—	—	—	—	—	—	—	5,709
<b>Subtotal, Heart and Vascular Diseases</b>	<b>12,780</b>	<b>13,309</b>	<b>16,098</b>	<b>15,987</b>	<b>13,673</b>	<b>19,483</b>	<b>23,265</b>	<b>29,111</b>	<b>26,578</b>	<b>22,996</b>	<b>44,915</b>
<b>Lung Diseases</b>											
Emphysema: Physiologic Effects of Nutritional Support	230	246	155	—	—	—	—	—	—	—	—
Cardiopulmonary Effects of Ibuprofen in Human Sepsis*	792	886	683	—	—	—	—	—	—	—	—
Inhaled Beclomethasone to Prevent Chronic Lung Disease*	—	583	690	738	551	436	—	—	—	—	—
Lung Health Study II*†	—	594	3,307	4,434	3,183	3,508	980	—	—	—	—
Lung Health Study—Long-Term Follow-up*†	—	—	—	—	—	—	1,997	1,986	1,616	1,672	927
Asthma Clinical Research Network (ACRN)*†	—	—	—	—	—	—	4,934	5,399	5,686	5,705	5,863
Fetal Tracheal Occlusion for Severe Diaphragmatic Hernia*	—	—	—	—	—	—	—	419	429	181	—
Scleroderma Lung Study*	—	—	—	—	—	—	—	1,040	1,501	1,761	1,501
Inhaled Nitric Oxide for the Prevention of Chronic Lung Disease*	—	—	—	—	—	—	—	—	1,959	1,803	1,764
Inhaled Nitric Oxide in Prevention of Chronic Lung Disease*	—	—	—	—	—	—	—	—	1,548	1,742	1,839
Prospective Investigation of Pulmonary Embolism Diagnosis-II (PIOPED II)*	—	—	—	—	—	—	—	—	2,190	3,667	3,388
Randomized Trial to Reduce ETS in Children With Asthma	—	—	—	—	—	—	—	—	555	545	468
Apnea Positive Pressure Long-Term Efficacy Study (APPLES)*	—	—	—	—	—	—	—	—	—	—	3,224
<b>Subtotal, Lung Diseases</b>	<b>1,022</b>	<b>2,309</b>	<b>4,835</b>	<b>5,172</b>	<b>3,734</b>	<b>3,944</b>	<b>7,911</b>	<b>8,844</b>	<b>15,484</b>	<b>17,076</b>	<b>18,974</b>
<b>Blood Diseases and Resources</b>											
Multicenter Study of Hydroxyurea in Patients With Sickle Cell Anemia—Phase II*	3,139	3,221	3,271	1,238	—	—	—	—	—	—	—
Chelation Therapy of Iron Overload With Pyridoxal Isonicotinoyl Hydrazone (PIH)	220	218	—	—	—	—	—	—	—	—	—
Trial to Reduce Alloimmunization to Platelets (TRAP)—Extension†	—	—	2,510	1,246	263	—	—	—	—	—	—
Stroke Prevention in Sickle Cell Anemia (STOP)*	—	—	2,751	3,257	2,435	2,584	2,036	—	293	—	—
Pediatric Hydroxyurea in Sickle Cell Anemia (PED HUG)	—	—	146	250	260	270	—	—	—	—	—
Stroke Prevention in Sickle Cell Anemia (STOP II)*	—	—	—	—	—	—	—	—	4,493	3,166	3,168
Induction of Stable Chimerism for Sickle Cell Anemia	—	—	—	—	—	—	—	—	—	489	525
Sibling Donor Cord Blood Banking and Transplantation	—	—	—	—	—	—	—	—	—	1,222	1,224
<b>Subtotal, Blood Diseases and Resources</b>	<b>3,359</b>	<b>3,439</b>	<b>8,678</b>	<b>5,991</b>	<b>2,958</b>	<b>2,854</b>	<b>2,036</b>	<b>—</b>	<b>4,786</b>	<b>4,877</b>	<b>4,917</b>
<b>Total, NHLBI</b>	<b>\$17,161</b>	<b>\$19,057</b>	<b>\$29,611</b>	<b>\$27,150</b>	<b>\$20,365</b>	<b>\$26,281</b>	<b>\$33,212</b>	<b>\$37,955</b>	<b>\$46,848</b>	<b>\$44,949</b>	<b>\$68,806</b>

\* Paid by U01/U10.

† Previously an Institute-Initiated Clinical Trial.

## NHLBI Investigator-Initiated Clinical Trials, Fiscal Year 2002: Summary by Program

	Total Obligations Prior to FY 2002	FY 2002 Obligations	Total Obligations to Date
<b>Heart and Vascular Diseases</b>			
ACE Inhibition and Novel Cardiovascular Risk Factors	\$ —	\$ 693,661	\$ 693,661
A CHF Trial Investigating Outcomes of Exercise (ACTION)*	—	7,132,993	7,132,993
Angiotensin-II Blockade in Mitral Regurgitation	553,312	610,368	1,163,680
Antiarrhythmic Effects of N-3 Fatty Acids	1,584,363	646,961	2,231,324
Azithromycin and Coronary Events Study (ACES)*	6,412,683	1,254,228	7,666,911
Bypass Angioplasty Revascularization Investigation in Type 2 Diabetics (BARI 2D)*	10,457,477	9,342,339	19,799,816
Clinical Trial of Dietary Protein on Blood Pressure	—	655,198	655,198
CVD Risk and Health in Postmenopausal Phytoestrogen Users	2,110,940	304,000	2,414,940
Dietary Patterns, Sodium Intake, and Blood Pressure (DASH Sodium)*	10,969,396	386,993	11,356,389
Heart Failure Adherence and Retention Trial (HART)	794,551	1,616,901	2,411,452
Hematocrit Strategy in Infant Heart Surgery*	1,030,268	595,956	1,626,224
Home Automatic External Defibrillator Trial (HAT)*	—	3,566,730	3,566,730
Infant Heart Surgery: Central Nervous System Sequelae of Circulatory Arrest	7,587,435	—	7,587,435
Occluded Artery Trial (OAT)*	12,574,250	1,724,200	14,298,450
Perioperative Interventional Neuroprotection Trial (POINT)	—	552,597	552,597
PREMIER: Lifestyle Interventions for Blood Pressure Control*	12,179,443	1,505,073	13,684,516
Prevention of Recurrent Venous Thromboembolism (PREVENT)	3,200,043	1,272,135	4,472,178
Reduction of Triglycerides in Women on HRT	708,215	746,384	1,454,599
Shock Trial: Should We Emergently Revascularize Occluded Coronaries for Cardiogenic Shock?	5,600,795	297,352	5,898,147
Stress Reduction and Atherosclerotic CVD in Blacks	2,424,327	375,707	2,800,034
Stop Atherosclerosis in Native Diabetics Study (SANDS)*	—	2,409,835	2,409,835
Sudden Cardiac Death in Heart Failure Trial (SCD-HeFT)*	8,443,157	1,412,018	9,855,175
Surgical Treatment for Ischemic Heart Failure (STICH)*	—	5,709,397	5,709,397
Treatment of Hypertension With Two Exercise Intensities	2,206,498	—	2,206,498
Women's Antioxidant and Cardiovascular Study (WACS)	5,154,013	598,353	5,752,366
Women's Ischemia Syndrome Evaluation (WISE)*†	1,502,322	1,506,497	3,008,819
<b>Subtotal, Heart and Vascular Diseases</b>	<b>95,493,488</b>	<b>44,915,876</b>	<b>140,409,364</b>
<b>Lung Diseases</b>			
Apnea Positive Pressure Long-Term Efficacy Study (APPLES)*	—	3,223,476	3,223,476
Asthma Clinical Research Network (ACRN)*†	21,722,002	5,862,537	27,584,539
Inhaled Nitric Oxide for the Prevention of Chronic Lung Disease*	3,762,198	1,764,494	5,526,692
Inhaled Nitric Oxide in Prevention of Chronic Lung Disease*	3,289,375	1,839,151	5,128,526
Lung Health Study—Long-Term Follow-up*†	7,271,408	926,580	8,197,988
Prospective Investigation of Pulmonary Embolism Diagnosis-II (PIOPED II)*	5,856,834	3,388,501	9,245,335
Randomized Trial to Reduce ETS in Children With Asthma	1,099,649	467,698	1,567,347
Scleroderma Lung Study*	4,301,152	1,501,330	5,802,482
<b>Subtotal, Lung Diseases</b>	<b>47,302,618</b>	<b>18,973,767</b>	<b>66,276,385</b>
<b>Blood Diseases and Resources</b>			
Induction of Stable Chimerism for Sickle Cell Anemia	489,103	525,048	1,014,151
Sibling Donor Cord Blood Banking and Transplantation	1,221,933	1,223,754	2,445,687
Stroke Prevention in Sickle Cell Anemia (STOP II)*	7,658,580	3,168,445	10,827,025
<b>Subtotal, Blood Diseases and Resources</b>	<b>9,369,616</b>	<b>4,917,247</b>	<b>14,286,863</b>
<b>Total, NHLBI</b>	<b>\$152,165,722</b>	<b>\$68,806,890</b>	<b>\$220,972,612</b>

\* Indicates paid by U01/U10.

† Previously an Institute-Initiated Clinical Trial.

## Institute-Initiated Clinical Trials: Fiscal Years 1992–2002

### Contracts

Dollars (Thousands)

	Fiscal Year										
	1992	1993	1994	1995	1996	1997	1998	1999	2000	2001	2002
<b>Heart and Vascular Diseases</b>											
Lipid Research Clinics	\$ 574	\$ 11	\$ 622	\$ 583	\$ 660	\$ 650	\$ 685	\$ —	\$ —	\$ —	\$ —
Systolic Hypertension in the Elderly Program (SHEP)	404	369	—	—	—	—	—	—	—	—	—
Studies of Left Ventricular Dysfunction (SOLVD)	902	—	—	—	—	—	—	—	—	—	—
Cardiac Arrhythmia Suppression Trial (CAST)	2,193	—	29	—	—	—	—	—	—	—	—
Postcoronary Artery Bypass Graft (CABG) Study*	5,195	213	—	—	—	—	—	—	—	—	—
Prevention and Treatment of Hypertension Study (PATHS)	564	585	—	—	—	—	—	—	—	—	—
Effects of Digitalis on Survival in Patients With Congestive Heart Failure	3,272	3,464	270	2,235	—	—	—	—	—	—	—
Asymptomatic Cardiac Ischemia Pilot Study (ACIP)	2,720	630	210	7	—	—	—	—	—	—	—
Psychophysiological Investigations of Myocardial Ischemia (PIMI)	1,400	1,400	433	165	—	—	—	—	—	—	—
Arterial Disease Multifactorial Intervention Trial (ADMIT)	663	2,062	2,341	395	—	—	—	—	—	—	—
Raynaud's Treatment Study	339	1,131	2,532	1,664	221	19	—	—	—	—	—
Antiarrhythmic vs. Implantable Defibrillator (AVID)	250	1,203	1,068	5,348	2,475	—	871	548	—	—	—
Antihypertensive and Lipid-Lowering Treatment to Prevent Heart Attack Trial (ALLHAT)	—	2,760	10,914	3,412	9,676	15,943	17,119	—	6,259	7,000	3,980
Activity Counseling Trial (ACT)	—	—	1,260	5,000	—	2,167	2,439	—	—	—	—
Postmenopausal Estrogen/Progestin Interventions (PEPI)	—	—	600	1,305	—	3	170	—	—	—	—
Enhancing Recovery in Coronary Heart Disease Patients (ENRICHD)	—	—	—	1,871	6,993	6,837	5,904	3,303	3,487	596	425
Atrial Fibrillation Follow-up: Investigation in Rhythm Management (AFFIRM)	—	—	—	883	2,510	6,330	—	3,785	1,239	2,401	802
Beta-Blocker Evaluation Survival Trial (BEST)	—	—	—	2,500	1,435	2,300	2,448	—	—	—	—
Women's Angiographic Vitamin and Estrogen Trial (WAVE)	—	—	—	—	731	2,891	1,917	3,878	886	756	—
Women's Ischemia Syndrome Evaluation (WISE)	—	—	—	—	1,577	133	2,932	856	1,424	10	50
Prevention of Events With Angiotensin Converting Enzyme Inhibitor Therapy (PEACE)	—	—	—	—	3,632	2,838	2,836	2,850	5,988	—	2,849
Magnesium in Coronaries (MAGIC) Evaluation Study of Congestive Heart Failure and Pulmonary Artery Catheterization Effectiveness (ESCAPE)	—	—	—	—	—	—	1,169	2,009	1,243	—	238
Action to Control Cardiovascular Risk in Diabetes (ACCORD)	—	—	—	—	—	—	—	4,130	6,590	—	1,750
Public Access Defibrillation (PAD) Community Trial	—	—	—	—	—	—	—	2,923	2,414	3,058	1,101
<b>Subtotal, Heart and Vascular Diseases</b>	<b>18,476</b>	<b>13,828</b>	<b>20,279</b>	<b>25,368</b>	<b>29,910</b>	<b>40,111</b>	<b>38,490</b>	<b>26,032</b>	<b>31,350</b>	<b>13,821</b>	<b>12,324</b>

## Institute-Initiated Clinical Trials: Fiscal Years 1992–2002 (continued)

### Contracts (continued)

	Dollars (Thousands)										
	Fiscal Year										
	1992	1993	1994	1995	1996	1997	1998	1999	2000	2001	2002
<b>Lung Diseases</b>											
Lung Health Study I	10,496	—	3,398	650	350	—	—	—	—	—	—
Pediatric Pulmonary and Cardiac Complications of HIV Infection (P2C2)	6,995	7,814	10,550	2,627	4,033	668	1,979	—	315	—	113
Childhood Asthma Management Program (CAMP)	—	11,361	9,745	5,096	7,977	5,695	—	6,551	729	1,330	2,786
Acute Respiratory Distress Syndrome Clinical Network (ARDSNET)	—	—	1,800	4,170	4,337	4,510	4,880	6,837	5,587	2,667	1,502
National Emphysema Treatment Trial (NETT)	—	—	—	—	—	2,710	3,367	7,545	4,047	6,989	7,910
Feasibility of Retinoid Treatment in Emphysema (FORTE)	—	—	—	—	—	—	—	884	7,711	—	2,429
<b>Subtotal, Lung Diseases</b>	<b>17,491</b>	<b>19,175</b>	<b>25,493</b>	<b>12,543</b>	<b>16,697</b>	<b>13,583</b>	<b>10,226</b>	<b>21,817</b>	<b>18,389</b>	<b>10,986</b>	<b>14,740</b>
<b>Blood Diseases and Resources</b>											
Clinical Course of Sickle Cell Disease	2,161	1,756	2,390	4,375	376	205	2,144	350	106	—	—
Penicillin Prophylaxis in Sickle Cell Disease (PROPS II)	1,058	1,095	226	—	—	—	—	—	—	—	—
Anti-HIV Immunoglobulin (HIVIG) in Prevention of Maternal-Fetal HIV Transmission	—	—	3,016	1,819	706	—	—	—	—	—	—
T-Cell Depletion in Unrelated Donor Marrow Transplantation	—	—	1,310	1,917	1,461	639	2,228	690	1,085	1,144	557
Viral Activation Transfusion Study (VATS)	—	—	—	5,000	5,647	2,353	1,668	—	339	—	—
Cord Blood Stem Cell Transplantation Study	—	—	—	—	1,419	6,573	12,530	1,456	5,122	1,846	2,166
Multicenter Study of Hydroxyurea (MSH) in Sickle Cell Anemia Adult Follow-up	—	—	—	—	703	472	475	469	—	—	588
Pediatric Hydroxyurea Phase III Clinical Trial (BABY HUG)	—	—	—	—	—	—	—	—	1,606	405	3,100
<b>Subtotal, Blood Diseases and Resources</b>	<b>3,219</b>	<b>2,851</b>	<b>6,942</b>	<b>13,111</b>	<b>10,312</b>	<b>10,242</b>	<b>19,045</b>	<b>2,965</b>	<b>8,258</b>	<b>3,395</b>	<b>6,411</b>
<b>Women's Health Initiative</b>											
<b>Subtotal, Women's Health Initiative</b>	<b>—</b>	<b>—</b>	<b>—</b>	<b>—</b>	<b>—</b>	<b>—</b>	<b>—</b>	<b>59,100</b>	<b>57,700</b>	<b>59,200</b>	<b>59,010</b>
<b>Total, NHLBI Clinical Trials Contracts</b>	<b>\$39,186</b>	<b>\$35,854</b>	<b>\$52,714</b>	<b>\$51,022</b>	<b>\$56,919</b>	<b>\$63,936</b>	<b>\$67,761</b>	<b>\$109,914</b>	<b>\$115,697</b>	<b>\$87,402</b>	<b>\$92,485</b>

\* Gift Fund (unappropriated) used—\$4,662,000—FY 94; \$1,320,000—FY 95; and \$917,720—FY 96.

## Institute-Initiated Clinical Trials: Fiscal Years 1992–2002 (continued)

### Cooperative Agreements

	Dollars (Thousands)										
	Fiscal Year										
	1992	1993	1994	1995	1996	1997	1998	1999	2000	2001	2002
<b>Heart and Vascular Diseases</b>											
Trials of Hypertension Prevention (TOHP)	\$5,435	\$5,111	\$4,385	\$1,240	\$ 649	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —
Dietary Intervention Study in Children (DISC)	2,018	1,686	1,615	1,625	1,625	746	—	—	—	—	—
Bypass Angioplasty Revascularization Investigation (BARI)	3,952	3,978	3,965	3,882	2,757	2,894	1,360	1,609	1,634	1,549	1,456
Postmenopausal Estrogen/Progestin Interventions (PEPI)	2,554	1,516	1,109	584	331	—	—	—	—	—	—
Child and Adolescent Trial for Cardiovascular Health (CATCH)	5,501	6,077	2,586	2,342	2,682	3,956	572	210	—	—	—
Cholesterol Reduction in Seniors Program (CRISP)	850	—	—	—	—	—	—	—	—	—	—
Dietary Effects on Lipoproteins and Thrombogenic Activity (DELTA)	1,950	3,213	3,121	2,485	132	290	—	—	—	—	—
Obesity Prevention in American Indians (PATHWAYS)	—	1,689	1,814	2,150	3,432	4,119	3,945	4,196	2,459	—	—
Dietary Approaches to Stop Hypertension (DASH)	—	1,650	2,350	2,513	899	—	—	—	—	—	—
Rapid Early Action for Coronary Treatment (REACT)	—	—	2,609	5,091	4,992	2,866	496	—	—	—	—
Girls Health Enrichment Multisite Studies (GEMS)	—	—	—	—	—	—	—	2,282	2,365	2,877	2,713
Trial of Activity for Adolescent Girls (TAAG)	—	—	—	—	—	—	—	—	5,274	4,831	5,919
Pediatric Cardiovascular Clinical Research Network	—	—	—	—	—	—	—	—	—	3,447	4,822
<b>Subtotal, Heart and Vascular Diseases</b>	<b>22,260</b>	<b>24,920</b>	<b>23,554</b>	<b>21,912</b>	<b>17,499</b>	<b>14,871</b>	<b>6,373</b>	<b>8,297</b>	<b>11,732</b>	<b>12,704</b>	<b>14,910</b>
<b>Lung Diseases</b>											
Asthma Clinical Research Network	—	2,500	3,694	3,640	4,526	4,479	—	—	—	—	—
Asthma and Pregnancy Studies	—	—	1,000	991	1,000	913	—	—	—	—	—
Childhood Asthma Research and Education (CARE) Network	—	—	—	—	—	—	—	4,175	5,002	5,314	6,005
<b>Subtotal, Lung Diseases</b>	<b>—</b>	<b>2,500</b>	<b>4,694</b>	<b>4,631</b>	<b>5,526</b>	<b>5,392</b>	<b>—</b>	<b>4,175</b>	<b>5,002</b>	<b>5,314</b>	<b>6,005</b>
<b>Blood Diseases and Resources</b>											
Trial to Reduce Alloimmunization to Platelets (TRAP)	3,483	1,422	—	—	—	—	—	—	—	—	—
Thalassemia (Cooley's Anemia) Clinical Research Network	—	—	—	—	—	—	—	—	2,192	2,219	2,269
Blood and Marrow Transplant Clinical Research Network	—	—	—	—	—	—	—	—	—	5,360	5,899
Transfusion Medicine/Hemostasis Clinical Research Network	—	—	—	—	—	—	—	—	—	—	6,053
<b>Subtotal, Blood Diseases and Resources</b>	<b>3,483</b>	<b>1,422</b>	<b>—</b>	<b>—</b>	<b>—</b>	<b>—</b>	<b>—</b>	<b>—</b>	<b>2,192</b>	<b>7,579</b>	<b>14,221</b>
<b>Total, NHLBI-Initiated Clinical Trials, Cooperative Agreements</b>	<b>\$25,743</b>	<b>\$28,842</b>	<b>\$28,248</b>	<b>\$26,543</b>	<b>\$23,025</b>	<b>\$20,263</b>	<b>\$6,373</b>	<b>\$12,472</b>	<b>\$18,926</b>	<b>\$25,597</b>	<b>\$35,136</b>
<b>Total, NHLBI-Initiated Clinical Trials</b>	<b>\$64,929</b>	<b>\$64,696</b>	<b>\$80,962</b>	<b>\$77,565</b>	<b>\$79,944</b>	<b>\$84,199</b>	<b>\$74,134</b>	<b>\$122,386</b>	<b>\$134,623</b>	<b>\$112,999</b>	<b>\$127,621</b>

## Institute-Initiated Clinical Trials, Fiscal Year 2002: Summary by Program

### Contracts

	Total Obligations Prior to FY 2002	Total FY 2002 Obligations	Total Obligations to Date
<b>Heart and Vascular Diseases</b>			
Action to Control Cardiovascular Risk in Diabetes (ACCORD)	\$10,720,324	1,749,246	\$12,469,570
Antihypertensive and Lipid-Lowering Treatment to Prevent Heart Attack Trial (ALLHAT)	73,083,355	3,980,000	\$77,063,355
Atrial Fibrillation Follow-up: Investigation in Rhythm Management (AFFIRM)	17,148,473	801,527	\$17,950,000
Enhancing Recovery in Coronary Heart Disease Patients (ENRICHED)	28,991,922	425,378	\$29,417,300
Evaluation Study of Congestive Heart Failure and Pulmonary Artery Catheterization Effectiveness (ESCAPE)	3,570,120	1,129,417	\$4,699,537
Magnesium in Coronaries (MAGIC)	4,420,650	238,292	\$4,658,942
Prevention of Events With Angiotensin Converting Enzyme Inhibitor Therapy (PEACE)	18,143,176	2,849,261	\$20,992,437
Public Access Defibrillation (PAD) Community Trial	8,396,224	1,101,479	\$9,497,703
Women's Angiographic Vitamin and Estrogen Trial (WAVE)	11,058,620	—	11,058,620
Women's Ischemia Syndrome Evaluation (WISE)	6,931,798	50,000	\$6,981,798
<b>Subtotal, Heart and Vascular Diseases</b>	<b>182,464,662</b>	<b>12,324,600</b>	<b>\$194,789,262</b>
<b>Lung Diseases</b>			
Acute Respiratory Distress Syndrome Clinical Network (ARDSNET)	34,788,000	1,502,000	36,290,000
Childhood Asthma Management Program (CAMP)	49,772,800	2,786,000	52,558,800
Feasibility of Retinoid Treatment in Emphysema (FORTE)	8,595,001	2,429,000	11,024,001
National Emphysema Treatment Trial (NETT)	24,658,000	7,910,000	32,568,000
Pediatric Pulmonary and Cardiac Complications of HIV Infection (P2C2)	47,315,456	113,101	47,428,557
<b>Subtotal, Lung Diseases</b>	<b>165,129,257</b>	<b>14,740,101</b>	<b>179,869,358</b>
<b>Blood Diseases and Resources</b>			
Cord Blood Stem Cell Transplantation Study	28,945,311	2,165,861	31,111,172
Multicenter Study of Hydroxyurea (MSH) in Sickle Cell Anemia Adult Follow-up	2,118,820	588,000	2,706,820
Pediatric Hydroxyurea Phase III Clinical Trial (BABY HUG)	2,011,192	3,100,000	5,111,192
T-Cell Depletion in Unrelated Donor Marrow Transplantation	10,474,328	556,895	11,031,223
<b>Subtotal, Blood Diseases and Resources</b>	<b>43,549,651</b>	<b>6,410,756</b>	<b>49,960,407</b>
<b>Women's Health Initiative</b>			
<b>Subtotal, Women's Health Initiative</b>	<b>492,900,000</b>	<b>59,010,108</b>	<b>551,910,108</b>
<b>Total, NHLBI-Initiated Clinical Trials, Contracts</b>	<b>\$884,043,570</b>	<b>\$92,485,565</b>	<b>\$976,529,135</b>

### Cooperative Agreements

	Total Obligations Prior to FY 2002	Total FY 2002 Obligations	Total Obligations to Date
<b>Heart and Vascular Diseases</b>			
Bypass Angioplasty Revascularization Investigation (BARI)	\$50,906,906	\$1,455,489	\$52,362,395
Girls Health Enrichment Multisite Studies (GEMS)	7,523,751	2,713,306	10,237,057
Obesity Prevention in American Indians (PATHWAYS)	23,804,542	—	23,804,542
Pediatric Cardiovascular Clinical Research Network	3,447,570	4,822,007	8,269,577
Trial of Activity for Adolescent Girls (TAAG)	10,105,269	5,919,453	16,024,722
<b>Subtotal, Heart and Vascular Diseases</b>	<b>95,788,038</b>	<b>14,910,255</b>	<b>110,698,293</b>
<b>Lung Diseases</b>			
Childhood Asthma Research and Education (CARE) Network	14,491,554	6,004,651	20,496,205
<b>Subtotal, Lung Diseases</b>	<b>14,491,554</b>	<b>6,004,651</b>	<b>20,496,205</b>
<b>Blood Diseases and Resources</b>			
Blood and Marrow Transplant Clinical Research Network	5,360,364	5,899,050	11,259,414
Thalassemia (Cooley's Anemia) Clinical Research Network	4,410,593	2,269,299	6,679,892
Transfusion Medicine/Hemostasis Clinical Research Network	—	6,052,717	6,052,717
<b>Subtotal, Blood Diseases and Resources</b>	<b>9,770,957</b>	<b>14,221,066</b>	<b>23,992,023</b>
<b>Total, NHLBI-Initiated Clinical Trials, Cooperative Agreements</b>	<b>\$120,050,549</b>	<b>\$35,135,972</b>	<b>\$155,186,521</b>
<b>Total, NHLBI-Initiated Clinical Trials</b>	<b>\$1,004,094,119</b>	<b>\$127,621,537</b>	<b>\$1,131,715,656</b>

## Heart and Vascular Diseases Program

### Action to Control Cardiovascular Risk in Diabetes (ACCORD), Initiated in Fiscal Year 1999

The purpose of this study is to evaluate three diabetic treatment strategies (intensive glycemic control, blood pressure control, and fibrate treatment to raise HDL-cholesterol and lower triglycerides) to prevent major cardiovascular events in patients with Type 2 diabetes mellitus. The primary outcome measure is CVD mortality or major morbidity (MI and stroke). A vanguard phase of about 1,000 participants was completed in FY 2002, and the main trial will proceed in FY 2003.

#### Obligations

##### Funding History:

Fiscal Year 2002—\$1,749,246

Fiscal Years 1999–2001—\$10,720,324

Total Funding to Date—\$12,469,570

#### Current Active Organizations and Contract Numbers

1. Wake Forest University  
Winston-Salem, North Carolina —HC-95178
2. McMaster University  
Hamilton, Ontario —HC-95179
3. University of Washington  
Seattle, Washington —HC-95180
4. Case Western Reserve University  
Cleveland, Ohio —HC-95181
5. Wake Forest University  
Winston-Salem, North Carolina —HC-95182
6. Minneapolis Medical  
Research Foundation  
Minneapolis, Minnesota —HC-95183
7. Trustees of Columbia University  
of New York  
New York, New York —HC-95184

### Antihypertensive and Lipid-Lowering Treatment to Prevent Heart Attack Trial (ALLHAT), Initiated in Fiscal Year 1993

The ALLHAT is a practice-based, randomized clinical trial to determine whether combined incidence of fatal CHD and nonfatal MI differs between diuretic-based and newer antihypertensive treatments (ACE inhibitor, calcium channel blocker, alpha blocker) in high-risk hypertensive patients. The objective of the lipid-lowering component of the study is to determine whether lowering

serum cholesterol with an HMG CoA reductase inhibitor reduces the total mortality in a subset of hypertensive patients with moderately elevated LDL cholesterol. Because blacks and Hispanics are at high risk for hypertension and CHD, investigators recruited a high percentage of minorities into the study.

In February 2000, the alpha blocker arm of the study was discontinued at the recommendation of the Data Safety Monitoring Committee and an independent expert review committee because the CVD event rate was significantly greater among those patients compared to the control group.

#### Obligations

##### Funding History:

Fiscal Year 2002—\$3,980,000

Fiscal Years 1993–2001—\$73,083,355

Total Funding to Date—\$77,063,355

#### Current Active Organization and Contract Number

1. University of Texas Health  
Science Center  
Houston, Texas —HC-35130

### Atrial Fibrillation Follow-up: Investigation in Rhythm Management (AFFIRM), Initiated in Fiscal Year 1995

This clinical trial compared the impact on total mortality of antiarrhythmic drugs to maintain controlled heart rate and sinus rhythm to a strategy of merely controlling the heart rate. Important secondary end points included quality of life and cost of therapies.

Results of the trial demonstrated that the heart rhythm strategy prevented no more deaths than the alternate strategy of merely controlling the heart rate and, in fact, may contribute to more hospitalizations and adverse drug effects.

#### Obligations

##### Funding History:

Fiscal Year 2002—\$801,527

Fiscal Years 1995–2001—\$17,148,473

Total Funding to Date—\$17,950,000

#### Current Active Organization and Contract Number

1. Statistics and Epidemiology  
Research Corporation  
Seattle, Washington —HC-55139

### **Bypass Angioplasty Revascularization Investigation (BARI), Initiated in Fiscal Year 1987**

The BARI assesses the long-term safety and efficacy of percutaneous transluminal coronary angioplasty (PTCA) and coronary artery bypass graft surgery (CABG) in patients who require revascularization and have coronary anatomy suitable for either procedure. The trial has been extended through November 2002 to complete the minimum 10-year follow-up on all patients and to determine the relative efficacy of PTCA versus CABG in subgroups of women, blacks, diabetics, and the elderly.

#### **Obligations**

##### **Funding History:**

Fiscal Year 2002—\$1,455,489

Fiscal Years 1987–2001—\$50,906,906

Total Funding to Date—\$52,362,395

#### **Current Active Organization and Grant Number**

1. University of Pittsburgh  
Pittsburgh, Pennsylvania —HL-38610

### **Enhancing Recovery in Coronary Heart Disease Patients (ENRICH), Initiated in Fiscal Year 1995**

The objective of this multicenter, randomized clinical trial was to test whether treating symptoms of depression and low social support with cognitive behavior therapy and selective serotonin re-uptake inhibitors immediately after MI reduces morbidity and mortality. The primary endpoint was a combination of reinfarction and death. Secondary outcomes included changes in cardiovascular mortality, depression, social support, and quality of life. The cohort included 33 percent minorities. Results showed that the treatment did not lower mortality or the risk of a second heart attack. However, the intervention reduced patients' depression and increased their level of social support.

#### **Obligations**

##### **Funding History:**

Fiscal Year 2002—\$425,378

Fiscal Years 1995–2001—\$28,991,922

Total Funding to Date—\$29,417,300

#### **Current Active Organizations and Contract Numbers**

1. University of North Carolina  
Chapel Hill, North Carolina —HC-55140

2. University of Alabama at Birmingham  
Birmingham, Alabama —HC-55141
3. Duke University  
Durham, North Carolina —HC-55142
4. University of Miami  
Coral Gables, Florida —HC-55143
5. Rush-Presbyterian-St. Luke's  
Medical Center  
Chicago, Illinois —HC-55144
6. Stanford University  
Palo Alto, California —HC-55145
7. Washington University  
St. Louis, Missouri —HC-55146
8. University of Washington  
Seattle, Washington —HC-55147
9. Yale University  
New Haven, Connecticut —HC-55148

### **Evaluation Study of Congestive Heart Failure and Pulmonary Artery Catheterization Effectiveness (ESCAPE), Initiated in Fiscal Year 1999**

The purpose of this study is to compare the efficacy of pulmonary artery catheterization-directed treatment strategy to a noninvasive treatment strategy on morbidity and mortality in patients with severe CHF.

#### **Obligations**

##### **Funding History:**

Fiscal Year 2002—\$1,129,417

Fiscal Years 1999–2001—\$3,570,120

Total Funding to Date—\$4,699,537

#### **Current Active Organization and Contract Number**

1. Duke University  
Durham, North Carolina —HV-98177

### **Girls Health Enrichment Multisite Studies (GEMS), Initiated in Fiscal Year 1999**

The objective of this project is to develop and test interventions to prevent obesity by decreasing weight gain during the high-risk transitional period from pre-puberty to puberty in African American girls who are at high risk for developing obesity. Phase 1 (developmental and pilot studies) was completed in FY 2002. Two sites will begin Phase 2 studies in FY 2003.

#### **Obligations**

##### **Funding History:**

Fiscal Year 2002—\$2,713,306

Fiscal Years 1999–2001—\$7,523,751

Total Funding to Date—\$10,237,057

### Current Active Organizations and Grant Numbers

1. University of Memphis  
Memphis, Tennessee —HL-62662
2. Stanford University  
Stanford, California —HL-62663
3. University of Minnesota,  
Twin Cities  
Minneapolis, Minnesota —HL-62668
4. The George Washington University  
Washington, DC —HL-62732
5. Baylor College of Medicine  
Houston, Texas —HL-65160

### Magnesium in Coronaries (MAGIC), Initiated in Fiscal Year 1998

The purpose of this multicenter trial is to determine whether intravenous magnesium reduces the short-term mortality of high-risk patients with suspected acute MI when it is administered sufficiently early to reduce reperfusion injury.

#### Obligations

Funding History:

Fiscal Year 2002—\$238,292

Fiscal Years 1998–2001—\$4,420,650

Total Funding to Date—\$4,658,942

#### Current Active Organization and Contract Number

1. New England Research Institutes, Inc.  
Watertown, Massachusetts —HC-85155

### Obesity Prevention in Young American Indians (PATHWAYS), Initiated in Fiscal Year 1993

This trial assesses the effectiveness of a school-based intervention in primary prevention of obesity among American Indian elementary school children.

#### Obligations

Funding History:

Fiscal Year 2002—\$0

Fiscal Years 1993–2001—\$23,804,542

Total Funding to Date—\$23,804,542

#### Current Active Organizations and Grant Numbers

1. University of New Mexico  
Albuquerque, New Mexico —HL-50867
2. The Johns Hopkins University  
Baltimore, Maryland —HL-50869

3. University of Minnesota  
Minneapolis, Minnesota —HL-50885
4. Gila River Indian Community  
Sacaton, Arizona —HL-50905
5. Coordinating Center:  
University of North Carolina  
Chapel Hill, North Carolina —HL-50907

### Pediatric Cardiovascular Clinical Research Network, Initiated in Fiscal Year 2001

The objective of this study is to establish a clinical network to evaluate novel treatment methods and management strategies for children with structural congenital heart disease, inflammatory heart disease, heart muscle disease, and arrhythmias.

#### Obligations

Funding History:

Fiscal Year 2002—\$4,822,007

Fiscal Year 2001—\$3,447,570

Total Funding to Date—\$8,269,577

#### Current Active Organizations and Grant Numbers

1. Duke University  
Durham, North Carolina —HL-68269
2. New England Research Institute, Inc.  
Watertown, Massachusetts —HL-68270
3. Children's Hospital of Philadelphia  
Philadelphia, Pennsylvania —HL-68279
4. Medical University of South Carolina  
Charleston, South Carolina —HL-68281
5. Children's Hospital  
Boston, Massachusetts —HL-68285
6. Hospital for Sick Children  
Toronto, Ontario —HL-68288
7. Columbia University  
Health Sciences  
New York, New York —HL-68290
8. University of Utah  
Salt Lake City, Utah —HL-68292

### Prevention of Events With Angiotensin Converting Enzyme Inhibitor Therapy (PEACE), Initiated in Fiscal Year 1996

The multicenter, randomized trial is determining whether addition of an ACE inhibitor to standard therapy in patients with known coronary artery disease and preserved left ventricular function will prevent CVD mortality and reduce risk of MI and the need for revascularization.

## Obligations

### Funding History:

Fiscal Year 2002—\$2,849,261

Fiscal Years 1996–2001—\$18,143,176

Total Funding to Date—\$20,992,437

### Current Active Organization and Contract Number

1. The George Washington University  
Biostatistics Center  
Rockville, Maryland —HC-65149

## Public Access Defibrillation (PAD) Community Trial, Initiated in Fiscal Year 1999

The objective of this trial is to determine whether lay volunteers trained in the use of automatic external defibrillators for out-of-hospital cardiac arrest victims will significantly increase survival to hospital discharged compared with community volunteers trained in standard life-saving techniques. One thousand community sites are participating in the study.

## Obligations

### Funding History:

Fiscal Year 2002—\$1,101,479

Fiscal Years 1999–2001—\$8,396,224

Total Funding to Date—\$9,497,703

### Current Active Organization and Contract Number

1. University of Washington  
Seattle, Washington —HC-95177

## Trial of Activity for Adolescent Girls (TAAG), Initiated in Fiscal Year 2000

This community-based study is testing the effects of a school-community linked intervention to prevent decline in physical activity and cardiorespiratory fitness seen during adolescence in girls. The study will be conducted in 36 schools; 37 percent of the population will be minorities.

## Obligations

### Funding History:

Fiscal Year 2002—\$5,919,453

Fiscal Years 2000–2001—\$10,105,269

Total Funding to Date—\$16,024,722

### Current Active Organizations and Grant Numbers

1. University of Minnesota  
Minneapolis, Minnesota —HL-66845

2. University of South Carolina  
Columbia, South Carolina —HL-66852
3. University of North Carolina  
at Chapel Hill  
Chapel Hill, North Carolina —HL-66853
4. Tulane University  
New Orleans, Louisiana —HL-66855
5. San Diego State University  
San Diego, California —HL-66856
6. The Johns Hopkins University  
Baltimore, Maryland —HL-66857
7. University of Arizona  
Tucson, Arizona —HL-66858

## Women's Angiographic Vitamin and Estrogen Trial (WAVE), Initiated in Fiscal Year 1996

The multicenter, randomized trial is assessing whether or not HRT and/or antioxidant treatment stabilize or inhibit progression and induce regression of coronary plaques in women. The trial is also examining the mechanisms by which these treatments modify atherosclerosis. The primary end points are angiographic changes.

## Obligations

### Funding History:

Fiscal Year 2002—\$0

Fiscal Years 1996–2001—\$11,058,620

Total Funding to Date—\$11,058,620

### Current Active Organizations and Grant Numbers

1. The George Washington University  
Washington, DC —HV-68165
2. University of Alabama at Birmingham  
Birmingham, Alabama —HV-68166
3. Duke University  
Durham, North Carolina —HV-68167
4. Medlantic Research Institute  
Washington, DC —HV-68168
5. Hartford Hospital  
Hartford, Connecticut —HV-68169
6. The Johns Hopkins University  
Baltimore, Maryland —HV-68170

## Women's Ischemia Syndrome Evaluation (WISE), Initiated in Fiscal Year 1996

The multicenter trial seeks to improve diagnostic reliability of cardiovascular testing in the evaluation of ischemic heart disease in women. Secondary objectives are to develop safe, efficient, and cost-effective diagnostic approaches for evaluating women with suspected ischemic heart disease; determine the frequency of myo-

cardial ischemia in the absence of significant epicardial coronary stenosis; and ascertain the frequency of non-ischemic or noncardiac chest pain.

### Obligations

#### Funding History:

Fiscal Year 2002—\$50,000

Fiscal Years 1996–2001—\$6,931,798

Total Funding to Date—\$6,981,798

### Current Active Organizations and Contract Numbers

- |  |           |
|--|-----------|
| 1. University of Alabama at Birmingham<br>Birmingham, Alabama      | —HV-68161 |
| 2. University of Pittsburgh<br>Pittsburgh, Pennsylvania            | —HV-68162 |
| 3. University of Florida<br>Gainesville, Florida                   | —HV-68163 |
| 4. Allegheny Singer Research Institute<br>Pittsburgh, Pennsylvania | —HV-68164 |

## Lung Diseases Program

### Acute Respiratory Distress Syndrome Clinical Network (ARDSNET), Initiated in Fiscal Year 1994

The objective of this network is to test new therapeutic agents with a potential for improving the outcome of patients with ARDS and those at risk of developing ARDS.

### Obligations

#### Funding History:

Fiscal Year 2002—\$1,502,000

Fiscal Years 1994–2001—\$34,788,000

Total Funding to Date—\$36,290,000

### Current Active Organizations and Contract Numbers

- |   |           |
|---|-----------|
| 1. Vanderbilt University<br>Nashville, Tennessee                        | —HR-46054 |
| 2. University of Washington<br>Seattle, Washington                      | —HR-46055 |
| 3. Duke University Medical Center<br>Durham, North Carolina             | —HR-46056 |
| 4. University of Michigan<br>Ann Arbor, Michigan                        | —HR-46057 |
| 5. University of Pennsylvania Hospital<br>Philadelphia, Pennsylvania    | —HR-46058 |
| 6. University of California, San Francisco<br>San Francisco, California | —HR-46059 |

- |   |           |
|---|-----------|
| 7. Cleveland Clinic Foundation<br>Cleveland, Ohio                                   | —HR-46060 |
| 8. University of Colorado<br>Denver, Colorado                                       | —HR-46061 |
| 9. Latter Day Saints Hospital<br>Salt Lake City, Utah                               | —HR-46062 |
| 10. University of Maryland<br>Baltimore, Maryland                                   | —HR-46063 |
| 11. Coordinating Center:<br>Massachusetts General Hospital<br>Boston, Massachusetts | —HR-46064 |
| 12. Baylor College of Medicine<br>Houston, Texas                                    | —HR-16146 |
| 13. Baystate Medical Center<br>Springfield, Massachusetts                           | —HR-16147 |
| 14. University of British Columbia<br>Vancouver, Canada                             | —HR-16148 |
| 15. University of Chicago<br>Chicago, Illinois                                      | —HR-16149 |
| 16. Louisiana State University<br>New Orleans, Louisiana                            | —HR-16150 |
| 17. University of Pittsburgh<br>Pittsburgh, Pennsylvania                            | —HR-16152 |
| 18. University of Texas<br>San Antonio, Texas                                       | —HR-16153 |
| 19. University of Virginia<br>Charlottesville, Virginia                             | —HR-16154 |
| 20. Wake Forest University<br>Winston-Salem, North Carolina                         | —HR-16155 |

### Childhood Asthma Management Program (CAMP), Initiated in Fiscal Year 1991

The purpose of this study is to evaluate the long-term effects of anti-inflammatory therapy compared to bronchodilator therapy on the course of asthma, particularly on lung function and bronchial hyperresponsiveness, and on physical and psychosocial growth and development. Results showed that 4 ½ to 6 years of daily treatment with inhaled corticosteroids was safe and provided superior control of asthma compared to a different anti-inflammatory medication or treatment only when symptoms occurred. The CAMP study will continue to observe the children for 5 years to determine the effect of early treatment on maximum lung growth and on height.

### Obligations

#### Funding History:

Fiscal Year 2002—\$2,786,000

Fiscal Years 1991–2001—\$49,772,800

Total Funding to Date—\$52,558,800

### Current Active Organizations and Contract Numbers

1. The Johns Hopkins University  
Baltimore, Maryland —HR-16044
2. University of California, San Diego  
La Jolla, California —HR-16045
3. University of New Mexico  
Albuquerque, New Mexico —HR-16046
4. Hospital for Sick Children  
Toronto, Ontario —HR-16047
5. National Jewish Center for Immunology  
and Respiratory Medicine  
Denver, Colorado —HR-16048
6. Brigham and Women's Hospital  
Boston, Massachusetts —HR-16049
7. Asthma, Inc.  
Seattle, Washington —HR-16050
8. Washington University  
St. Louis, Missouri —HR-16051
9. The Johns Hopkins University  
Baltimore, Maryland —HR-16052

### Childhood Asthma Research and Education (CARE) Network, Initiated in Fiscal Year 1999

The purpose of this study is to evaluate current and novel therapies and management strategies for children with asthma. Emphasis is on clinical trials that help identify optimal therapy for children with different asthma phenotypes, genotypes, and ethnic backgrounds and children at different developmental stages.

#### Obligations

##### Funding History:

- Fiscal Year 2002—\$6,004,651  
Fiscal Years 1999–2001—\$14,491,554  
Total Funding to Date—\$20,496,205

### Current Active Organizations and Grant Numbers

1. Washington University  
St. Louis, Missouri —HL-64287
2. National Jewish Medical and  
Research Center  
Denver, Colorado —HL-64288
3. University of California, San Diego  
San Diego, California —HL-64295
4. University of Wisconsin  
Madison, Wisconsin —HL-64305
5. University of Arizona  
Tucson, Arizona —HL-64307
6. Pennsylvania State University  
Hershey, Pennsylvania —HL-6431

### Feasibility of Retinoid Treatment in Emphysema (FORTE), Initiated in Fiscal Year 1999

The purpose of this program is to conduct preliminary studies to identify optimal patient populations, drugs and dosing schedules, and outcome measures before conducting a larger clinical trial on retinoid treatment for emphysema.

#### Obligations

##### Funding History:

- Fiscal Year 2002—\$2,429,000  
Fiscal Years 1999–2001—\$8,595,001  
Total Funding to Date—11,024,001

### Current Active Organizations and Contract Numbers

1. University of Minnesota  
Minneapolis, Minnesota —HR-96140
2. Boston University  
Boston, Massachusetts —HR-96141
3. University of Pittsburgh  
Pittsburgh, Pennsylvania —HR-96142
4. University of California  
Los Angeles, California —HR-96143
5. University of California  
San Diego, California —HR-96144
6. Columbia University  
New York, New York —HR-96145

### National Emphysema Treatment Trial (NETT), Initiated in Fiscal Year 1997

The NETT is a multicenter trial designed to evaluate the efficacy and role of lung volume reduction surgery (a procedure in which part of the lung is removed in an attempt to improve breathing) in the treatment of severe emphysema. If surgery proves to be effective, a major secondary objective is to determine which patients are most likely to benefit.

#### Obligations

##### Funding History:

- Fiscal Year 2002—\$7,910,000  
Fiscal Years 1997–2001—\$24,658,000  
Total Funding to Date—\$32,568,000

### Current Active Organizations and Contract Numbers

1. Baylor College of Medicine  
Houston, Texas —HR-76101
2. Brigham and Women's Hospital  
Boston, Massachusetts —HR-76102

3. University of California, San Diego San Diego, California	—HR-76103
4. Cedars-Sinai Medical Center Los Angeles, California	—HR-76104
5. Cleveland Clinic Foundation Cleveland, Ohio	—HR-76105
6. Columbia University New York, New York	—HR-76106
7. Duke University Medical Center Durham, North Carolina	—HR-76107
8. University of Maryland Baltimore, Maryland	—HR-76108
9. Mayo Foundation Rochester, Minnesota	—HR-76109
10. University of Michigan Ann Arbor, Michigan	—HR-76110
11. National Jewish Center for Immunology and Respiratory Medicine Denver, Colorado	—HR-76111
12. The Ohio State University Columbus, Ohio	—HR-76112
13. University of Pennsylvania Philadelphia, Pennsylvania	—HR-76113
14. University of Pittsburgh Pittsburgh, Pennsylvania	—HR-76114
15. Saint Louis University St. Louis, Missouri	—HR-76115
16. Temple University Philadelphia, Pennsylvania	—HR-76116
17. University of Washington Seattle, Washington	—HR-76118
18. The Johns Hopkins University Baltimore, Maryland	—HR-76119

### **Pediatric Pulmonary and Cardiac Complications of HIV Infection (P2C2), Initiated in Fiscal Year 1989**

This multicenter natural history study, in a primarily minority population, is designed to identify and follow the course of lung and cardiovascular diseases that occur in pediatric patients with all stages of vertically transmitted HIV infection.

#### **Obligations**

##### **Funding History:**

Fiscal Year 2002—\$113,101

Fiscal Years 1989–2001—\$47,315,456

Total Funding to Date—\$47,428,557

#### **Current Active Organization and Contract Number**

1. Cleveland Clinic Foundation Cleveland, Ohio	—HR-96037
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### **Blood Diseases and Resources Program**

#### **Blood and Marrow Transplant Clinical Research Network, Initiated in Fiscal Year 2001**

The purpose of this network is to promote the efficient comparison of novel treatment methods and management strategies of potential benefit for children and adults undergoing blood or marrow transplantation.

#### **Obligations**

##### **Funding History:**

Fiscal Year 2002—\$5,899,050

Fiscal Year 2001—\$5,360,364

Total Funding to Date—\$11,259,414

#### **Current Active Organizations and Grant Numbers**

1. University of Nebraska Medical Center Omaha, Nebraska	—HL-69233
2. Dana Farber Cancer Institute Boston, Massachusetts	—HL-69249
3. Children's Mercy Hospital Kansas City, Missouri	—HL-69254
4. University of California San Diego La Jolla, California	—HL-69273
5. Duke University Durham, North Carolina	—HL-69274
6. City of Hope Medical Center Duarte, California	—HL-69278
7. University of Pennsylvania Philadelphia, Pennsylvania	—HL-69286
8. University of Minnesota Twin Cities Minneapolis, Minnesota	—HL-69290
9. Stanford University Stanford, California	—HL-69291
10. Medical College of Wisconsin Milwaukee, Wisconsin	—HL-69294
11. University of Florida Gainesville, Florida	—HL-69301
12. The Johns Hopkins University Baltimore, Maryland	—HL-69310
13. Sloan Kettering Institute for Cancer Research New York, New York	—HL-69315
14. University of Michigan Ann Arbor, Michigan	—HL-69330
15. Case Western Reserve University Cleveland, Ohio	—HL-69348

### **Cord Blood Stem Cell Transplantation Study, Initiated in Fiscal Year 1996**

The multicenter study is designed to show whether umbilical cord blood stem cell transplants from unrelated, newborn donors are a safe and efficient alternative to bone marrow transplantation for children and adults with a variety of cancers, blood diseases, and genetic disorders.

#### **Obligations**

##### **Funding History:**

Fiscal Year 2002—\$2,165,861

Fiscal Years 1996–2001—\$28,945,311

Total Funding to Date—\$31,111,172

#### **Current Active Organizations and Contract Numbers**

1. The EMMES Corporation  
Potomac, Maryland —HB-67132
2. Dana-Farber Cancer Center  
Boston, Massachusetts —HB-67133
3. Fred Hutchinson Cancer  
Research Center  
Seattle, Washington —HB-67134
4. University of California at Los Angeles  
Los Angeles, California —HB-67135
5. Indiana University  
Indianapolis, Indiana —HB-67137
6. Duke University Medical Center  
Durham, North Carolina —HB-67138
7. University of Minnesota  
Minneapolis, Minnesota —HB-67139
8. Duke University Medical Center  
Durham, North Carolina —HB-67141
9. University of California at Los Angeles  
Los Angeles, California —HB-67142

### **Multicenter Study of Hydroxyurea (MSH) in Sickle Cell Anemia Adult Follow-up, Initiated in Fiscal Year 1996**

The purpose of this trial is to determine the long-term effects of hydroxyurea. Patients will be examined annually to determine their health status, quality of life, incidence of malignancies, and birth defects in their offspring(s). Mortality rates from this cohort will be compared to mortality data from the CSSCD cohort and the normal black population mortality.

#### **Obligations**

##### **Funding History:**

Fiscal Year 2002—\$588,000

Fiscal Year 1996–2001—\$2,118,820

Total Funding to Date—\$2,706,820

#### **Current Active Organization and Contract Number**

1. Maryland Medical Research Institute  
Baltimore, Maryland —HB-67129

### **Pediatric Hydroxyurea Phase III Clinical Trial (BABY HUG), Initiated in Fiscal Year 2000**

The objective of this clinical trial is to determine if hydroxyurea therapy is effective in prevention of chronic end organ damage in pediatric patients with sickle cell anemia.

#### **Obligations**

##### **Funding History:**

Fiscal Year 2002—\$3,100,000

Fiscal Years 2000–2001—\$2,011,192

Total Funding to Date—\$5,111,192

#### **Current Active Organizations and Contract Numbers**

1. Children's Research Institute  
Washington, DC —HB-07150
2. Duke University Medical Center  
Durham, North Carolina —HB-07151
3. Howard University  
Washington, DC —HB-07152
4. The Johns Hopkins University  
Baltimore, Maryland —HB-07153
5. Medical University of South Carolina  
Charleston, South Carolina —HB-07154
6. St. Jude Children's Research Hospital  
Memphis, Tennessee —HB-07155
7. The Research Foundation of SUNY  
New York, New York —HB-07156
8. University of Miami  
Miami, Florida —HB-07157
9. University of Mississippi Medical Center  
Jackson, Mississippi —HB-07158
10. University of Texas Southwestern  
Medical Center  
Dallas, Texas —HB-07159
11. Clinical Trials and Surveys Corporation  
Baltimore, Maryland —HB-07160

### **T-Cell Depletion in Unrelated Donor Marrow Transplantation, Initiated in Fiscal Year 1994**

The purpose of this randomized multicenter clinical trial is to determine whether a reduction in morbidity and mortality from acute and chronic graft-versus-host disease can be achieved without a counterbalancing increase in relapse of leukemia in patients receiving an unrelated donor marrow transplant.

## Obligations

### Funding History:

Fiscal Year 2002—\$556,895

Fiscal Years 1994–2001—\$10,474,328

Total Funding to Date—\$11,031,223

### Current Active Organizations and Contract Numbers

1. The EMMES Corporation  
Potomac, Maryland —HB-47094
2. University of Minnesota  
Minneapolis, Minnesota —HB-47095
3. University of Kentucky  
Lexington, Kentucky —HB-47097
4. Sloan-Kettering Institute for  
Cancer Research  
New York, New York —HB-47098

## Thalassemia (Cooley's Anemia) Clinical Research Network, Initiated Fiscal Year 2000

The purpose of this network is to accelerate research in the management of thalassemia, standardize existing treatments, and evaluate new ones in a network of clinical centers.

## Obligations

### Funding History:

Fiscal Year 2002—\$2,269,299

Fiscal Years 2000–2001—\$4,410,593

Total Funding to Date—\$6,679,892

### Current Active Organizations and Grant Numbers

1. Children's Hospital of Philadelphia  
Philadelphia, Pennsylvania —HL-65232
2. Hospital for Sick Children  
Toronto, Ontario —HL-65233
3. New England Research Institute, Inc.  
Watertown, Massachusetts —HL-65238
4. Children's Hospital Oakland  
Oakland, California —HL-65239
5. Weill Medical College of  
Cornell University  
New York, New York —HL-65244
6. Children's Hospital  
Boston, Massachusetts —HL-65260

## Transfusion Medicine/Hemostasis Clinical Research Network, Initiated in Fiscal Year 2002

The purpose of this network is to promote the efficient comparison of new management strategies for individuals with hemostatic disorders, such as idiopathic throm-

bocytopenia and thrombotic thrombocytopenic purpura, and to evaluate new and existing blood products and cytokines for treatment of hematologic disorders.

## Obligations

### Funding History:

Fiscal Year 2002—\$6,052,717

Total Funding to Date—\$6,052,717

### Current Active Organizations and Grant Numbers

1. University of Iowa  
Iowa City, Iowa —HL-72028
2. Case Western Reserve University  
Cleveland, Ohio —HL-72033
3. University of Minnesota Twin Cities  
Minneapolis, Minnesota —HL-72072
4. The Johns Hopkins University  
Baltimore, Maryland —HL-72191
5. Weill Medical College of  
Cornell University  
New York, New York —HL-72196
6. Emory University  
Atlanta, Georgia —HL-72248
7. New England Research Institutes, Inc.  
Watertown, Massachusetts —HL-72268
8. Tulane University of Louisiana  
New Orleans, Louisiana —HL-72274
9. University of Oklahoma  
Health Sciences Center  
Oklahoma City, Oklahoma —HL-72283
10. Duke University  
Durham, North Carolina —HL-72289
11. Blood Center of Southeastern Wisconsin  
Milwaukee, Wisconsin —HL-72290
12. Children's Hospital Boston  
Boston, Massachusetts —HL-72291
13. Massachusetts General Hospital  
Boston, Massachusetts —HL-72299
14. Puget Sound Blood Center  
Seattle, Washington —HL-72305
15. University of Pittsburgh at Pittsburgh  
Pittsburgh, Pennsylvania —HL-72331
16. University of Pennsylvania  
Philadelphia, Pennsylvania —HL-72346
17. University of North Carolina Chapel Hill  
Chapel Hill, North Carolina —HL-72355
18. University of Maryland  
Baltimore Professional School  
Baltimore, Maryland —HL-72359

## Women's Health Initiative, Initiated in Fiscal Year 1992

The purpose of the WHI is to study cardiovascular disease, cancer, and osteoporosis in postmenopausal women. The program consists of three major components: a randomized controlled clinical trial of HRT, dietary modification, and calcium/vitamin D supplementation; an observational study to identify predictors of disease; and a study of community approaches to developing healthful behaviors.

### Obligations

#### Funding History:

Fiscal Year 2002—\$59,010,108

Fiscal Years 1992–2001\*—\$492,900,000

Total Funding to Date—\$551,910,108

### Current Active Organizations and Contract Numbers

1. Fred Hutchinson Cancer Research Center Seattle, Washington	—WH-22110	17. State University of New York at Buffalo Buffalo, New York	—WH-32122
2. Fred Hutchinson Cancer Research Center Seattle, Washington	—WH-32100	18. University of California, Irvine Irvine, California	—WH-42107
3. University of Minnesota, Twin Cities Minneapolis, Minnesota	—WH-32101	19. The George Washington University Washington, DC	—WH-42108
4. University of Iowa College of Medicine Iowa City, Iowa	—WH-32102	20. Stanford University Palo Alto, California	—WH-42109
5. University of Alabama at Birmingham Birmingham, Alabama	—WH-32105	21. Baylor College of Medicine Houston, Texas	—WH-42110
6. Wake Forest University Winston-Salem, North Carolina	—WH-32106	22. University of Texas Health Science Center at San Antonio San Antonio, Texas	—WH-42111
7. Northwestern University Chicago, Illinois	—WH-32108	23. The Ohio State University Columbus, Ohio	—WH-42112
8. Brigham and Women's Hospital Boston, Massachusetts	—WH-32109	24. University of Nevada School of Medicine Reno, Nevada	—WH-42113
9. University of Medicine and Dentistry of New Jersey Newark, New Jersey	—WH-32110	25. Kaiser Foundation Research Institute Oakland, California	—WH-42114
10. Emory University Atlanta, Georgia	—WH-32111	26. State University of New York at Stony Brook Stony Brook, New York	—WH-42115
11. University of Pittsburgh Pittsburgh, Pennsylvania	—WH-32112	27. University of Massachusetts Medical School Worcester, Massachusetts	—WH-42116
12. University of California, Davis Davis, California	—WH-32113	28. University of North Carolina at Chapel Hill Chapel Hill, North Carolina	—WH-42117
13. University of Arizona Tucson, Arizona	—WH-32115	29. Wayne State University Detroit, Michigan	—WH-42118
14. University of Tennessee Memphis, Tennessee	—WH-32118	30. Albert Einstein College of Medicine New York, New York	—WH-42119
15. Memorial Hospital of Rhode Island Pawtucket, Rhode Island	—WH-32119	31. Harbor-UCLA Research and Education Institute Torrance, California	—WH-42120
16. University of California, San Diego San Diego, California	—WH-32120		

\* This figure reflects funding for the clinical trials and observational studies only. From 1992–98, major support was provided through the Office of the Director, NIH. The Community Prevention Study receives funding through an interagency agreement with the Centers for Disease Control and Prevention: \$4,000,000 in FY 1999 and \$12,000,000 from FY 1996–98.

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32. Kaiser Foundation Research Institute Oakland, California	—WH-42121
33. Medical College of Wisconsin Milwaukee, Wisconsin	—WH-42122
34. Medlantic Research Institute Washington, DC	—WH-42123
35. Rush-Presbyterian-St. Luke's Medical Center Chicago, Illinois	—WH-42124
36. UCLA School of Medicine Los Angeles, California	—WH-42125
37. University of Cincinnati Medical Center Cincinnati, Ohio	—WH-42126
38. University of Florida College of Medicine Gainesville, Florida	—WH-42129
39. University of Hawaii at Manoa Honolulu, Hawaii	—WH-42130
40. University of Miami Miami, Florida	—WH-42131
41. University of Wisconsin, Madison Madison, Wisconsin	—WH-42132





## 12. Minority Activities

Throughout its history, the NHLBI has been a leader in conducting and supporting research to eliminate health disparities that exist between various segments of the U.S. population. The Institute has not only initiated research projects with significant minority participation in order to compare health status between various populations, but also has given high priority to programs that focus exclusively on minority health issues.

Since FY 1991, the Institute has had procedures in place to ensure full compliance with the NIH Policy on Inclusion of Women and Minorities as Subjects in Clinical Research. As a result, all NHLBI-supported research that involves human subjects includes minorities, with the exception of a very few projects for which a compelling justification for limited diversity in the study population exists. Thus, all segments of the population, both minority and non-minority, stand to benefit from the Institute's research programs.

The NHLBI supports activities that foster increased participation by minorities in biomedical and behavioral research through outreach to high schools, colleges, and universities, especially minority-serving institutions. It also actively recruits minorities into its training and career development programs (see Chapter 13).

In FY 2001, the Institute sponsored a workshop to develop recommendations for improving recruitment and retention of minorities into research. The workshop recommended:

- Establishing a minority coordinator and a support infrastructure with the responsibility and authority to develop, coordinate, and administer training and career development programs for underrepresented minorities.
- Exposing young children to science and providing them with experiences, skills, and knowledge to pursue science education in college and beyond.
- Improving the research capabilities and resources of minority institutions.

The Institute responded by:

- Establishing the Office of Minority Health Affairs with the mission of improving the health of minority populations by fostering racial and ethnic representation in research and research training programs concerned with diseases of the heart, lung, and blood and sleep disorders.
- Issuing an initiative entitled, Minority K-12 Initiative for Teachers and Students, to encourage NHLBI-funded investigators to collaborate with local school districts, K-12 students and their families, and minority-serving colleges and universities on the development and evaluation of programs to improve the quality of science education in schools that serve underrepresented minority students.
- Establishing a Research Scientist Award for Minority Institutions program to support established scientists with expertise in heart, lung, or blood health and disease, transfusion medicine, or sleep disorders who are interested in developing research programs at minority institutions.

The overall aim of these efforts is to ensure that highly qualified investigators from various racial and ethnic populations are available to conduct future research in heart, lung, and blood diseases and sleep disorders.

The NHLBI has contributed to an NIH-wide effort to formulate a comprehensive plan to address health disparities. This plan, which identified ongoing Institute activities and described goals and objectives for the future, serves as a guide for many NHLBI programs targeted to minority communities.

Listed below are selected current projects that focus on minority populations and reflect the Institute's research portfolio related to minority health; additional information can be found in Chapters 9 through 11.

## Heart and Vascular Diseases

### Risk Factors

#### *Epidemiology*

Long-term epidemiologic studies are pivotal in uncovering risk factors that lead to disease. The Institute has initiated several major studies of heart disease focused significantly or completely on minority populations.

- **CARDIA** (see Chapter 10): Determines the evolution of CHD risk factors and lifestyle characteristics in young adults that may influence development of risk factors prior to middle age; 50 percent of the participants are black.
- **ARIC** (see Chapter 10): Investigates the association of CHD risk factors with development of atherosclerosis and CVD in an adult population; 38 percent of the participants are black.
- **CHS** (see Chapter 10): Examines risk factors for CHD and stroke in the elderly; 20 percent of the participants are minorities.
- **Strong Heart Study** (see Chapter 9): Compares risk factor levels and morbidity and mortality from CVD among American Indians from three different geographic locations.
- **JHS** (see Chapter 10): Identifies environmental and genetic factors influencing the evolution and progression of CVD in blacks.
- **MESA** (see Chapter 10): Examines the characteristics of subclinical CVD that predict progression to clinically overt CVD and related risk factors that predict subclinical disease in blacks, whites, Hispanics, and Asians; 62 percent of the participants are minorities.
- **GOCADAN** (see Chapter 9): Documents CVD risk factors and measures of subclinical disease in approximately 40 extended Alaska Native families. Identifies and characterizes genes that contribute to CVD.

Several investigator-initiated epidemiological studies are examining gene-environment interactions that increase CVD risk factors among various racial groups. Included among them are one comparing gene-environment interactions in black populations in Africa, the Caribbean, and selected areas of the United States; one examining links between DNA sequence variations

in specific genes associated with key physiological functions involved in CVD development and CVD risk factors among Japanese and Pacific Islanders; and one seeking to identify and map specific genes that contribute to CVD risk in Mexican-Americans.

A study of the etiology of atherosclerosis focusing on diet and oxidative mechanisms examines new risk factors that promote or inhibit LDL damage and inflammatory responses in the artery wall. Researchers are seeking to determine the relationship between longitudinal change in atherosclerosis and dietary antioxidants, antioxidant enzymes, and genetic polymorphisms; 43 percent of the participants are Hispanic.

The NHLBI supports research on the impact of adolescent lifestyle on the development of CVD. One project being conducted in youths, half of whom are black, is examining the influences of diet and exercise on adiposity and regional fat distribution and the subsequent relationship between these two factors and the development of CVD. Another is tracking the development of cardiovascular, behavioral, and physiological risk factors in Hispanic children and adolescents.

An ancillary study to the MESA is seeking to determine whether impairment of myocardial perfusion reserve can serve as a marker of CHD. Scientists hypothesize that impaired myocardial perfusion reserve indicates the presence of subclinical coronary atherosclerosis and coronary microvascular disease. Developing a new measure of subclinical disease would enable early interventions and lifestyle modifications to prevent CHD; 50 percent of the population will be Hispanic.

#### *Treatment and Prevention*

Many evidence-based guidelines have been developed for the treatment of cardiovascular, lung, and blood diseases, but they are often not adopted in routine clinical practice. In addition, recent studies have shown substantial differences in physician decision-making for cardiac diagnostic and therapeutic interventions after controlling or adjusting for SES and reimbursement system. Differences based on race and sex have been demonstrated, but the reasons behind them and possible avenues for modifying them have not been articulated. In 2002, the Institute launched a program to identify obstacles to the implementation of national evidence-based guidelines and to develop effective interventions to stimulate their use.

Because CVD evolves over decades, early intervention programs to reduce multiple risk factors can aid in preventing CVD in later years. To this end, the Institute supports several investigator-initiated intervention studies among diverse populations. Included is a project to compare the effectiveness of a community-based intervention using neighborhood health care workers to a program that provides assistance through referral to primary care resources. Individuals are encouraged to lower their blood pressure, LDL-cholesterol level, and dietary fat intake; increase their physical activity; and stop smoking. High-risk siblings of blacks with premature coronary disease are targeted. Another project is using churches to promote adoption of a healthy lifestyle among blacks. Scientists are evaluating the effectiveness of two nutrition and exercise interventions conducted at several black churches in Atlanta. A third project is seeking to determine how demographic and cultural factors contribute to diet and sedentary practices that lead to CVD among Hispanic women in order to develop a cardiovascular intervention program tailored to this population.

## Education

The NHLBI, through its education programs (see Chapter 2), disseminates health information to physicians, health care professionals, patients, and the public on ways to prevent or treat diseases within the Institute's mandate. It has developed the following programs to combat cardiovascular health disparities among four major cultural/ethnic groups—blacks, Asians, Hispanics, and American Indians.

- **National Physicians' Network:** Provides continuing education opportunities and treatment information to clinicians and other health professionals who provide health care to blacks. A Web-based interactive self-study education program for doctors and nurses has been developed and is being tested.
- **National Asian American and Pacific Islanders Heart Health Outreach Project:** Develops culturally and linguistically appropriate activities to increase community awareness of heart disease and its associated risk factors and promotes the adoption of heart-healthy lifestyles among a diverse Asian population.
- **Salud para su Corazón:** Disseminates information on CVD prevention, intervention, and treatment and promotes heart-healthy behaviors in Hispanic communities.

- **Strengthening the Heartbeat of American Indian/Alaska Native Communities:** Develops culturally appropriate informational material to encourage heart-healthy behavior in three tribal communities.

In addition to the activities mentioned above, the Institute prepares publications on CVD prevention for minority populations. Included are:

- *Improving Cardiovascular Health in African Americans—Package of Seven Easy-To-Read Booklets*
- *Package of Eight Easy-To-Read Booklets in Spanish and English on Preventing Heart Disease*
- *From Heart to Heart: A Bilingual Group Discussion Guide (includes videotape)* in English and Spanish
- *Bringing Heart Health to Latinos: A Guide for Building Community Programs*
- *Photonovella and CVD Prevention Workbook.*

## High Blood Pressure

### *Etiology and Pathophysiology*

High blood pressure is a serious health problem that is especially prevalent and severe among minorities. Institute-initiated studies in the etiology and pathophysiology of high blood pressure include:

- **Molecular Genetics of Hypertension** (see Chapter 9): Determines the etiology and pathogenesis of hypertension and its complications in order to improve diagnosis and treatment of the disease. Many of the subprojects have a high percentage of minority participation; others target blacks or Hispanics exclusively.
- **Family Blood Pressure Program** (see Chapter 9): Uses a collaborative network of investigators to identify genes associated with high blood pressure and to research the interactions between genetic and environmental determinants of hypertension in specific minority populations.

The Institute supports a number of investigator-initiated projects to examine antecedents of hypertension in children to determine racial differences in blood pressure regulation. Researchers are investigating relationships between cardiovascular reactivity in adolescent normotensive blacks and development of pathobiologic markers of hypertension risk (i.e., increased resting blood pressure, left ventricular mass, and relative wall thickness) later in life.

Nitric oxide (NO) is associated with blood pressure regulation and may influence the development of hypertension. A new study assesses the importance of vascular NO production in the regulation of cardiovascular responses to stress and racial and gender differences in this process.

Impaired sodium regulation also appears to be linked to the development of hypertension. Scientists are investigating various kidney proteins that regulate sodium reabsorption and have found associations of some genetic variants of these proteins with hypertensive blacks. Another group of scientists is investigating the effects of stress on salt retention and measuring hormonal variables known to influence sodium regulation in a population of obese and nonobese black youths. They are seeking to determine whether the mechanisms regulating sodium retention differ between the two groups. A third group is examining the role of sodium and obesity in hypertension development among blacks living in three different environments: Nigeria, Jamaica, and Chicago.

Investigators have observed that blacks have an augmented blood pressure response to salt. A study has been initiated to elucidate the genetic basis and phenotypic characterization of salt-sensitive hypertension in blacks.

Scientists are also examining the influence of SES on stress reactivity to determine if it provides a pathophysiologic link to CVD in blacks. One group is studying the combined influence of low SES and ethnicity on the development of behavioral risk factors and testing the extent to which they mediate associations between socio-demographic factors, stress, and cardiovascular markers in adolescents. Another group is assessing the relationship between early life exposure to socioeconomic stressors, such as adverse socioeconomic conditions, low levels of social integration, and racial discrimination, and development of hypertension in blacks.

The role of dietary factors, particularly macronutrients, in the etiology of high blood pressure is another area of investigation. Scientists are conducting epidemiologic studies among participants with diverse ethnicity, SES, and dietary habits in four countries to determine the impact of dietary components (i.e., proteins, lipids, carbohydrates, amino acids, calcium, magnesium, sodium, potassium, antioxidants, fiber, and caffeine) on blood pressure.

The NHLBI supports a number of studies to identify genes linked to hypertension in blacks, Mexican-Americans, and whites to determine if part of the disparity in prevalence can be attributed to genetic differences among the groups. Genes under investigation include those associated with the renin-angiotensin system, the kallikrein-kinin system, and sodium transport.

Asians living in rural China are the focus of a project to identify genes associated with hypertension. By selecting an isolated population, researchers expect that the genetic factors contributing to the disorder will be less heterogeneous and thus more readily detected.

Hypertension associated end-stage renal disease is more prevalent among blacks than whites. Researchers are seeking to identify genes linked to this disorder among blacks. Once the genes are identified, they will serve as a genetic basis for detecting high risk individuals and developing prevention interventions and treatment strategies.

### ***Treatment and Prevention***

Identifying effective treatment strategies for various populations requires large-scale studies with representative populations in sufficient numbers.

- ALLHAT (see Chapter 11): Compares the combined incidence of fatal CHD and nonfatal MI among patients receiving ACE inhibitors, calcium antagonists, or alpha-1-blockers and patients in a control group receiving a diuretic. Also, using a subset of these groups, determines whether cholesterol-lowering therapy reduces mortality in moderately hypercholesterolemic individuals compared with a control group; 32 percent of the participants are black and 19 percent are Hispanic.
- PREMIER (see Chapter 9): Compares the effectiveness of two multicomponent lifestyle interventions on blood pressure control. Interventions include reduced salt intake, increased physical activity, moderation of alcohol intake, and weight loss. In addition, one of the two interventions includes the DASH diet; 40 percent of the participants are black.

Understanding racial differences in blood pressure control is an area of major interest for the Institute. Scientists are examining whether variation in genes of the renin-angiotensin-aldosterone system predicts differences in blood pressure response to diuretic therapy

among hypertensive blacks and whites. Another group is focusing on variations in the ACE gene between blacks and whites to explain racial differences in the antihypertensive responsiveness to ACE inhibitors.

Because stress may be a major contributor to CVD among blacks, interventions to reduce stress, such as Transcendental Meditation and aerobic activities, are being tested in this population to evaluate their effectiveness in reducing blood pressure levels. Another intervention being evaluated involves the ability of emotional disclosure writing to lower blood pressure; 71 percent of the participants are minorities.

The NHLBI is concerned about the lack of treatment adherence in minorities and individuals living in poverty. To address this issue, it has initiated a program to evaluate innovative yet practical methods to overcome patient, provider, and medical systems barriers that obstruct treatment adherence among racial and ethnic minorities and persons living in poverty in the United States. One project is determining whether an electronic home monitor that can transmit vital signs from a patient's home to a physician's office can improve hypertension care among a black patient population. Another project is testing the effectiveness of a multicomponent adherence promotion intervention among low-income blacks. It incorporates individual assessment and tailored feedback to help patients develop behavior management skills that enhance consistent medication use.

### **Education**

The NHBPEP (see Chapter 2) has developed a number of outreach programs to inform minority populations of the importance of blood pressure control. Included among them are a public information center accessible by a toll-free number that provides material on hypertension in English or Spanish; mini-telenovelas (*Más vale prevenir que lamentar*), "health moments" to reinforce CVD prevention for local Spanish-language television stations; a Spanish version of the High Blood Pressure Education Month Kit; and several publications for health professionals, patients, and the public. They include:

- *Control de la Presión Arterial Alta: Guía Para La Mujer de Edad Mayor*
- *Controlling High Blood Pressure: A Guide for Older Women* in English and Spanish
- *Take Steps—Prevent High Blood Pressure* in English and Spanish

- *Cut Down on Salt and Sodium* in English and Spanish
- *Churches as an Avenue to High Blood Pressure Control*
- *Working With Religious Congregations: A Guide for Health Professionals*
- *Spice Up Your Life! Eat Less Salt and Sodium*
- *Protect Your Heart! Prevent High Blood Pressure.*

## **High Serum Cholesterol**

### **Etiology**

The Institute supports a number of investigator-initiated projects to identify genes that influence the lipoprotein profile within various racial and ethnic groups. Research findings could offer an explanation for differences in susceptibility to CHD found among these populations.

Scientists are also interested in the protective effect of high density lipoproteins (HDL). One study is focusing on isolating and characterizing native HDL species in order to determine their structure and function. Research findings could lead to new strategies to prevent and treat arteriosclerotic heart disease. Thirty-eight percent of the participants are minorities.

Variation in hepatic lipase activity is associated with differences in plasma concentrations of HDL and LDL synthesis and catabolism. Researchers are investigating whether ethnic differences in hepatic lipase activity are responsible for the well-known differences in plasma HDL concentrations found in blacks and whites. Genetic studies are being conducted on a population that is 39 percent black.

### **Prevention**

The NHLBI is supporting an investigator-initiated study among minority preschool children to track the long-term effectiveness of nutrition interventions on blood cholesterol and diet. Additional potential risk factors, such as increased blood pressure, obesity, and intention to smoke, will also be monitored.

### **Education**

The NCEP (see Chapter 2) has prepared a number of publications for minority audiences. Two booklets, in Spanish and English, explain what Hispanic families can do to reduce their risk of heart attack or stroke. Cook-books designed for minority audiences are also available;

they contain recipes that are low in both fats, especially saturated fat, and cholesterol:

- *Learn Your Cholesterol Number* in Spanish and English
- *Protect Your Heart—Lower Your Blood Cholesterol* in Spanish and English
- *Heart-Healthy Home Cooking African American Style*
- *Delicious Heart-Healthy Latino Recipes*
- *Cut Down on Fat—Not on Taste* in Spanish and English
- *Be Heart Smart! Eat Foods Lower in Saturated Fat and Cholesterol*
- *Empower Yourself! Learn Your Cholesterol Number.*

## Obesity

### *Etiology*

The latest NHANES data show a continued rise in the proportion of Americans who are overweight and black women are especially at risk. To understand the reasons for the racial disparity among women, the Institute initiated a long-term program, the NHLBI Growth and Health Study (NGHS), to examine the development of obesity and CVD risk factors in a biracial cohort of young girls. The study, which ended in FY 2000, found black girls consumed more calories and a higher percentage of calories from fat and watched more television than white girls. An investigator-initiated study using the NGHS cohort, starting at ages 18 to 19 years, is examining the changes in cardiac output and total peripheral resistance that occur with developing obesity and the influence of these changes on ethnic difference in blood pressure regulation. Another project, using data from the NHGS, is examining CHD risk factors in black and white girls to identify genes involved in black-white differences in lipid metabolism and obesity.

Pregnancy is often associated with excess weight gain and postpartum weight retention that can lead to obesity. Understanding the determinants of this weight gain and retention is the focus of a project being conducted within a predominantly black and Hispanic population of pregnant adolescents.

### *Prevention*

The NHLBI has initiated programs to prevent obesity in high-risk children.

- GEMS (see Chapter 11): Tests the effectiveness of weight-control interventions (involving diet, physical activity, and psychosocial and familial influences) administered during the critical transition period from prepuberty to puberty in black girls at high risk for obesity.
- PATHWAYS (see Chapter 11): Tests school-based interventions to prevent obesity in American Indian elementary school children.

The Institute supports a number of investigator-initiated studies on the effectiveness of obesity prevention and control interventions among diverse populations. Black and Hispanic parents and children at Head Start sites are participating in a nutrition education and weight-control program; 70 percent of the participants will be minorities.

A school-based study involving predominately minority children is determining whether reduced use of television, videotapes, and video games prevents obesity. Another project with a subject population consisting of Asians, Hispanics, and whites is testing an integrated school- and community-based intervention involving physical activity and diet to reduce the prevalence of obesity.

Black women are the subjects of a weight management program specifically tailored to their psychosocial, sociocultural, and health perspectives and life circumstances. A study is using data from the NHANES III to determine whether multiple perceptions and behaviors related to weight loss cluster according to sociodemographic characteristics. Its results should provide information that will contribute to the design of culturally sensitive intervention strategies for minorities. Blacks and Mexican-Americans at various SES levels constituted the major portion of the population surveyed.

### *Education*

The NHLBI OEI (see Chapter 2) has prepared two booklets on losing excess weight targeted to minorities:

- *Watch Your Weight* in English and Spanish
- *Embrace Your Health! Lose Weight If You Are Overweight.*

## Physical Inactivity

The Institute has initiated research on the effectiveness of intervention programs to encourage greater physical activity within selected groups.

- TAAG (see Chapter 11): Evaluates school-community linked interventions to prevent the decline in physical activity in adolescent girls; approximately 37 percent of participants will be minorities.

The NHLBI supports several investigator-initiated studies on strategies to increase physical activity among minority populations. Included among them are studies to examine the effect of vigorous exercise on reduction of childhood obesity in black girls. Adolescent girls are the focus of a number of projects that seek to determine the optimal amount of exercise required for primary prevention of CHD, provide culturally relevant physical activities, enhance social support for exercise, and test the effects of different amounts and intensities of physical activity on CVD risk factors. Hispanic women and women with low SES and literacy skills are subjects in two intervention projects to encourage sustained increases in physical activity among sedentary and underserved groups. One of the projects is also seeking to determine the degree of generalization of activity from mother to husband and children.

### Education

The Institute has prepared two booklets for minorities on why physical activity is important and ways to become more physically active:

- *Stay Active and Feel Better* in English and Spanish
- *Energize Yourself! Stay Physically Active.*

## Smoking

The Institute supports a number of investigator-initiated smoking intervention and follow-up cessation maintenance studies that specifically target minorities. Two studies are directed toward minority pregnant women. One of them will evaluate the effectiveness of a smoking cessation program for pregnant smokers delivered as part of routine care by nurses. The other will bring together prenatal care providers with researchers to assess the effectiveness of three programs to reduce

smoking among pregnant women; blacks and Hispanics will make up a significant portion of the participants.

Investigators are evaluating the effectiveness of two smoking cessation programs for smokers who seek treatment at the hospital emergency department. One study involves patients who suffer from acute respiratory illness; approximately 35 percent are minorities. The other targets Chinese-American patients hospitalized with CVD, pulmonary disease, or diabetes mellitus.

Other projects being supported include a tracking study of minority youths to assess the extent of smoking onset and cessation, identify determinants of smoking onset, and determine predictors of cessation; a study of elderly smokers—40 percent minority—to evaluate the effectiveness of three smoking cessation strategies; and an intervention study tailored to an underserved population at risk for smoking relapse, smoking onset, and smokeless tobacco use.

### Education

The Institute has written two booklets on smoking cessation for minorities:

- *Kick the Smoking Habit* in English and Spanish
- *Refresh Yourself! Stop Smoking.*

## Psychosocial Factors

The NHLBI has initiated research on the impact of depression, anxiety, and lack of social support on prognosis after a CHD event.

- ENRICHD (see Chapter 11): Determines the effects of psychosocial interventions on morbidity and mortality in post-MI patients who are depressed and socially isolated and/or who perceive themselves as lacking support from family and friends; 35 percent of the participants are minorities.

The Institute also supports investigator-initiated research on the role of race and ethnicity, psychosocial and environmental factors, and low SES in the development of CHD. Investigators are targeting their efforts on the role of biobehavioral factors in the etiology, pathogenesis, and course of CHD.

Additional areas of interest include the genetic basis of aggression and the relationships between behavioral risk-

promoting variables (psychosocial stress, smoking, poor diet, physical inactivity); presumed mediating variables (sympathetic nervous system activity and insulin metabolism); and CHD risk factors; 50 to 65 percent of the population within these projects are black or Hispanic.

### **Ischemic Heart Disease**

The NHLBI supports a major multicenter program involving basic and clinical research on ischemic heart disease in blacks.

- **Ischemic Heart Disease in Blacks** (see Chapter 9): Elucidates the pathophysiological basis for excess morbidity and mortality from ischemic heart disease in blacks, and subsequently develops therapeutic strategies to address these problems.

### **Diabetes**

Blacks, Hispanics, and American Indians have a high prevalence of diabetes. The NHLBI supports research to elucidate the pathogenic mechanisms involved in the relationship between diabetes mellitus and elevated risk for CVD.

Several investigator-initiated studies are examining the genetic relationships between noninsulin-dependent diabetes mellitus (NIDDM) and atherosclerosis. They include a study among two sets of Hispanic families with NIDDM, one with CHD and one without; a study in Mexican Americans to determine common genes linking insulin resistance and coronary artery disease; a project in Japanese-American families to characterize the genetic epidemiology of CHD risk factors (high LDL, risk factors that characterize the insulin resistance syndrome and NIDDM, and lipoprotein(a) levels and apolipoprotein(a) phenotypes); and a project in blacks and Hispanics to examine genetic determinants of insulin resistance and visceral adiposity as intermediate components in the pathways that lead to Type 2 diabetes and atherosclerosis.

In addition, the Institute supports research on the role of hyperglycemia and insulin resistance in the development of vascular disease. A study in American Indians with NIDDM is seeking to elucidate these biological processes and their interaction in the acceleration of atherogenesis. A project in a diverse diabetic patient population of blacks, whites, and Hispanics with and without carotid atherosclerosis is seeking to understand

the atherogenicity of hypertriglyceridemia in diabetes by focusing on the size and number of triglyceride-rich lipoproteins.

Hypertension and diabetes are major contributors to CVD and occur disproportionately in blacks. In particular, black women seem to have earlier disease onset and poorer outcomes. Scientists are investigating the link between hypertension and Type 2 diabetes and will determine if the relative excess of androgen found in black women contributes to an accelerated disease pathway. Specifically, they are seeking to determine whether insulin resistance, excess androgen, and endothelial dysfunction contribute to accelerated vascular injury in blacks.

Other investigator-initiated studies on diabetes and CVD risk among minority populations include an epidemiologic survey to compare the prevalence of diabetes and CVD risk factors among native Mexicans and Mexican-Americans and a study among blacks, whites, and Hispanics with existing insulin resistance, including impaired glucose tolerance and NIDDM, to define dietary factors that may contribute to elevated risk for CVD.

### **Treatment**

The NHLBI supports clinical trials to determine the benefits of various strategies to reduce CVD among diabetics or treat patients with coronary artery disease and diabetes.

- **ACCORD** (see Chapter 11): Evaluates the benefits of different therapies to reduce CVD in adult-onset diabetes; 33 percent of the participants are minorities.
- **BARI 2D** (see Chapter 11): Evaluates whether urgent revascularization offers an advantage over medical therapy in patients with coronary artery disease and diabetes. In addition, for a given level of glycemic control, determines if insulin-providing drugs offer advantages or risks compared to insulin-sensitizers (drugs that enhance insulin action); 33 percent of the participants will be from minority populations.

### **Lung Diseases**

The NHLBI supports research on a number of lung diseases, such as asthma, sarcoidosis, and TB, that disproportionately affect minorities. The following section

illustrates research to address health disparities in lung diseases.

## Asthma

### *Etiology and Pathophysiology*

Asthma is a chronic lung disease characterized by inflammation of the airways. Various genetic and environmental factors contribute to the severity of symptoms. The Institute has launched a collaborative program to investigate the mechanistic basis for severe asthma and to determine how it differs from mild-to-moderate asthma.

The NHLBI is supporting a number of investigator-initiated projects on the etiology and pathophysiology of asthma. Two studies are using genomic screening to search for the genetic basis of asthma, one in a large sample of Asian siblings who are already known to differ widely in their airway responsiveness (sensitivity to histamine) and lung function and another in a homogeneous Hispanic population in Costa Rica. Other projects are focusing on understanding the mechanisms by which environmental factors trigger the onset of asthma. One study is investigating the role of viruses in the exacerbation of asthma; 50 percent of the participants are minorities. Another is examining how pulmonary infection caused by *mycoplasma pneumoniae* exacerbates asthma and prolongs abnormalities in lung function; 40 percent of the participants are minority. A third study is seeking to understand the role of gene-environment interactions in the development of immune responses in a pediatric population that is genetically predisposed to asthma; 40 percent of the participants are Hispanic.

Occupational or environmental induced asthma is a major problem, especially among low-income, urban blacks and Hispanics. The NHLBI is supporting a project to examine work-related asthma in such a population.

Circadian change in airway function is an important aspect of asthma, as more than 70 percent of deaths and 80 percent of respiratory arrests occur during sleep. Focusing on nocturnal asthma, researchers are investigating the mechanisms that cause the changes in airway function that lead to exacerbation of symptoms; 36 percent of the participants are minority.

### *Treatment and Control*

The Institute has initiated research to identify optimal drug strategies for treatment and management of asthma. Because the disorder disproportionately affects minority

children, it is important for them to be well represented in clinical trials.

- ACRN (see Chapter 9): Establishes an interactive network of asthma clinical research groups to conduct studies of new therapies for asthma and disseminate findings to the practicing community. Overall, 37 percent of the participants are from minority populations.
- CAMP (see Chapter 11): Determined that inhaled corticosteroids are safe and effective for long-term treatment of children with mild-to-moderate asthma. The therapy proved more effective than nonsteroidal anti-inflammatory medication and significantly reduced airway hyperresponsiveness. The only side-effect was a transient slowing in growth rate during the first year of treatment; 31 percent of the participants were minorities.
- CARE (see Chapter 11): Establishes a network of pediatric clinical care centers to determine optimal treatment and management strategies for children with asthma. The study will attempt to customize therapy based on specific asthma phenotypes and genotypes; 30 percent of the population will be minorities.
- Centers for Reducing Asthma Disparities (see Chapter 9): Establishes partnerships between minority-serving institutions and research-intensive institutions to conduct studies on the causes of and corrections for disparities in asthma among racial/ethnic, low SES, and other groups. Reciprocal training is encouraged to ensure culturally sensitive projects.

The Institute is also supporting an investigator-initiated study on the effect of steroids on enhanced alpha-adrenergic vascular responsiveness in asthma; 77 percent of the participants are minority.

### *Translational Activities*

Ensuring full use of modern asthma treatment strategies is an important goal of the NHLBI. The Institute supports a number of investigator-initiated projects to evaluate the effectiveness of various strategies to control asthma. One study, conducted in black communities in Baltimore, is examining the effectiveness of two asthma interventions in reducing emergency room visits, improving adherence to medication schedules, and altering asthma morbidity. One strategy provides assistance to families in accessing medical care; the other combines

this assistance with a family intervention to encourage consistent use of asthma medication. Another study examines whether shared decision-making between patient and physician in choosing asthma therapy improves adherence; 82 percent of the participants are minority.

A New York City-based study is establishing a collaboration between school nurses and primary care physicians to form a network of care focused on prevention of asthma attacks. The project seeks to identify school children with asthma and work with their families and physicians to develop an asthma management plan that includes supervision of drug treatment at school. The project is referring children who lack continuing care to physicians who follow the NAEPP Guidelines.

In San Diego, scientists are evaluating an intervention project to reduce tobacco-related morbidity among low SES Hispanic children with asthma. By collaborating with Hispanic counselors, researchers have developed a behavioral program that seeks to reduce environmental tobacco smoke (ETS) exposure in children with asthma.

In Ohio, investigators are testing the effects of reducing indoor ETS on asthma symptoms, pulmonary function, airway inflammation, and health services use; 44 percent of the participants are minorities.

Another ETS intervention program is being tested among predominately low SES black and Hispanic children in Los Angeles. Researchers are evaluating the effectiveness of two low-cost interventions (one involving counseling and booster telephone calls, and the other involving a video and household reminder kit) to reduce asthma morbidity.

In St. Louis, a randomized controlled trial is being conducted among young black children recruited at the time of an emergency department visit for asthma exacerbation. Investigators are testing the effectiveness of an intervention strategy that includes case management, telephone contacts, and a monetary incentive to increase follow-up visits to primary care providers.

### **Education**

The NAEPP (see Chapter 2) has developed easy-to-read material on asthma treatment and control directed to audiences with low literacy:

- *Facts About Controlling Your Asthma*
- *El asma: cómo controlar esta enfermedad.*

## **Chronic Lung Disease**

The NHLBI supports research on prevention of chronic lung disease (CLD) in preterm infants.

- **Inhaled Nitric Oxide for the Prevention of Chronic Lung Disease** (see Chapter 9): Determines if low-dose inhaled NO will reduce CLD in premature newborns (gestational age less than 34 weeks and birth weight between 500 and 1250 grams at birth) with respiratory failure that required mechanical ventilation in the first 48 hours of life; 27 percent of the infants will be from minority populations.
- **Inhaled Nitric Oxide in Prevention of Chronic Lung Disease** (see Chapter 9): Investigates whether low-dose inhaled NO administered to preterm infants between 500 and 1250 grams birth weight who continue to require mechanical ventilation at 10 days of age increases survival without CLD at 36 weeks postmenstrual age; 55 percent of the infants will be from minority populations.

## **Sarcoidosis**

Sarcoidosis is an inflammatory disease of unknown etiology that affects the lungs. Institute-initiated research directed towards understanding the disproportionate prevalence of sarcoidosis among blacks and women include:

- **ACCESS** (see Chapter 10): Assesses the role of environmental and familial factors in the etiology of sarcoidosis; 43.5 percent of the study participants are minorities.
- **Sarcoidosis Genetic Linkage Consortium** (see Chapter 9): Identifies genes linked to sarcoidosis susceptibility and determines how they interact with environmental risk factors to cause sarcoidosis; 100 percent of the participants are black.

Investigator-initiated studies on the causes of sarcoidosis include a study to identify genes linked to sarcoidosis susceptibility in blacks and to determine if hereditary susceptibility predisposes blacks to sarcoidosis and a project to elucidate the mechanisms involved in the immunologic and inflammatory processes that ultimately lead to end-stage fibrosis in progressive pulmonary sarcoidosis; 50 percent of the participants are black.

## Sleep Disorders

The NHLBI supports research on the etiology, pathophysiology, and consequences of sleep-disordered breathing (SDB), a condition characterized by repetitive interruptions in breathing. Sleep apnea, a common disorder that disproportionately affects blacks, is associated with an increased risk of CVD, and is particularly prevalent in heart failure patients. In 2002, the Institute initiated a program to develop new approaches to measure the interrelationship between sleep disorders and heart, lung, and blood diseases. One study will examine the interrelationship between sleep apnea and heart failure and the mechanisms leading to cardiovascular stress when the two occur together.

The Institute also supports a wide spectrum of investigator-initiated projects to elucidate cardiovascular and other health consequences of SDB. Ongoing studies of SDB in various community settings are assessing its health risks within specific ethnic populations, including African-Americans, Hispanics, Asians, and American Indians. Characterization of how SDB occurs within family groups is helping to identify potential genetic risk factors that may allow early identification and treatment of high risk individuals.

Treatment strategies for SDB are another area of interest. A multisite clinical trial initiated in 2002 will determine whether continuous positive airway pressure is an effective treatment for excessive daytime sleepiness and cognitive impairment associated with moderate-to-severe SDB; 30 percent of the participants are minority.

## Tuberculosis

Since 1993, the NHLBI has funded five annual competitions for Tuberculosis Academic Awards (TBAAAs). The broad goal of the TBAA program is to improve prevention, management, and control of TB by supporting increased opportunities for health-care practitioners to learn modern principles and practices. The objectives are to promote coordinated clinical approaches to the care of patients of various ethnic backgrounds who have TB; raise awareness among health care providers of unique ethnic cultural, and socioeconomic dimensions of TB; focus educational efforts in areas where TB incidence is persistently high (e.g., immigrant communities, refugee centers, homeless shelters, correctional facili-

ties); promote development of minority faculty capable of providing appropriate instruction in diagnosis and management of TB; and enhance TB education programs in minority medical schools and in the communities they serve. A total of 27 awards have been made since inception; the final cycle of the award ended in June 2002.

In 2001, the Institute initiated a program on Genetic Aspects of Tuberculosis in the Lung. Four of the 10 awards were given to institutions conducting genetic studies in humans to characterize genes associated with TB susceptibility and host immune responses to infection. Major minority participation is expected.

The NHLBI supports a number of investigator-initiated studies focused on understanding the relationship of the immune system to TB. Most of the patients are from minority populations with HIV. One group is seeking to identify the correlates of protective immunity in a Mexican population in order to aid development of anti-TB vaccines. Another group will conduct a Phase I safety trial on a vaccine with a patient population consisting of 85 percent minorities. A third group is examining the role of interferon-gamma in the pathogenesis of TB among Hispanics with and without HIV. A fourth group is identifying and characterizing host factors that predispose Asians to develop TB.

The NHLBI also supports investigator-initiated research to improve TB control among minority populations. Two projects are evaluating educational strategies to improve adherence to medication regimes and regular clinic visits among TB-infected adolescents from minority communities in California. The program, based in San Diego, is specifically directed towards Hispanic adolescents; the Los Angeles program encompasses Hispanic and Asian-American communities. A third project has been effective in administering TB prophylaxis to a mostly homeless population in San Francisco. In Chicago, investigators are testing a TB community-outreach intervention that is modeled after a program previously developed for AIDS prevention among injection-drug users. Another study, located in the Harlem community of New York City, is comparing several methods of ensuring completion of treatment among inner-city TB patients. An extension of this research has been funded to test a new strategy to promote adherence to therapy.

## Blood Diseases

### Sickle Cell Disease

SCD affects approximately 72,000 people in the United States, most of whom trace their ancestry to Africa. The disease occurs in about 1 in every 500 blacks born in the United States.

Since 1972, the NHLBI has supported an extensive research program to improve understanding of the pathophysiology of SCD and uncover better approaches for its diagnosis and treatment and for prevention of complications. Recently, the Institute launched a program to identify and characterize modifier genes responsible for variations in clinical progression and outcome of SCD.

- Comprehensive Sickle Cell Centers Program (see Chapter 9): Provides a multidisciplinary research approach to expedite development and application of new knowledge for improved diagnosis and treatment of SCD and prevention of its complications.

The Institute also supports a large portfolio of investigator-initiated basic and clinical research in SCD.

### Basic Research

The NHLBI sponsors research into gene therapy as a possible approach to finding a cure for all SCD patients. This technically difficult work is being pursued actively by researchers around the country.

Animal models of SCD are being developed and used to evaluate new drugs and to study gene regulation, gene therapy, blood flow, and pathogenic mechanisms. In 2001 scientists corrected SCD in mice using gene therapy.

The NHLBI Reference Laboratory to Evaluate Therapies for SCD is using a battery of standardized tests for preclinical evaluation of potential new therapeutic agents for SCD.

Over the past few years, support has increased for the idea that SCD should be viewed as a disease of the blood vessels as well as a disease of abnormal hemoglobin. Researchers are investigating the effects of blood cells on the endothelium (the lining of blood vessels) in SCD patients, with the expectation that the findings may ultimately point the way to new therapies.

### Clinical Research

Since 1991, the Multicenter Transplantation Study has been evaluating the use of bone marrow transplantation for children with SCD who have HLA-matched sibling donors. Researchers are currently exploring a mixed-chimerism protocol for children that would allow a less-toxic regimen than the one currently used before a transplant. The Sibling Donor Cord Blood Banking and Transplantation study is collecting sibling-donor cord blood in families that currently have a child with sickle cell anemia. The cord blood will be transplanted into affected children. The Induction of Stable Chimerism for Sickle Cell Anemia study is investigating, in a minority population, a transplant procedure that significantly reduces the toxicity of hematopoietic cell transplantation, yet retains its therapeutic benefit. The novel approach relies upon the ability of the host to accept and maintain the cells from the donor under conditions achieved by combining less toxic, nonmyeloablative, pretransplant therapy with modulated postgrafting immunosuppression to control host-versus-graft and graft-versus-host reactions.

An infrastructure for collaboration among U.S. centers treating, transplanting, and collecting data on sickle cell anemic patients has been established.

The Cord Blood Transplantation Study has collected umbilical cord blood from a diverse population for transplantation; approximately 15 percent have come from blacks, 8 percent from Asian/Pacific Islanders, 14 percent from Hispanics, and 5 percent from mixed/other ethnicity. Minorities needing cord blood for transplantation should find a match more easily from this diverse pool.

The Multicenter Study of Hydroxyurea (MSH) in Sickle Cell Anemia Adult Follow-up is continuing to observe the patient cohort that participated in the adult hydroxyurea clinical trial that ended successfully in 1995. In addition to addressing issues of long-term safety, the researchers are also looking at the long-term efficacy of hydroxyurea in maintaining elevated fetal hemoglobin levels.

The Pediatric Hydroxyurea Study Group was established in 1994 to test the safety and efficacy of hydroxyurea use in children and infants with SCD. It showed that children respond to the medication in a manner similar to adults; fetal hemoglobin levels and total hemoglobin increased while complications associated with sickle cell anemia decreased. In addition, the study demonstrated

that the drug does not adversely affect growth and development between ages 5 and 15 years. To study the effectiveness of hydroxyurea in preventing onset of chronic organ damage in young children with end-stage sickle cell anemia, the NHLBI began the Pediatric Hydroxyurea Phase III Clinical Trial (BABY HUG) in September 2000. The trial will recruit 200 children between the ages of 6 months and 2 years with the disorder.

Several investigators are examining the unusual features of basal nutrient metabolism and resting energy expenditure that have been found in children and adults with SCD. The studies may improve understanding of impaired growth seen in children with SCD and suggest changes in nutritional intake that may be required by both children and adults with SCD.

The STOP II trial was initiated in 2000 to take advantage of the findings from the original STOP trial, which showed that periodic blood transfusions can reduce the incidence of stroke in high-risk patients identified with transcranial Doppler ultrasound. Investigators are seeking to optimize the treatment in a minority pediatric population.

The role of daily stress, mood, and coping processes related to SCD pain is being studied to determine whether stress and negative mood are associated with more frequent and severe pain. If a causal link is established, researchers will seek to develop an effective pain management intervention that can improve the quality of life for SCD patients.

### **Education**

The NHLBI has developed a number of publications on SCD that target minorities:

- *Datos Sobre La Anemia Falciforme* (Facts About Sickle Cell Anemia)
- *Facts About Sickle Cell Anemia*
- *Management and Therapy of Sickle Cell Disease*.

### **Cooley's Anemia**

Cooley's anemia is an inherited disorder of the red blood cell that affects primarily people of Mediterranean, African, Southeast Asian, Chinese, and Asiatic Indian origin.

NHLBI research in Cooley's anemia includes efforts to develop oral chelators to remove the iron overload caused by repetitive transfusion therapy, exploration of hormone therapy for patients surviving into their teens, testing of drugs to enhance fetal hemoglobin production (hydroxyurea and butyrate), investigation of gene therapy approaches to cure the disease, prevention of bone disease, optimum treatment of hepatitis, treatment of heart disease and iron overload, noninvasive ways of measuring iron burden, development of in utero therapies to treat or cure affected fetuses, and studies to improve the safety of the Nation's blood supply.

In FY 2000, the Institute initiated a program to establish a network of clinical research centers capable of performing clinical trials of promising new therapeutic agents.

- **Thalassemia (Cooley's Anemia) Clinical Research Network** (see Chapter 11): Establishes a network of clinical centers to study the effectiveness of specific interventions to reduce morbidity and mortality from the disorder.

### **Women's Health Initiative**

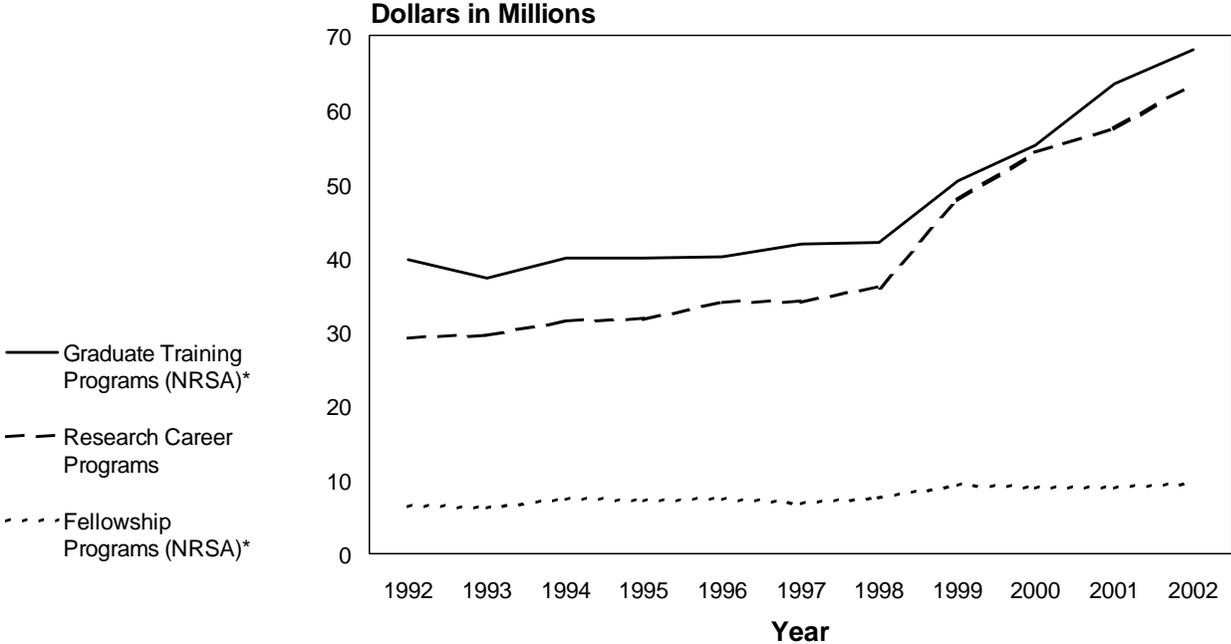
Coronary heart disease, cancer, and osteoporosis are the most common causes of death, disability, and impaired quality of life in postmenopausal women. The WHI (see Chapters 2 and 11) seeks to answer questions on benefits and risks of HRT, changes in dietary patterns, and calcium/vitamin D supplements in disease prevention. Several of the centers have recruited primarily minority populations: blacks, Hispanics, Asian Americans, Pacific Islanders, and American Indians.



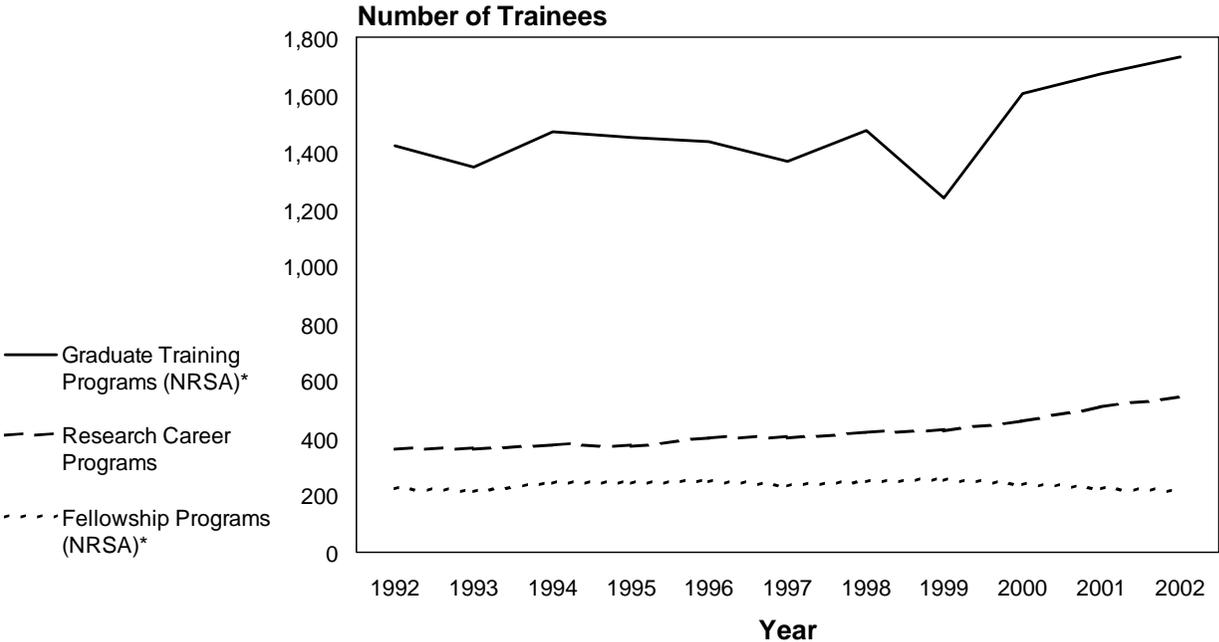


# 13. Research Training and Career Development Programs

**NHLBI Research Training and Career Development Obligations: Fiscal Years 1992–2002**



**NHLBI Full-Time Training Positions: Fiscal Years 1992–2002**



\* National Research Service Awards (NRSA).  
 Note: Numbers of awards and trainees may not agree with other tables due to the method of counting supplements.

### Training Awards, Full-Time Training Positions, and Obligations by Activity: Fiscal Year 2002

	Number of Awards Obligated	Trainees (Full-time Training Positions)	Direct Cost	Indirect Cost	Total Cost	Percent of Total NHLBI Training Program Dollars
<b>Fellowship Programs</b>						
Predocctoral Fellowship Award (F31)	18	18	\$ 478,255	\$ —	\$ 478,255	0.6%
Individual NRSA (F32)	194	194	8,887,002	—	8,887,002	11.5
Senior Fellowships NRSA (F33)	2	2	84,278	—	84,278	0.1
Subtotal, Fellowships	214	214	9,449,535		9,449,535	12.2
<b>Graduate Training Programs</b>						
Institutional NRSA (T32)	207	1,482	58,217,132	4,783,012	62,998,662	81.2
Minority Institutional NRSA (T32)	5	39	1,037,480	54,714	1,092,194	1.4
Off-Quarter Professional Student Training NRSA (T34, T35)	22	179	1,827,315	159,792	1,987,107	2.6
Short-Term Training for Minority Students (T35M)	30	30	1,866,916	190,420	2,057,336	2.6
Subtotal, Training Grants	264	1,730	62,945,988	5,174,101	68,135,299*	87.8
<b>Total, Training Programs</b>	<b>470</b>	<b>1,944</b>	<b>\$72,758,615</b>	<b>\$5,174,101</b>	<b>\$77,584,834*</b>	<b>100%</b>

\* Excludes assessment of \$1,584,000.

## History of Training Obligations by Activity: Fiscal Years 1992–2002

	Dollars (Thousands)										
	1992	1993	1994	1995	1996	1997	1998	1999	2000	2001	2002
<b>Fellowship Programs</b>											
Predocutorial Fellowship Award (F31)	\$ 55	\$ 97	\$ 199	\$ 304	\$ 551	\$ 388	\$ 466	\$ 346	\$ 248	\$ 264	\$ 478
Individual NRSA (F32)	6,041	5,867	6,853	6,651	6,483	6,281	6,969	8,807	8,517	8,515	8,887
Senior Fellowships NRSA (F33)	141	141	99	99	233	179	125	90	92	147	84
Intramural NRSA (F35)	146	70	69	49	—	—	—	—	—	—	—
Subtotal, Fellowships	6,383	6,175	7,220	7,103	7,267	6,848	7,560	9,243	8,857	8,926	9,449
<b>Graduate Training Programs</b>											
Institutional NRSA (T32)	37,355 <sup>A</sup>	34,846 <sup>B</sup>	36,534 <sup>C</sup>	36,270 <sup>D</sup>	36,718 <sup>E</sup>	38,253 <sup>F</sup>	37,904 <sup>G</sup>	45,551 <sup>H</sup>	50,507 <sup>I</sup>	58,516 <sup>J</sup>	62,999 <sup>K</sup>
Minority Institutional NRSA (T32)	684	35	735	982	679	898	706	901	1,167	996	1,092
Off-Quarter Professional Student Training NRSA (T34, T35)	1,106	1,744	1,132	951	1,001	1,216	1,435	1,384	966	1,974	1,987
MARC (T36)	22	15	5	5	5	5	5	5	5	5	—
Short-Term Training for Minority Students (T35M)	717	573	1,616	1,760	1,834	1,612	1,964	2,494	2,570	1,877	2,057
Subtotal, Training Grants	39,884	37,213	40,022	39,968	40,237	41,984	42,014	50,335	55,215	63,368	68,135
<b>Total, Training Programs</b>	<b>\$46,267<sup>A</sup></b>	<b>\$43,388<sup>B</sup></b>	<b>\$47,242<sup>C</sup></b>	<b>\$47,071<sup>D</sup></b>	<b>\$47,504<sup>E</sup></b>	<b>\$48,832<sup>F</sup></b>	<b>\$49,574<sup>G</sup></b>	<b>\$59,578<sup>H</sup></b>	<b>\$64,072<sup>I</sup></b>	<b>\$72,294<sup>J</sup></b>	<b>\$77,585<sup>K</sup></b>

A Excludes Assessment of \$466,000.

B Excludes Assessment of \$888,000.

C Excludes Assessment of \$864,000.

D Excludes Assessment of \$964,000.

E Excludes Assessment of \$982,000.

F Excludes Assessment of \$1,004,000.

G Excludes Assessment of \$1,032,000.

H Excludes Assessment of \$1,216,000.

I Excludes Assessment of \$1,280,000.

J Excludes Assessment of \$1,424,000.

K Excludes Assessment of \$1,584,000.

## Full-Time Training Positions by Activity: Fiscal Years 1992–2002

	Number of Positions										
	Fiscal Year										
	1992	1993	1994	1995	1996	1997	1998	1999	2000	2001	2002
<b>Fellowship Programs</b>											
Predoctoral Fellowship Award (F31)	3	4	7	13	21	15	19	13	11	12	18
Individual NRSA (F32)	209	200	229	222	220	210	225	237	225	208	194
Senior Fellowships NRSA (F33)	4	4	4	4	7	5	4	2	2	3	2
Intramural NRSA (F35)	5	3	2	2	—	—	—	—	—	—	—
Subtotal, Fellowships	221	211	242	241	248	230	248	252	238	223	214
<b>Graduate Training Programs</b>											
Institutional NRSA (T32)	1,240	1,124	1,237	1,201	1,216	1,179	1,423	1,185	1,368	1,425	1,482
Minority Institutional NRSA (T32)	24	1	30	47	30	43	52	53	48	43	39
Off-Quarter Professional Student Training NRSA (T34, T35)	102	181	100	76	78	68	—	—	51	109	179
Short-Term Training for Minority Students (T35M)	53	40	102	125	113	75	—	—	136	93	30
Subtotal, Training Grants	1,419	1,346	1,469	1,449	1,437	1,365	1,475	1,238	1,603	1,670	1,730
<b>Total, Training Positions</b>	<b>1,640</b>	<b>1,557</b>	<b>1,711</b>	<b>1,690</b>	<b>1,685</b>	<b>1,595</b>	<b>1,723</b>	<b>1,490</b>	<b>1,841</b>	<b>1,893</b>	<b>1,944</b>

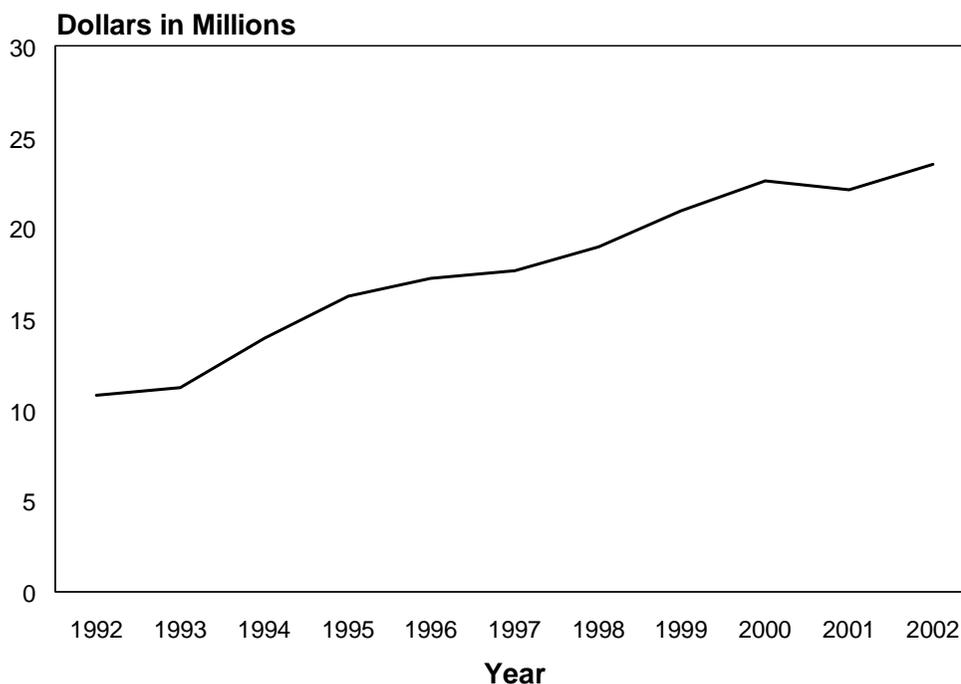
## NHLBI Research Career Programs: Fiscal Years 1992–2002

	Number of Awards										
	Fiscal Year										
	1992	1993	1994	1995	1996	1997	1998	1999	2000	2001	2002
Mentored Research Scientist Development Award for Minority Faculty (K01)	—	—	—	—	—	5	19	30	29	44	54
Minority Institution Faculty Mentored Research Scientist Development Award (K01)	—	—	—	—	—	1	—	—	11	9	2
Independent Scientist Award (K02)	—	—	—	—	3	8	14	18	27	34	33
Research Career Development Award (K04)	50	40	34	30	25	18	10	6	1	—	—
Research Career Award (K06)	7	6	3	3	3	3	3	2	2	2	2
Preventive Cardiology Academic Award (K07)	18	14	11	7	—	—	—	—	—	—	—
Preventive Pulmonary Academic Award (K07)	14	11	8	4	—	—	—	—	—	—	—
Transfusion Medicine Academic Award (K07)	14	12	9	5	2	—	—	—	—	—	—
Systemic Pulmonary and Vascular Disease Academic Award (K07)	6	11	11	15	11	9	3	3	1	—	—
Asthma Academic Award (K07)	—	3	6	9	9	9	6	3	—	—	—
Tuberculosis Academic Award (K07)	—	6	12	15	19	23	20	13	9	5	—
Sleep Academic Award (K07)	—	—	—	—	8	12	20	20	20	12	8
Nutrition Academic Award (K07)	—	—	—	—	—	—	10	10	19	19	19
Clinical Investigator Development Award (K08)	152	180	208	222	254	267	278	262	257	241	236
Physician Scientist Award (K11)	79	60	46	22	12	—	—	—	—	—	—
Minority School Faculty Development Award (K14)	18	15	12	11	15	9	—	—	4	1	—
Research Development Award for Minority Faculty (K14)	—	—	13	28	36	34	37	22	7	—	—
Mentored Patient-Oriented Research Career Development Award (K23)	—	—	—	—	—	—	—	13	36	58	90
Midcareer Investigator Award in Patient-Oriented Research (K24)	—	—	—	—	—	—	—	11	20	27	37
Mentored Quantitative Research Career Development Award (K25)	—	—	—	—	—	—	—	—	—	2	7
Clinical Research Curriculum Award (K30)	—	—	—	—	—	—	—	9	16	55	55
<b>Total, Research Career Programs</b>	<b>358</b>	<b>358</b>	<b>373</b>	<b>371</b>	<b>397</b>	<b>398</b>	<b>420</b>	<b>422</b>	<b>459</b>	<b>509</b>	<b>543</b>

## NHLBI Research Career Program Obligations: Fiscal Years 1992–2002

	Dollars (Thousands)											
	Fiscal Year											
	1992	1993	1994	1995	1996	1997	1998	1999	2000	2001	2002	
Mentored Research Scientist Development Award for Minority Faculty (K01)	\$ —	\$ —	\$ —	\$ —	\$ —	\$ 460	\$ 1,723	\$ 2,738	\$ 3,650	\$ 5,556	\$ 5,711	
Minority Institution Faculty Mentored Research Scientist Award (K01)	—	—	—	—	—	106	101	905	1,300	1,143	1,703	
Independent Scientist Award (K02)	—	—	—	—	207	545	933	1,548	2,350	3,202	3,130	
Research Career Development Award (K04)	3,221	2,595	2,224	2,006	1,693	1,226	684	568	69	—	—	
Research Career Award (K06)	239	194	102	104	105	103	103	70	70	70	69	
Preventive Cardiology Academic Award (K07)	2,376	1,801	1,397	957	—	—	—	—	—	—	—	
Preventive Pulmonary Academic Award (K07)	1,332	1,040	726	309	—	—	—	—	—	—	—	
Transfusion Medicine Academic Award (K07)	1,452	1,155	868	485	326	—	—	—	—	—	—	
Systemic Pulmonary and Vascular Diseases Academic Award (K07)	894	1,820	1,863	2,295	1,715	1,415	386	423	113	—	—	
Asthma Academic Award (K07)	—	233	502	749	740	764	509	248	—	—	—	
Tuberculosis Academic Award (K07)	—	454	906	1,155	1,496	1,831	1,566	1,161	745	396	—	
Sleep Academic Award (K07)	—	—	—	—	699	1,027	1,734	1,736	1,760	1,081	722	
Nutrition Academic Award (K07)	—	—	—	—	—	—	1,491	1,480	2,829	2,869	2,906	
Clinical Investigator Development Award (K08)	11,733	14,125	16,635	18,090	21,093	22,238	23,122	29,741	30,189	29,263	29,295	
Physician Scientist Award (K11)	6,598	5,110	3,993	1,903	1,023	—	—	—	—	—	—	
Minority School Faculty Development Award (K14)	1,265	1,081	893	810	1,158	729	618	445	862	98	—	
Research Development Award for Minority Faculty (K14)	—	—	1,289	2,812	3,607	3,468	3,099	2,093	393	—	—	
Mentored Patient-Oriented Research Career Development Award (K23)	—	—	—	—	—	—	—	1,687	4,619	7,570	11,909	
Midcareer Investigator Award in Patient-Oriented Research (K24)	—	—	—	—	—	—	—	1,054	2,072	2,877	4,058	
Mentored Quantitative Research Career Development Award (K25)	—	—	—	—	—	—	—	—	—	272	921	
Clinical Research Curriculum Award (K30)	—	—	—	—	—	—	—	1,772	3,163	3,073	3,090	
<b>Total, Research Career Program Obligations</b>	<b>\$29,110</b>	<b>\$29,608</b>	<b>\$31,398</b>	<b>\$31,675</b>	<b>\$33,862</b>	<b>\$33,912</b>	<b>\$36,069</b>	<b>\$47,670</b>	<b>\$54,184</b>	<b>\$57,470</b>	<b>\$63,514</b>	

### NHLBI Minority Biomedical Research Training, Career Development, and Research Supplements Program Obligations: Fiscal Years 1992–2002



### NHLBI Minority Biomedical Research Training, Career Development, and Research Supplements Program Obligations: Fiscal Years 1992–2002

**Dollars (Thousands)**

	Fiscal Year											
	1992	1993	1994	1995	1996	1997	1998	1999	2000	2001	2002	
MARC Summer Research Training Program	\$ 20	\$ 48	\$ 31	\$ 28	\$ 32	\$ 17	\$ —	\$ 10	\$ 3,873	\$ 20	\$ 15	
Mentored Research Scientist Development Award for Minority Faculty	—	—	—	—	—	460	1,723	2,738	3,650	5,556	5,711	
MARC	—	—	—	—	5	5	5	—	5	5	—	
Minority Biomedical Research Support (MBRS)	2,672	2,540	2,433	2,313	2,503	2,722	2,978	3,423	3,873	3,165	2,793	
Minority Institution Faculty Mentored Research Scientist Development Award	—	—	—	—	—	106	101	905	1,300	1,143	1,703	
Minority Institution Research Training Program	684	608	735	982	679	898	706	901	1,167	996	1,092	
Minority Predoctoral Fellowship	55	114	199	304	551	388	436	345	248	264	278	
Minority Research Supplements Program	5,368	6,273	6,754	7,264	6,714	7,070	7,043	7,440	8,304	8,587	9,822	
Minority School Faculty Development Award	1,265	1,081	893	810	1,158	729	618	445	862	98	—	
Reentry Supplements	—	—	—	—	140	152	249	106	176	384	—	
Research Development Award for Minority Faculty	—	—	1,289	2,812	3,607	3,468	3,099	2,093	393	—	—	
Short-Term Training for Minority Students	717	573	1,616	1,760	1,834	1,612	1,964	2,494	2,570	1,876	2,057	
<b>Total, Minority Programs</b>	<b>\$10,781</b>	<b>\$11,237</b>	<b>\$13,950</b>	<b>\$16,273</b>	<b>\$17,223</b>	<b>\$17,627</b>	<b>\$18,922</b>	<b>\$20,900</b>	<b>\$22,548</b>	<b>\$22,094</b>	<b>\$23,471</b>	

**NHLBI Research Supplements Program by Award Type:  
 Fiscal Years 1992–2002**

	Number of Awards										
	Fiscal Year										
	1992	1993	1994	1995	1996	1997	1998	1999	2000	2001	2002
Minority Supplements											
Investigator	45	51	46	49	42	38	31	32	33	33	46
Postdoctoral	25	29	31	39	49	47	50	47	42	41	33
Graduate	37	45	55	42	37	36	48	53	47	43	45
Undergraduate	22	20	35	27	12	23	25	17	19	12	17
High School	1	5	15	10	8	9	11	6	—	3	3
Post-Master/Post-Baccalaureate	—	—	—	—	—	—	—	—	—	—	2
Reentry Supplements	—	—	—	—	2	2	3	2	1	3	—
Disability Supplements	—	—	8	4	3	3	2	1	5	4	5
<b>Total, Research Supplements Program</b>	<b>130</b>	<b>150</b>	<b>182</b>	<b>167</b>	<b>150</b>	<b>155</b>	<b>168</b>	<b>157</b>	<b>142</b>	<b>135</b>	<b>151</b>

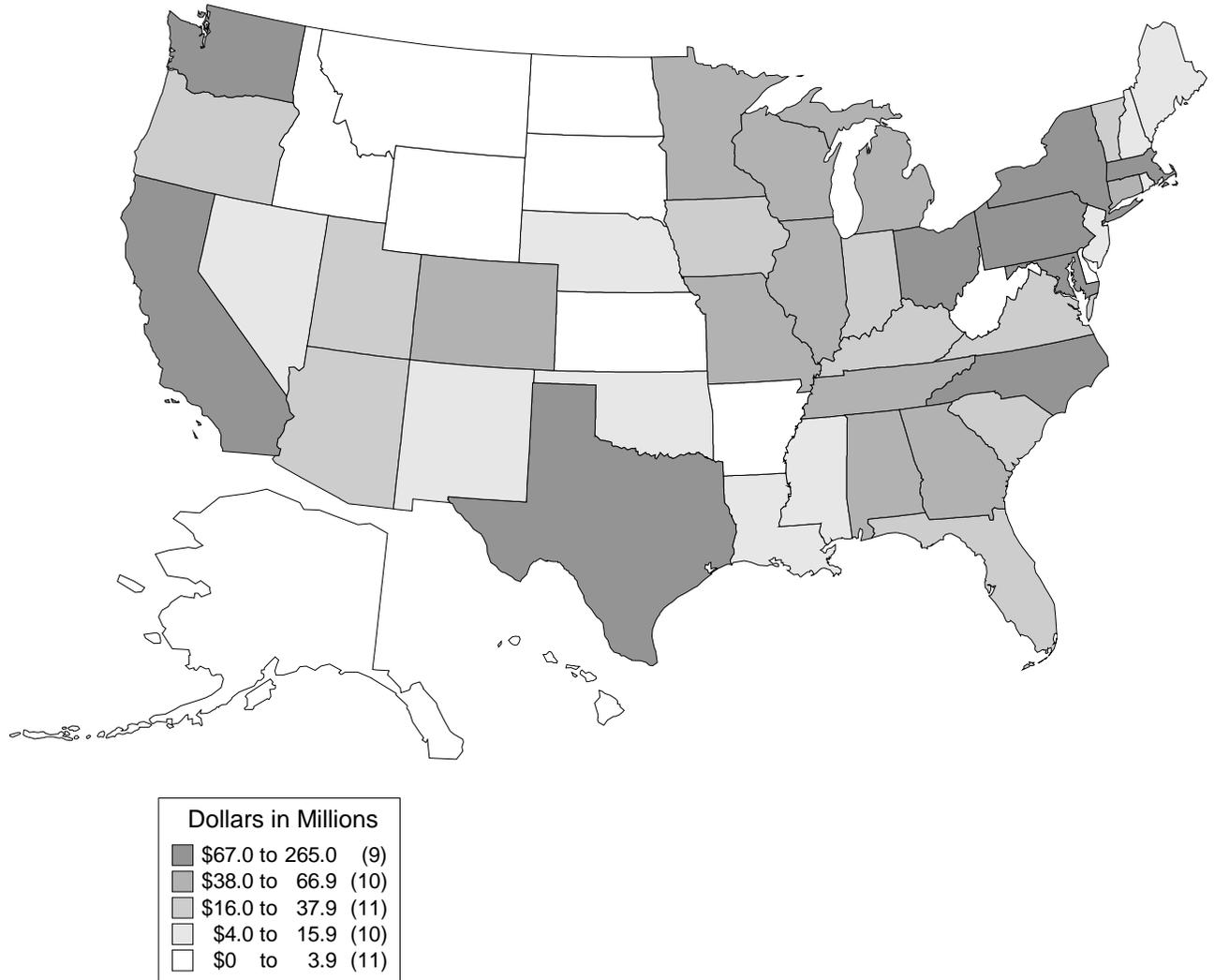
**NHLBI Research Supplements Program Obligations by Award Type: Fiscal Years 1992–2002**

	Dollars (Thousands)										
	Fiscal Year										
	1992	1993	1994	1995	1996	1997	1998	1999	2000	2001	2002
Minority Supplements											
Investigator	\$2,959	\$3,270	\$2,894	\$3,319	\$2,552	\$2,412	\$2,185	\$2,331	\$3,262	\$3,430	\$5,046
Postdoctoral	1,392	1,574	1,882	2,153	2,899	3,172	3,032	3,110	3,053	3,086	2,554
Graduate	843	1,263	1,585	1,402	1,116	1,181	1,527	1,806	1,791	1,818	1,864
Undergraduate	171	150	332	351	120	273	246	166	198	235	260
High School	3	16	61	40	27	32	53	27	—	18	33
Post-Master/Post-Baccalaureate	—	—	—	—	—	—	—	—	—	—	65
Reentry Supplements	—	—	—	—	140	152	249	106	176	384	—
Disability Supplements	—	—	357	277	194	165	96	72	282	187	474
<b>Total, Research Supplements Program</b>	<b>\$5,368</b>	<b>\$6,273</b>	<b>\$7,111</b>	<b>\$7,542</b>	<b>\$7,048</b>	<b>\$7,387</b>	<b>\$7,388</b>	<b>\$7,618</b>	<b>\$8,762</b>	<b>\$9,158</b>	<b>\$10,926</b>



# 14. Geographic Distribution of Awards: Fiscal Year 2002

Geographic Distribution of Awards by State: Fiscal Year 2002



## Geographic Distribution of Awards by State or Country: Fiscal Year 2002

Institution	Totals		Grants		Research Development		Research Training and Contracts	
	No.	Dollar	No.	Dollar	No.	Dollar	No.	Dollar
<b>Alabama</b>								
Auburn University at Auburn	5	\$ 1,423,328	5	\$ 1,423,328	—	\$ —	—	\$ —
CFD Research Corporation	1	413,475	1	413,475	—	—	—	—
Diversified Scientific, Inc.	1	329,611	1	329,611	—	—	—	—
Gem Pharmaceuticals, Inc.	1	278,764	1	278,764	—	—	—	—
Researchsouth, Inc.	1	98,322	1	98,322	—	—	—	—
Tuskegee University	1	24,000	1	24,000	—	—	—	—
University of Alabama at Birmingham	81	30,335,337	71	25,142,989	6	801,862	4	4,390,486
University of South Alabama	11	5,373,341	11	5,373,341	—	—	—	—
<b>Total Alabama</b>	<b>102</b>	<b>38,276,178</b>	<b>92</b>	<b>33,083,830</b>	<b>6</b>	<b>801,862</b>	<b>4</b>	<b>4,390,486</b>
<b>Arizona</b>								
Arete Associates	—	13,025	—	13,025	—	—	—	—
Arizona State University	4	1,096,629	4	1,096,629	—	—	—	—
AzERx, LLC	1	95,000	1	95,000	—	—	—	—
ImaRx Therapeutics, Inc.	1	100,000	1	100,000	—	—	—	—
Niadyne, Inc.	1	99,997	1	99,997	—	—	—	—
University of Arizona	42	17,412,718	36	14,914,777	5	743,140	1	1,754,801
<b>Total Arizona</b>	<b>49</b>	<b>18,817,369</b>	<b>43</b>	<b>16,319,428</b>	<b>5</b>	<b>743,140</b>	<b>1</b>	<b>1,754,801</b>
<b>Arkansas</b>								
Arkansas Children's Hospital Research Institute	2	415,114	2	415,114	—	—	—	—
University of Arkansas at Pine Bluff	1	79,713	1	79,713	—	—	—	—
University of Arkansas for Medical Sciences, Little Rock	4	918,714	4	918,714	—	—	—	—
<b>Total Arkansas</b>	<b>7</b>	<b>1,413,541</b>	<b>7</b>	<b>1,413,541</b>	—	—	—	—
<b>California</b>								
Advanced Brain Monitoring, Inc.	2	978,318	2	978,318	—	—	—	—
American National Red Cross, Los Angeles	1	375,992	—	—	—	—	1	375,992
AntiCancer Inc.	1	451,736	1	451,736	—	—	—	—
Applied Gene Technologies, Inc.	1	154,252	1	154,252	—	—	—	—
Beckman Research Institute	1	350,000	1	350,000	—	—	—	—
Blaufuss Multimedia	1	372,611	1	372,611	—	—	—	—
Burnham Institute	4	1,585,085	4	1,549,500	—	35,585	—	—
California Institute of Technology	3	518,638	2	468,522	1	50,116	—	—
California State University, Northridge	—	130,212	—	130,212	—	—	—	—
Cedars-Sinai Medical Center	9	2,962,682	8	2,769,585	—	—	1	193,097
Children's Hospital Los Angeles	9	5,468,040	9	5,468,040	—	—	—	—
Children's Hospital Oakland	13	7,184,368	11	6,904,738	2	279,630	—	—
Children's Hospital of Orange County	1	261,584	1	261,584	—	—	—	—
Chronomed, Inc.	1	99,864	1	99,864	—	—	—	—
City of Hope National Medical Center	2	1,976,139	2	1,976,139	—	—	—	—
COR Therapeutics, Inc.	2	523,600	2	523,600	—	—	—	—
Diagnostics for the Real World	3	381,465	3	381,465	—	—	—	—
Fallbrook Engineering, Inc.	1	621,835	1	621,835	—	—	—	—
Gen-Probe, Inc.	1	1,000,000	—	—	—	—	1	1,000,000
GenStar Therapeutics	2	475,000	2	475,000	—	—	—	—
Good Samaritan Hospital	3	487,988	3	487,988	—	—	—	—
Harbor-UCLA Research and Education Institute	9	3,567,970	6	1,970,970	—	—	3	1,597,000
Ichor Medical Systems	2	621,391	2	621,391	—	—	—	—
Imetrx, Inc.	1	120,000	1	120,000	—	—	—	—

Institution	Totals		Grants		Research Development		Research Training and Contracts	
	No.	Dollar	No.	Dollar	No.	Dollar	No.	Dollar
Institute of Critical Care Medicine	1	180,093	1	180,093	—	—	—	—
InterMune Pharmaceuticals, Inc.	1	208,842	1	208,842	—	—	—	—
J. David Gladstone Institutes	14	7,410,189	13	7,360,073	1	50,116	—	—
Jaycor	1	310,751	1	310,751	—	—	—	—
KAIROS Scientific Inc.	1	148,431	1	148,431	—	—	—	—
Kaiser Foundation Hospitals	1	302,549	1	302,549	—	—	—	—
Kaiser Foundation Research Institute	8	5,807,317	4	1,838,648	—	—	4	3,968,669
La Jolla Bioengineering Institute	—	576,226	—	576,226	—	—	—	—
La Jolla Institute for Molecular Medicine	3	1,026,264	3	1,026,264	—	—	—	—
Loma Linda University	7	1,808,816	7	1,808,816	—	—	—	—
Magnesensors, Inc.	1	458,099	1	458,099	—	—	—	—
Mallard Medical, Inc.	1	250,170	1	250,170	—	—	—	—
MedicalWorks Inc.	1	497,617	1	497,617	—	—	—	—
MicroIslet Inc.	1	103,320	1	103,320	—	—	—	—
Northern California Institute for Research and Education	6	2,750,918	6	2,750,918	—	—	—	—
Oncosis, Inc.	—	1,000,000	—	1,000,000	—	—	—	—
OPTIME Therapeutics Inc.	1	104,325	1	104,325	—	—	—	—
Palo Alto Institute for Research and Education	1	223,200	1	223,200	—	—	—	—
Palo Alto Medical Foundation Research Institute	2	1,260,227	2	1,260,227	—	—	—	—
PharmaSonics, Inc.	2	570,500	2	570,500	—	—	—	—
Philogenesis, Inc.	1	163,350	1	163,350	—	—	—	—
Photon Imaging, Inc.	1	101,361	1	101,361	—	—	—	—
PhytaGenics, Inc.	1	73,771	1	73,771	—	—	—	—
Polymer Technology Group Inc.	1	333,790	1	333,790	—	—	—	—
Rand Corporation	1	665,975	1	665,975	—	—	—	—
Salk Institute for Biological Studies	2	948,409	2	948,409	—	—	—	—
San Diego State University	6	3,235,702	6	3,235,702	—	—	—	—
Sangart, Inc.	1	354,234	1	354,234	—	—	—	—
Scripps Research Institute	45	22,961,679	40	21,973,027	5	988,652	—	—
Sidney Kimmel Cancer Center	2	878,175	2	878,175	—	—	—	—
SRI International	1	361,344	1	361,344	—	—	—	—
Stanford University	67	30,473,010	53	24,711,267	12	1,404,981	2	4,356,762
Torrey Pines Institute/Molecular Studies	1	288,134	1	288,134	—	—	—	—
Twenty First Century Medicine, Inc.	1	164,852	1	164,852	—	—	—	—
University of California, Berkeley	9	2,841,340	7	2,626,203	2	215,137	—	—
University of California, Davis	26	9,079,778	23	7,238,012	1	151,733	2	1,690,033
University of California, Irvine	16	5,339,585	12	2,866,650	2	72,177	2	2,400,758
University of California, Lawrence Berkeley National Laboratory	15	6,253,010	14	6,253,009	1	1	—	—
University of California, Los Angeles	65	30,598,800	55	25,753,154	6	602,317	4	4,243,329
University of California, Riverside	4	1,129,958	4	1,129,958	—	—	—	—
University of California, San Diego	88	44,241,798	76	39,091,115	9	2,462,249	3	2,688,434
University of California, San Francisco	90	38,499,392	83	35,593,839	6	1,721,024	1	1,184,529
University of California, Santa Barbara	2	451,385	2	451,385	—	—	—	—
University of Southern California	23	8,542,356	23	8,542,356	—	—	—	—
Veterans Medical Research Foundation, San Diego	—	99,999	—	99,999	—	—	—	—
WebSciences International	1	370,125	1	370,125	—	—	—	—
<b>Total California</b>	<b>596</b>	<b>264,117,936</b>	<b>524</b>	<b>232,385,615</b>	<b>48</b>	<b>8,033,718</b>	<b>24</b>	<b>23,698,603</b>
<b>Colorado</b>								
Aerophase, Inc.	1	382,500	1	382,500	—	—	—	—
Colorado State University	3	834,000	2	795,698	1	38,302	—	—

Institution	Totals		Grants		Research Development		Research Training and Contracts	
	No.	Dollar	No.	Dollar	No.	Dollar	No.	Dollar
Keystone Symposia	2	40,000	2	40,000	—	—	—	—
Myogen, Inc.	1	576,544	1	576,544	—	—	—	—
National Jewish Medical and Research Center	46	17,854,660	39	17,486,760	5	225,600	2	142,300
Rose Biomedical Development Corporation	1	99,495	1	99,495	—	—	—	—
University of Colorado at Boulder	10	1,864,007	5	1,513,436	5	350,571	—	—
University of Colorado Health Sciences Center	62	21,557,832	55	19,765,291	7	1,792,541	—	—
<b>Total Colorado</b>	<b>126</b>	<b>43,209,038</b>	<b>106</b>	<b>40,659,724</b>	<b>18</b>	<b>2,407,014</b>	<b>2</b>	<b>142,300</b>
<b>Connecticut</b>								
John B. Pierce Laboratory, Inc.	5	1,269,090	4	1,230,770	1	38,320	—	—
MGS Research Inc.	1	704,862	1	704,862	—	—	—	—
Standing Stone, Inc.	1	88,043	1	88,043	—	—	—	—
University of Connecticut School of Medicine and Dentistry	15	6,035,292	15	6,035,292	—	—	—	—
University of Connecticut, Storrs	2	232,516	1	178,750	1	53,766	—	—
US Nanocorp, Inc.	1	320,041	1	320,041	—	—	—	—
Wesleyan University	1	284,000	1	284,000	—	—	—	—
Yale University	65	29,585,793	55	22,574,835	9	1,943,109	1	5,067,849
<b>Total Connecticut</b>	<b>91</b>	<b>38,519,637</b>	<b>79</b>	<b>31,416,593</b>	<b>11</b>	<b>2,035,195</b>	<b>1</b>	<b>5,067,849</b>
<b>Delaware</b>								
Alfred I. duPont Hospital for Children	1	121,689	1	121,689	—	—	—	—
Compact Membrane Systems, Inc.	2	200,000	2	200,000	—	—	—	—
University of Delaware	2	602,250	2	602,250	—	—	—	—
<b>Total Delaware</b>	<b>5</b>	<b>923,939</b>	<b>5</b>	<b>923,939</b>	—	—	—	—
<b>District of Columbia</b>								
American Institutes for Research	1	808,873	—	—	—	—	1	808,873
American National Red Cross	17	6,036,972	16	5,739,301	—	—	1	297,671
American Registry of Pathology, Inc.	1	273,836	1	273,836	—	—	—	—
Catholic University of America	1	153,100	1	153,100	—	—	—	—
Children's National Medical Center	1	427,271	1	427,271	—	—	—	—
Children's Research Institute	5	2,011,385	3	1,686,960	1	44,212	1	280,213
George Washington University	11	7,008,011	9	2,885,736	—	—	2	4,122,275
Georgetown University	16	6,133,999	15	6,085,851	1	48,148	—	—
Health Media Lab, Inc.	1	100,000	1	100,000	—	—	—	—
Healthmark Multimedia, LLC	1	116,481	1	116,481	—	—	—	—
Howard University	4	3,511,056	2	1,171,119	—	—	2	2,339,937
MedStar Research Institute	4	7,604,964	3	6,063,482	—	—	1	1,541,482
Smithsonian Institution	—	50,000	—	50,000	—	—	—	—
State of the Art, Inc.	1	696,843	1	696,843	—	—	—	—
U.S. Department of Agriculture	1	175,000	1	175,000	—	—	—	—
U.S. Department of Energy	1	90,000	—	—	—	—	1	90,000
U.S. Department of Veterans Affairs Medical Center	1	158,837	—	—	—	—	1	158,837
<b>Total District of Columbia</b>	<b>67</b>	<b>35,356,628</b>	<b>55</b>	<b>25,624,980</b>	<b>2</b>	<b>92,360</b>	<b>10</b>	<b>9,639,288</b>
<b>Florida</b>								
Alpha-I Foundation	1	30,000	1	30,000	—	—	—	—
Florida Agricultural and Mechanical University	—	320,118	—	320,118	—	—	—	—
Florida Institute of Technology	1	243,250	1	243,250	—	—	—	—
Florida International University	—	192,326	—	192,326	—	—	—	—
Florida State University	3	885,670	3	885,670	—	—	—	—
Infinite Biomedical Technologies, LLC	2	789,613	2	789,613	—	—	—	—

Institution	Totals		Grants		Research Development		Research Training and Contracts	
	No.	Dollar	No.	Dollar	No.	Dollar	No.	Dollar
Mount Sinai Medical Center, Miami Beach	—	3,000,000	—	3,000,000	—	—	—	—
Nanoptics, Inc.	1	709,308	1	709,308	—	—	—	—
Nemours Children's Clinics	1	179,455	1	179,455	—	—	—	—
University of Central Florida	1	247,405	1	247,405	—	—	—	—
University of Florida	39	12,821,760	35	10,969,695	3	236,264	1	1,615,801
University of Miami	15	5,434,449	12	3,479,042	1	374,919	2	1,580,488
University of Miami, Coral Gables	2	2,205,057	1	2,051,734	1	153,323	—	—
University of South Florida	5	1,113,131	5	1,113,131	—	—	—	—
<b>Total Florida</b>	<b>71</b>	<b>28,171,542</b>	<b>63</b>	<b>24,210,747</b>	<b>5</b>	<b>764,506</b>	<b>3</b>	<b>3,196,289</b>
<b>Georgia</b>								
Atlanta Cardiovascular Research Institute	2	372,847	2	372,847	—	—	—	—
Clark Atlanta University	1	131,283	1	123,723	—	7,560	—	—
Emory University	65	21,581,947	61	19,723,021	3	292,409	1	1,566,517
Georgia Institute of Technology	4	1,947,374	4	1,947,374	—	—	—	—
Georgia State University	1	348,247	1	348,247	—	—	—	—
Medical College of Georgia	26	11,455,519	24	11,141,798	2	313,721	—	—
Mercer University Macon	1	159,255	1	159,255	—	—	—	—
Morehouse School of Medicine	8	3,541,128	7	3,333,187	1	207,941	—	—
U.S. Centers for Disease Control and Prevention	2	755,000	—	—	—	—	2	755,000
University of Georgia	2	400,512	2	400,512	—	—	—	—
<b>Total Georgia</b>	<b>112</b>	<b>40,693,112</b>	<b>103</b>	<b>37,549,964</b>	<b>6</b>	<b>821,631</b>	<b>3</b>	<b>2,321,517</b>
<b>Hawaii</b>								
Pacific Health Research Institute	1	903,262	1	903,262	—	—	—	—
University of Hawaii at Hilo	—	299,188	—	299,188	—	—	—	—
University of Hawaii at Manoa	2	1,883,248	1	204,450	—	—	1	1,678,798
<b>Total Hawaii</b>	<b>3</b>	<b>3,085,698</b>	<b>2</b>	<b>1,406,900</b>	—	—	<b>1</b>	<b>1,678,798</b>
<b>Idaho</b>								
Boise State University	1	127,508	1	127,508	—	—	—	—
<b>Total Idaho</b>	<b>1</b>	<b>127,508</b>	<b>1</b>	<b>127,508</b>	—	—	—	—
<b>Illinois</b>								
AJ Medical Engineering	1	150,000	1	150,000	—	—	—	—
American Academy of Pediatrics	1	484,359	1	484,359	—	—	—	—
Biomedical Acoustics Research Company	1	353,188	1	353,188	—	—	—	—
BioTechPlex Corporation	2	483,978	2	483,978	—	—	—	—
Children's Memorial Hospital, Chicago	1	98,010	1	98,010	—	—	—	—
cue BIOtech, Inc.	1	99,888	1	99,888	—	—	—	—
Evanston Northwestern Healthcare Research Institute	4	1,104,718	4	1,104,718	—	—	—	—
Finch University of Health Sciences, Chicago Medical School	2	557,000	2	557,000	—	—	—	—
Hektoen Institute for Medical Research	1	595,613	1	595,613	—	—	—	—
Illinois Institute of Technology	2	807,754	2	807,754	—	—	—	—
Loyola University Medical Center	20	5,908,062	18	5,852,023	2	56,039	—	—
Midwestern University	1	136,720	1	136,720	—	—	—	—
Nanosphere, Inc.	1	421,850	1	421,850	—	—	—	—
Northwestern University, Chicago	41	13,152,303	37	10,384,584	1	52,084	3	2,715,635
Northwestern University, Evanston	10	3,044,022	9	2,825,903	1	218,119	—	—
Rush-Presbyterian-St. Lukes Medical Center	11	5,524,451	10	4,290,830	—	—	1	1,233,621
SloWave Inc.	1	526,236	1	526,236	—	—	—	—
Southern Illinois University, Carbondale	1	321,749	1	321,749	—	—	—	—

Institution	Totals		Grants		Research Development		Research Training and Contracts	
	No.	Dollar	No.	Dollar	No.	Dollar	No.	Dollar
Southern Illinois University School of Medicine	2	446,042	2	446,042	—	—	—	—
University of Chicago	42	13,691,689	35	11,775,972	7	1,915,717	—	—
University of Illinois at Chicago	44	15,479,564	38	14,224,463	6	1,255,101	—	—
University of Illinois at Urbana-Champaign	6	2,195,858	6	2,195,858	—	—	—	—
<b>Total Illinois</b>	<b>196</b>	<b>65,583,054</b>	<b>175</b>	<b>58,136,738</b>	<b>17</b>	<b>3,497,060</b>	<b>4</b>	<b>3,949,256</b>
<b>Indiana</b>								
Clarian Health Partners	1	212,491	1	212,491	—	—	—	—
Focus Surgery, Inc.	1	162,683	1	162,683	—	—	—	—
Indiana University Purdue University Indianapolis	51	17,798,134	46	16,782,905	5	1,015,229	—	—
Indiana University, Bloomington	1	33,161	1	33,161	—	—	—	—
Purdue University, West Lafayette	3	884,110	3	884,110	—	—	—	—
University of Notre Dame	5	1,930,937	5	1,930,937	—	—	—	—
<b>Total Indiana</b>	<b>62</b>	<b>21,021,516</b>	<b>57</b>	<b>20,006,287</b>	<b>5</b>	<b>1,015,229</b>	—	—
<b>Iowa</b>								
Iowa State University of Science and Technology	1	180,625	1	180,625	—	—	—	—
Maharishi University of Management	2	785,781	2	785,781	—	—	—	—
Medical Imaging Applications, LLC	1	378,118	1	378,118	—	—	—	—
University of Iowa	77	34,092,623	68	29,997,311	8	2,091,848	1	2,003,464
<b>Total Iowa</b>	<b>81</b>	<b>35,437,147</b>	<b>72</b>	<b>31,341,835</b>	<b>8</b>	<b>2,091,848</b>	<b>1</b>	<b>2,003,464</b>
<b>Kansas</b>								
Kansas State University	5	516,848	2	429,675	3	87,173	—	—
University of Kansas, Lawrence	1	451,625	1	451,625	—	—	—	—
University of Kansas Medical Center	9	2,268,922	8	2,224,710	1	44,212	—	—
Wichita State University	1	174,220	1	174,220	—	—	—	—
<b>Total Kansas</b>	<b>16</b>	<b>3,411,615</b>	<b>12</b>	<b>3,280,230</b>	<b>4</b>	<b>131,385</b>	—	—
<b>Kentucky</b>								
Academic Edge, Inc.	1	160,251	1	160,251	—	—	—	—
ApoImmune, Inc.	1	100,000	1	100,000	—	—	—	—
University of Kentucky	35	8,739,442	33	8,492,270	1	48,192	1	198,980
University of Louisville	31	8,018,262	28	7,879,600	3	138,662	—	—
<b>Total Kentucky</b>	<b>68</b>	<b>17,017,955</b>	<b>63</b>	<b>16,632,121</b>	<b>4</b>	<b>186,854</b>	<b>1</b>	<b>198,980</b>
<b>Louisiana</b>								
Louisiana State University A&M College, Baton Rouge	1	367,500	1	367,500	—	—	—	—
Louisiana State University Health Sciences, New Orleans	6	1,125,507	5	1,000,170	—	—	1	125,337
Louisiana State University Health Sciences, Shreveport	6	1,296,923	6	1,296,923	—	—	—	—
Louisiana State University Pennington Biomedical Research Center	3	3,011,804	3	3,011,804	—	—	—	—
Tulane University of Louisiana	18	6,270,030	17	6,247,824	1	22,206	—	—
Xavier University of Louisiana	—	105,189	—	105,189	—	—	—	—
<b>Total Louisiana</b>	<b>34</b>	<b>12,176,953</b>	<b>32</b>	<b>12,029,410</b>	<b>1</b>	<b>22,206</b>	<b>1</b>	<b>125,337</b>
<b>Maine</b>								
Jackson Laboratory	10	7,606,885	10	7,606,885	—	—	—	—
Maine Medical Center	4	1,244,150	4	1,244,150	—	—	—	—

Institution	Totals		Grants		Research Development		Research Training and Contracts	
	No.	Dollar	No.	Dollar	No.	Dollar	No.	Dollar
University of Maine, Orono	1	445,819	1	445,819	—	—	—	—
University of New England	1	354,833	1	354,833	—	—	—	—
<b>Total Maine</b>	<b>16</b>	<b>9,651,687</b>	<b>16</b>	<b>9,651,687</b>	—	—	—	—
<b>Maryland</b>								
Amulet Pharmaceuticals, Inc.	1	461,000	1	461,000	—	—	—	—
BioSeq, Inc.	1	378,811	1	378,811	—	—	—	—
Biotech Research Laboratories (BTRL)	1	932,000	—	—	—	—	1	932,000
Clinical Trials and Surveys Corporation	2	867,441	—	—	—	—	2	867,441
EMMES Corporation	1	1,158,282	—	—	—	—	1	1,158,282
Federation of American Societies for Experimental Biology	2	35,000	2	35,000	—	—	—	—
Fogarty International Center	1	300,000	—	—	—	—	1	300,000
Gallup Indian Medical Center	5	38,823,864	—	—	—	—	5	38,823,864
Henry M. Jackson Foundation for the Advancement of Military Medicine	6	4,739,410	5	1,555,964	—	—	1	3,183,446
IM Systems	2	589,970	2	589,970	—	—	—	—
Johns Hopkins Hospital	1	4,573,542	—	—	—	—	1	4,573,542
Johns Hopkins University	167	70,589,478	148	60,082,993	11	3,504,864	8	7,001,621
Kennedy Krieger Research Institute, Inc.	1	270,166	1	270,166	—	—	—	—
Key Technologies, Inc.	1	194,271	1	194,271	—	—	—	—
Maryland Medical Research Institute	2	1,213,106	1	625,106	—	—	1	588,000
National Cancer Institute	2	1,100,000	—	—	—	—	2	1,100,000
National Center for Complementary and Alternative Medicine	1	200,000	—	—	—	—	1	200,000
National Center for Health Statistics	1	240,000	—	—	—	—	1	240,000
National Heart, Lung, and Blood Institute	1	1,027,346	—	—	—	—	1	1,027,346
National Human Genome Research Institute	1	23,250,000	—	—	—	—	1	23,250,000
National Institute of Allergy and Infectious Diseases	1	500,000	—	—	—	—	1	500,000
National Institute of Arthritis and Musculoskeletal and Skin Diseases	1	500,000	—	—	—	—	1	500,000
National Institute of Child Health and Human Development	1	2,000,000	—	—	—	—	1	2,000,000
National Institute of Diabetes and Digestive and Kidney Diseases	1	4,000,000	—	—	—	—	1	4,000,000
National Institute of Neurological Disorders and Stroke	1	1,291,100	—	—	—	—	1	1,291,100
Opto-Gene, Inc.	1	100,007	1	100,007	—	—	—	—
Peace Technology, Inc.	1	2,043,634	—	—	—	—	1	2,043,634
Perinatronics Medical Systems, Inc.	2	890,488	2	890,488	—	—	—	—
Prospect Associates, Ltd.	1	1,290,585	—	—	—	—	1	1,290,585
Quality Biological, Inc.	1	500,882	1	500,882	—	—	—	—
Robin Medical, Inc.	1	611,250	1	611,250	—	—	—	—
Take Aim Productions, Inc.	1	633,089	1	633,089	—	—	—	—
The Institute for Genomic Research (TIGR)	3	2,743,562	3	2,743,562	—	—	—	—
University of Maryland, Baltimore County Campus	2	458,237	2	458,237	—	—	—	—
University of Maryland, College Park Campus	2	1,097,997	2	1,097,997	—	—	—	—
University of Maryland Baltimore Professional School	40	13,646,732	37	13,415,678	2	201,115	1	29,939
University of Maryland Biotechnology Institute	3	967,645	3	967,645	—	—	—	—
U.S. Agricultural Research Center	2	850,000	—	—	—	—	2	850,000
U.S. Bureau of the Census	1	360,000	—	—	—	—	1	360,000

Institution	Totals		Grants		Research Development		Research Training and Contracts	
	No.	Dollar	No.	Dollar	No.	Dollar	No.	Dollar
U.S. Health Resources and Services Administration	1	150,000	—	—	—	—	1	150,000
U.S. Naval Medical Research Institute	1	77,100	—	—	—	—	1	77,100
U.S. PHS Public Advisory Groups	—	2,755,000	—	2,755,000	—	—	—	—
Westat, Inc.	1	2,323,804	—	—	—	—	1	2,323,804
<b>Total Maryland</b>	<b>269</b>	<b>190,734,799</b>	<b>215</b>	<b>88,367,116</b>	<b>13</b>	<b>3,705,979</b>	<b>41</b>	<b>98,661,704</b>
<b>Massachusetts</b>								
ACell, Inc.	1	344,536	1	344,536	—	—	—	—
Baystate Medical Center	1	187,997	—	—	—	—	1	187,997
Beth Israel Deaconess Medical Center	55	22,221,276	49	21,451,958	6	769,318	—	—
Biomod Surfaces	2	350,533	2	350,533	—	—	—	—
Biophysics Assay Laboratory, Inc. (Biopal, Inc.)	1	302,008	1	302,008	—	—	—	—
Biostream, Inc.	2	334,285	2	334,285	—	—	—	—
Boston Biomedical Research Institute	8	3,613,562	8	3,613,562	—	—	—	—
Boston Medical Center	14	4,446,928	14	4,446,928	—	—	—	—
Boston University	80	43,090,706	70	32,708,266	6	2,120,359	4	8,262,081
Brigham and Women's Hospital	137	58,469,107	112	52,867,839	22	3,503,780	3	2,097,488
Center for Blood Research	9	9,078,553	9	9,078,553	—	—	—	—
Children's Hospital Boston	49	16,975,964	43	15,394,223	6	1,581,741	—	—
Covalent Associates, Inc.	1	99,999	1	99,999	—	—	—	—
Center for Community Health Education Research and Services	1	599,246	1	599,246	—	—	—	—
Dana-Farber Cancer Institute	16	5,427,470	15	5,372,154	—	—	1	55,316
E.P., Ltd.	1	568,853	1	568,853	—	—	—	—
EIC Laboratories, Inc.	1	100,000	1	100,000	—	—	—	—
Foster-Miller, Inc.	1	386,544	1	386,544	—	—	—	—
Gene Regulation Laboratories	1	450,000	1	450,000	—	—	—	—
Giner, Inc.	2	473,761	2	473,761	—	—	—	—
Gwathmey, Inc.	1	473,773	1	473,773	—	—	—	—
Harvard Pilgrim Health Care, Inc.	2	1,032,144	2	1,032,144	—	—	—	—
Harvard University	1	326,000	1	326,000	—	—	—	—
Harvard University Medical School	18	10,873,675	12	9,591,482	6	1,282,193	—	—
Harvard University School of Public Health	19	9,069,804	17	8,431,183	2	638,621	—	—
Hebrew Rehabilitation Center for Aged	1	12,618	—	—	1	12,618	—	—
Implant Sciences Corporation	1	99,917	1	99,917	—	—	—	—
Innovative Chemical/Environmental Technology	1	424,828	1	424,828	—	—	—	—
Inotek Corporation	5	1,275,321	5	1,275,321	—	—	—	—
IQuum, Inc.	2	1,565,088	2	1,565,088	—	—	—	—
Massachusetts General Hospital	79	28,101,600	70	26,088,409	8	1,090,166	1	923,025
Massachusetts Institute of Technology	15	8,747,225	15	8,747,225	—	—	—	—
Massachusetts Mental Health Institute	1	250,550	1	250,550	—	—	—	—
Matrix Engineering	1	247,898	1	247,898	—	—	—	—
New England Medical Center Hospitals	24	7,591,479	22	7,382,652	1	54,352	1	154,475
New England Research Institutes, Inc.	6	4,289,588	5	4,051,296	—	—	1	238,292
Newton Scientific, Inc.	1	451,426	1	451,426	—	—	—	—
Northeastern University	2	495,827	2	495,827	—	—	—	—
Physical Sciences, Inc.	1	289,050	1	289,050	—	—	—	—
Radiation Monitoring Devices, Inc.	1	375,000	1	375,000	—	—	—	—
Science Research Laboratory, Inc.	1	99,974	1	99,974	—	—	—	—
St. Elizabeth's Medical Center of Boston	8	4,003,186	8	4,003,186	—	—	—	—
Stethographics, Inc.	1	100,000	1	100,000	—	—	—	—
Tufts University, Boston	11	3,366,543	10	3,297,518	1	69,025	—	—

Institution	Totals		Grants		Research Development		Research Training and Contracts	
	No.	Dollar	No.	Dollar	No.	Dollar	No.	Dollar
University of Massachusetts Medical School, Worcester	21	7,592,289	18	6,200,161	2	122,639	1	1,269,489
Verax Biomedical, Inc.	1	126,817	1	126,817	—	—	—	—
Whalen Biomedical, Inc.	1	373,336	1	373,336	—	—	—	—
Whitehead Institute for Biomedical Research	1	234,750	1	234,750	—	—	—	—
<b>Total Massachusetts</b>	<b>610</b>	<b>259,411,034</b>	<b>536</b>	<b>234,978,059</b>	<b>61</b>	<b>11,244,812</b>	<b>13</b>	<b>13,188,163</b>
<b>Michigan</b>								
American National Red Cross SE Michigan	1	540,272	—	—	—	—	1	540,272
Case Western Reserve University, Henry Ford Health Sciences	11	4,065,895	11	4,065,895	—	—	—	—
Henry Ford Health System	1	2,202,362	1	2,202,362	—	—	—	—
Hope College	1	102,317	1	102,317	—	—	—	—
Mc-Three, Inc.	4	1,120,263	4	1,120,263	—	—	—	—
MedArray, Inc.	1	160,699	1	160,699	—	—	—	—
Michigan State University	8	1,604,784	8	1,604,784	—	—	—	—
Molecular Innovations, Inc.	1	99,600	1	99,600	—	—	—	—
Nephros Therapeutics, Inc.	1	395,300	1	395,300	—	—	—	—
Oakland University	1	71,000	1	71,000	—	—	—	—
Sentec Corporation	1	373,835	1	373,835	—	—	—	—
St. Joseph Mercy Oakland	1	345,695	1	345,695	—	—	—	—
University of Michigan at Ann Arbor	88	32,290,794	81	30,284,992	6	1,549,482	1	456,320
Wayne State University	18	5,475,590	17	4,243,792	—	48,148	1	1,183,650
Western Michigan University	1	256,875	1	256,875	—	—	—	—
<b>Total Michigan</b>	<b>139</b>	<b>49,105,281</b>	<b>130</b>	<b>45,327,409</b>	<b>6</b>	<b>1,597,630</b>	<b>3</b>	<b>2,180,242</b>
<b>Minnesota</b>								
Advanced Medical Electronics Corporation	6	1,392,165	6	1,392,165	—	—	—	—
CPR X LLC	1	955,713	1	955,713	—	—	—	—
Mayo Clinic, Rochester	63	19,157,764	55	17,894,517	7	860,873	1	402,374
Minneapolis Medical Research Foundation, Inc.	3	315,246	2	213,816	—	—	1	101,430
Paradigm Pharmaceuticals, LLC	1	100,000	1	100,000	—	—	—	—
St. Olaf College	1	130,210	1	130,210	—	—	—	—
SurModics, Inc.	—	30,745	—	30,745	—	—	—	—
University of Minnesota, Twin Cities	87	37,622,024	73	31,247,986	7	1,679,415	7	4,694,623
<b>Total Minnesota</b>	<b>162</b>	<b>59,703,867</b>	<b>139</b>	<b>51,965,152</b>	<b>14</b>	<b>2,540,288</b>	<b>9</b>	<b>5,198,427</b>
<b>Mississippi</b>								
Tougaloo College	—	3,780	—	—	—	3,780	—	—
University of Mississippi	1	52,177	—	—	1	52,177	—	—
University of Mississippi Medical Center	18	9,001,149	12	5,710,141	3	93,585	3	3,197,423
<b>Total Mississippi</b>	<b>19</b>	<b>9,057,106</b>	<b>12</b>	<b>5,710,141</b>	<b>4</b>	<b>149,542</b>	<b>3</b>	<b>3,197,423</b>
<b>Missouri</b>								
Barnes-Jewish Hospital	22	7,083,058	21	7,036,866	1	46,192	—	—
Children's Mercy Hospital, Kansas City	2	412,070	2	412,070	—	—	—	—
Lifeline Technologies, Inc.	1	319,031	1	319,031	—	—	—	—
MRI Institute for Biomedical Research	1	344,499	1	344,499	—	—	—	—
Reliable Biopharmaceutical Corporation	1	134,076	1	134,076	—	—	—	—
St. Louis University	22	5,854,177	21	5,651,697	—	—	1	202,480
University of Missouri, Columbia	23	6,063,566	19	5,700,283	4	363,283	—	—
University of Missouri, Kansas City	1	346,633	1	346,633	—	—	—	—
University of Missouri, St. Louis	1	234,279	1	234,279	—	—	—	—

Institution	Totals		Grants		Research Development		Research Training and Contracts	
	No.	Dollar	No.	Dollar	No.	Dollar	No.	Dollar
Washington University	94	36,814,994	83	33,900,193	10	2,851,661	1	63,140
<b>Total Missouri</b>	<b>168</b>	<b>57,606,383</b>	<b>151</b>	<b>54,079,627</b>	<b>15</b>	<b>3,261,136</b>	<b>2</b>	<b>265,620</b>
<b>Montana</b>								
Montana State University, Bozeman	2	630,678	2	630,678	—	—	—	—
<b>Total Montana</b>	<b>2</b>	<b>630,678</b>	<b>2</b>	<b>630,678</b>	<b>—</b>	<b>—</b>	<b>—</b>	<b>—</b>
<b>Nebraska</b>								
Creighton University	1	37,202	—	—	1	37,202	—	—
University of Nebraska, Lincoln	1	300,240	1	300,240	—	—	—	—
University of Nebraska Medical Center	13	5,160,882	12	4,958,782	1	202,100	—	—
<b>Total Nebraska</b>	<b>15</b>	<b>5,498,324</b>	<b>13</b>	<b>5,259,022</b>	<b>2</b>	<b>239,302</b>	<b>—</b>	<b>—</b>
<b>Nevada</b>								
Sierra Biomedical Research Corporation	2	795,498	2	795,498	—	—	—	—
University of Nevada at Reno	11	3,616,867	9	2,350,933	1	46,192	1	1,219,742
<b>Total Nevada</b>	<b>13</b>	<b>4,412,365</b>	<b>11</b>	<b>3,146,431</b>	<b>1</b>	<b>46,192</b>	<b>1</b>	<b>1,219,742</b>
<b>New Hampshire</b>								
Creare, Inc.	1	361,259	1	361,259	—	—	—	—
Dartmouth College	15	3,510,031	13	3,440,312	2	69,719	—	—
University of New Hampshire	2	279,163	2	279,163	—	—	—	—
<b>Total New Hampshire</b>	<b>18</b>	<b>4,150,453</b>	<b>16</b>	<b>4,080,734</b>	<b>2</b>	<b>69,719</b>	<b>—</b>	<b>—</b>
<b>New Jersey</b>								
Allied Innovative Systems, LLC	1	120,004	1	120,004	—	—	—	—
Collagen Matrix, Inc.	2	811,462	2	811,462	—	—	—	—
Continuum Dynamics, Inc.	1	376,215	1	376,215	—	—	—	—
Menssana Research, Inc.	1	375,000	1	375,000	—	—	—	—
Palatin Technologies, Inc.	1	374,330	1	374,330	—	—	—	—
PortaScience Inc.	1	400,046	1	400,046	—	—	—	—
Princeton Multimedia Technologies Corporation	1	199,127	1	199,127	—	—	—	—
Princeton University	2	603,786	2	603,786	—	—	—	—
Public Health Research Institute	3	1,314,519	3	1,314,519	—	—	—	—
Rutgers, The State University of New Jersey, New Brunswick	3	480,956	2	265,367	1	215,589	—	—
University of Medicine and Dentistry of New Jersey, Newark	15	6,821,472	14	6,729,456	1	92,016	—	—
University of Medicine and Dentistry of New Jersey, R. W. Johnson Medical School	7	2,289,390	7	2,289,390	—	—	—	—
University of Medicine and Dentistry of New Jersey, School of Osteopathic Medicine	1	325,035	1	325,035	—	—	—	—
VueSonix Sensors, Inc.	1	454,426	1	454,426	—	—	—	—
<b>Total New Jersey</b>	<b>40</b>	<b>14,945,768</b>	<b>38</b>	<b>14,638,163</b>	<b>2</b>	<b>307,605</b>	<b>—</b>	<b>—</b>
<b>New Mexico</b>								
InLight Solutions, Inc.	1	150,000	1	150,000	—	—	—	—
Lovelace Biomedical and Environmental Research	2	1,013,880	2	1,013,880	—	—	—	—
New Mexico Resonance	2	741,923	2	741,923	—	—	—	—
Southwest Sciences, Inc.	1	101,383	1	101,383	—	—	—	—
U.S. Department of Veterans Affairs Medical Center, Albuquerque	1	1,284,168	—	—	—	—	1	1,284,168
University of New Mexico, Albuquerque	13	4,245,036	10	3,682,439	2	351,933	1	210,664
<b>Total New Mexico</b>	<b>20</b>	<b>7,536,390</b>	<b>16</b>	<b>5,689,625</b>	<b>2</b>	<b>351,933</b>	<b>2</b>	<b>1,494,832</b>

Institution	Totals		Grants		Research Development		Research Training and Contracts	
	No.	Dollar	No.	Dollar	No.	Dollar	No.	Dollar
<b>New York</b>								
Albany Medical College of Union University	7	1,989,688	6	1,447,142	1	542,546	—	—
Angion Biomedica Corporation	1	1,059,850	1	1,059,850	—	—	—	—
Circulatory Technology, Inc.	1	360,916	1	360,916	—	—	—	—
City College of New York	3	952,482	3	952,482	—	—	—	—
Columbia University Health Sciences	79	34,853,513	70	32,645,410	6	805,189	3	1,402,914
Columbia University, New York Morningside	3	1,398,896	3	1,398,896	—	—	—	—
Cornell University, Ithaca	8	1,701,469	6	1,612,262	2	89,207	—	—
CUNY Graduate School and University Center	1	312,500	1	312,500	—	—	—	—
Foster-Miller Technologies, Inc.	1	714,266	1	714,266	—	—	—	—
Herbert H. Lehman College	—	145,804	—	145,804	—	—	—	—
Hospital for Special Surgery	1	135,540	1	135,540	—	—	—	—
Institute for Basic Research in Developmental Disabilities	1	292,692	1	292,692	—	—	—	—
Ithaca College	—	3,600	—	3,600	—	—	—	—
Lymphatic Research Foundation, Inc.	—	10,000	—	10,000	—	—	—	—
Masonic Medical Research Laboratory, Inc.	1	432,500	1	432,500	—	—	—	—
Mohawk Innovative Technology, Inc.	1	230,567	1	230,567	—	—	—	—
Montefiore Medical Center, Bronx	2	433,598	2	433,598	—	—	—	—
Mount Sinai School of Medicine	33	18,489,732	30	15,148,140	2	536,155	1	2,805,437
National Hemophilia Foundation	1	10,000	1	10,000	—	—	—	—
New York Blood Center	4	2,252,768	4	2,252,768	—	—	—	—
New York Medical College	25	10,917,813	25	10,917,813	—	—	—	—
New York University	2	511,666	2	511,666	—	—	—	—
New York University School of Medicine	19	6,495,426	18	6,350,076	1	145,350	—	—
North Shore University Hospital	1	111,051	1	111,051	—	—	—	—
Queens College, CUNY	2	469,450	2	469,450	—	—	—	—
Rensselaer Polytechnic Institute	1	257,289	1	257,289	—	—	—	—
Rockefeller University	7	3,914,503	7	3,914,503	—	—	—	—
Roswell Park Cancer Institute Corporation	3	1,123,034	3	1,123,034	—	—	—	—
Sloan-Kettering Institute for Cancer Research	11	3,391,419	11	3,391,419	—	—	—	—
St. Luke's-Roosevelt Hospital Center	1	393,481	1	393,481	—	—	—	—
St. Luke's-Roosevelt Institute for Health Sciences	8	2,558,810	8	2,558,810	—	—	—	—
State University of New York at Albany	1	257,510	1	257,510	—	—	—	—
State University of New York at Buffalo	13	4,681,779	11	3,156,124	1	108,562	1	1,417,093
State University of New York Stony Brook	15	5,650,637	14	4,436,472	—	—	1	1,214,165
STS Biopolymers, Inc.	1	396,206	1	396,206	—	—	—	—
SUNY Downstate Medical Center	8	2,244,067	7	1,986,366	—	—	1	257,701
Syracuse University	1	226,500	1	226,500	—	—	—	—
Trudeau Institute, Inc.	5	3,207,439	5	3,207,439	—	—	—	—
University of Rochester	52	19,830,365	46	18,439,122	6	1,391,243	—	—
Upstate Medical University	6	3,074,815	5	3,020,463	1	54,352	—	—
V.I. Technologies, Inc. (Vitex)	1	265,000	1	265,000	—	—	—	—
Weill Medical College of Cornell University	48	26,146,995	43	24,043,672	4	723,339	1	1,379,984
Winthrop-University Hospital	1	455,129	1	455,129	—	—	—	—
Yeshiva University	28	17,148,573	25	14,725,200	2	234,216	1	2,189,157
ZeptoMetrix Corporation	1	379,816	1	379,816	—	—	—	—
<b>Total New York</b>	<b>409</b>	<b>179,889,154</b>	<b>374</b>	<b>164,592,544</b>	<b>26</b>	<b>4,630,159</b>	<b>9</b>	<b>10,666,451</b>
<b>North Carolina</b>								
Carolinas Medical Center	1	300,358	1	300,358	—	—	—	—
Clinical Tools, Inc.	2	505,987	2	505,987	—	—	—	—
Duke University	123	53,706,387	110	50,050,858	8	1,113,195	5	2,542,334

Institution	Totals		Grants		Research Development		Research Training and Contracts	
	No.	Dollar	No.	Dollar	No.	Dollar	No.	Dollar
East Carolina University	2	431,600	2	431,600	—	—	—	—
North Carolina Central University	1	371,210	1	371,210	—	—	—	—
North Carolina State University at Raleigh	4	1,003,949	4	1,003,949	—	—	—	—
StemCo Biomedical, Inc.	1	109,485	1	109,485	—	—	—	—
University of North Carolina at Chapel Hill	94	42,683,002	82	34,366,450	7	1,508,057	5	6,808,495
Wake Forest University	14	9,135,182	8	3,525,237	—	—	6	5,609,945
Wake Forest University Health Sciences	34	15,466,553	31	15,024,809	3	441,744	—	—
Williams LifeSkills, Inc.	1	369,941	1	369,941	—	—	—	—
Winston-Salem State University	1	112,201	1	112,201	—	—	—	—
ZyCare, Inc.	1	284,187	1	284,187	—	—	—	—
<b>Total North Carolina</b>	<b>279</b>	<b>124,480,042</b>	<b>245</b>	<b>106,456,272</b>	<b>18</b>	<b>3,062,996</b>	<b>16</b>	<b>14,960,774</b>
<b>North Dakota</b>								
North Dakota State University	1	141,000	1	141,000	—	—	—	—
<b>Total North Dakota</b>	<b>1</b>	<b>141,000</b>	<b>1</b>	<b>141,000</b>	—	—	—	—
<b>Ohio</b>								
BIOMEC, Inc.	4	1,744,737	4	1,744,737	—	—	—	—
CardioEnergetics, Inc.	1	415,479	1	415,479	—	—	—	—
Case Western Reserve University	77	26,280,463	67	24,244,233	9	1,646,620	1	389,610
Celsus Laboratories, Inc.	1	107,000	1	107,000	—	—	—	—
Children's Hospital Medical Center of Cincinnati	42	16,669,536	37	16,428,493	5	241,043	—	—
Children's Research Institute	2	432,548	2	432,548	—	—	—	—
Cleveland Clinic Foundation	58	18,818,755	52	18,006,288	4	337,031	2	475,436
Cleveland Medical Devices, Inc.	2	992,193	2	992,193	—	—	—	—
Medical College of Ohio at Toledo	9	3,129,129	9	3,129,129	—	—	—	—
Nova-Ther Technologies	1	257,015	1	257,015	—	—	—	—
Ohio State University	33	9,380,324	30	8,644,398	1	203,969	2	531,957
Ohio University, Athens	1	319,861	1	319,861	—	—	—	—
Spectra Research, Inc.	1	441,964	1	441,964	—	—	—	—
The Lam Foundation	1	25,000	1	25,000	—	—	—	—
University of Cincinnati	46	17,728,364	43	16,098,747	2	521,830	1	1,107,787
Wright State University	5	1,053,332	4	973,879	1	79,453	—	—
<b>Total Ohio</b>	<b>284</b>	<b>97,795,700</b>	<b>256</b>	<b>92,260,964</b>	<b>22</b>	<b>3,029,946</b>	<b>6</b>	<b>2,504,790</b>
<b>Oklahoma</b>								
Ekipis Technologies, Inc.	1	100,000	1	100,000	—	—	—	—
Langston University	1	343,782	1	343,782	—	—	—	—
Oklahoma Blood Institute	1	844,810	—	—	—	—	1	844,810
Oklahoma Medical Research Foundation	8	3,925,564	8	3,925,564	—	—	—	—
Oklahoma State University, Stillwater	1	280,094	1	280,094	—	—	—	—
University of Oklahoma Health Sciences Center	12	4,600,084	10	4,518,709	2	81,375	—	—
<b>Total Oklahoma</b>	<b>24</b>	<b>10,094,334</b>	<b>21</b>	<b>9,168,149</b>	<b>2</b>	<b>81,375</b>	<b>1</b>	<b>844,810</b>
<b>Oregon</b>								
Dimera, LLC	1	392,500	1	392,500	—	—	—	—
Helix Research Company	1	421,156	—	—	—	—	1	421,156
Oregon Health & Science University	42	13,600,896	34	13,036,846	8	564,050	—	—
Oregon Research Institute	1	672,768	1	672,768	—	—	—	—
Oregon State University	3	593,542	2	563,587	1	29,955	—	—
University of Oregon	2	668,476	2	668,476	—	—	—	—
Virogenomics Inc.	1	96,845	1	96,845	—	—	—	—
<b>Total Oregon</b>	<b>51</b>	<b>16,446,183</b>	<b>41</b>	<b>15,431,022</b>	<b>9</b>	<b>594,005</b>	<b>1</b>	<b>421,156</b>

Institution	Totals		Grants		Research Development		Research Training and Contracts	
	No.	Dollar	No.	Dollar	No.	Dollar	No.	Dollar
<b>Pennsylvania</b>								
Allegheny-Singer Research Institute	2	701,938	2	701,938	—	—	—	—
Carnegie-Mellon University	4	1,277,358	3	1,227,242	1	50,116	—	—
Children's Hospital of Philadelphia	34	19,364,347	30	18,380,676	4	983,671	—	—
Children's Hospital of Pittsburgh/UPMC Health Systems	3	496,800	3	496,800	—	—	—	—
Discovery Laboratories, Inc.	1	453,000	1	453,000	—	—	—	—
Drexel University	2	406,993	2	406,993	—	—	—	—
Eagle Vision Pharmaceutical Corporation	1	373,353	1	373,353	—	—	—	—
Enson Inc.	4	1,433,794	4	1,433,794	—	—	—	—
Fox Chase Cancer Center	1	383,250	1	383,250	—	—	—	—
Genaera Corporation	1	422,306	1	422,306	—	—	—	—
Green Lights, Inc.	1	97,210	1	97,210	—	—	—	—
Guthrie Foundation for Education and Research	2	556,579	2	556,579	—	—	—	—
Industrial Science and Technology Network, Inc.	1	363,349	1	363,349	—	—	—	—
Institute for Cancer Research	1	439,216	1	439,216	—	—	—	—
Lankenau Institute for Medical Research	1	324,512	1	324,512	—	—	—	—
Lehigh University	1	145,051	1	145,051	—	—	—	—
LifeSensors, Inc.	1	174,746	1	174,746	—	—	—	—
Magee-Women's Health Corporation	2	547,433	2	547,433	—	—	—	—
MCP Hahnemann University	4	1,191,160	4	1,191,160	—	—	—	—
Medical Diagnostic Research Foundation	1	297,452	1	297,452	—	—	—	—
Molecular Targeting Technology, Inc.	1	377,300	1	377,300	—	—	—	—
Octagen Corporation	2	513,000	2	513,000	—	—	—	—
Pennsylvania State University, Milton S. Hershey Medical Center	23	9,754,144	22	9,712,459	1	41,685	—	—
Pennsylvania State University, University Park	6	1,546,569	6	1,546,569	—	—	—	—
Spectrasonics Imaging, Inc.	1	334,662	1	334,662	—	—	—	—
Temple University	15	5,834,717	12	5,532,400	2	256,557	1	45,760
Thomas Jefferson University	19	6,629,719	17	6,391,573	2	238,146	—	—
University of Pennsylvania	146	55,624,137	125	51,996,397	20	3,246,527	1	381,213
University of Pittsburgh at Pittsburgh	87	39,278,612	76	36,156,540	6	940,730	5	2,181,342
Weis Center for Research, Geisinger Clinic	2	513,749	2	513,749	—	—	—	—
Wistar Institute	4	1,204,697	4	1,204,697	—	—	—	—
<b>Total Pennsylvania</b>	<b>374</b>	<b>151,061,153</b>	<b>331</b>	<b>142,695,406</b>	<b>36</b>	<b>5,757,432</b>	<b>7</b>	<b>2,608,315</b>
<b>Rhode Island</b>								
BCR Diagnostics	1	136,210	1	136,210	—	—	—	—
Brown University	6	1,330,117	6	1,330,117	—	—	—	—
Gordon Research Conferences	5	47,000	5	47,000	—	—	—	—
Memorial Hospital of Rhode Island	2	3,063,912	1	707,706	—	—	1	2,356,206
Miriam Hospital	7	2,552,828	6	2,514,508	1	38,320	—	—
Pro-Change Behavior Systems, Inc.	2	401,272	2	401,272	—	—	—	—
Rhode Island Hospital, Providence	7	2,396,369	7	2,396,369	—	—	—	—
<b>Total Rhode Island</b>	<b>30</b>	<b>9,927,708</b>	<b>28</b>	<b>7,533,182</b>	<b>1</b>	<b>38,320</b>	<b>1</b>	<b>2,356,206</b>
<b>South Carolina</b>								
Clemson University	2	473,487	2	473,487	—	—	—	—
Medical University of South Carolina	37	15,323,707	30	12,107,250	5	579,661	2	2,636,796
Organ Recovery Systems, Inc.	3	604,961	3	604,961	—	—	—	—
University of South Carolina at Columbia	9	2,785,506	8	2,763,802	1	21,704	—	—
<b>Total South Carolina</b>	<b>51</b>	<b>19,187,661</b>	<b>43</b>	<b>15,949,500</b>	<b>6</b>	<b>601,365</b>	<b>2</b>	<b>2,636,796</b>

Institution	Totals		Grants		Research Development		Research Training and Contracts	
	No.	Dollar	No.	Dollar	No.	Dollar	No.	Dollar
<b>South Dakota</b>								
Missouri Breaks Research, Inc.	2	1,131,532	2	1,131,532	—	—	—	—
University of South Dakota	4	740,682	3	740,681	1	1	—	—
<b>Total South Dakota</b>	<b>6</b>	<b>1,872,214</b>	<b>5</b>	<b>1,872,213</b>	<b>1</b>	<b>1</b>	—	—
<b>Tennessee</b>								
East Tennessee State University	4	846,418	4	846,418	—	—	—	—
GeneRx+, Inc.	2	728,915	2	728,915	—	—	—	—
Meharry Medical College	11	2,180,176	8	1,601,664	3	578,512	—	—
St. Jude Children's Research Hospital	7	3,572,065	6	3,304,479	—	—	1	267,586
TK TX Company	1	124,366	1	124,366	—	—	—	—
University of Memphis	4	1,718,043	4	1,718,043	—	—	—	—
University of Tennessee Health Sciences Center	25	8,441,460	22	6,644,160	2	287,636	1	1,509,664
University of Tennessee at Knoxville	2	361,436	2	344,481	—	16,955	—	—
U.S. Department of Veterans Affairs Medical Center, Memphis	1	316,265	—	—	—	—	1	316,265
Vanderbilt University	73	29,071,445	62	26,621,202	11	2,450,243	—	—
<b>Total Tennessee</b>	<b>130</b>	<b>47,360,589</b>	<b>111</b>	<b>41,933,728</b>	<b>16</b>	<b>3,333,346</b>	<b>3</b>	<b>2,093,515</b>
<b>Texas</b>								
Ambion, Inc.	1	402,535	1	402,535	—	—	—	—
Baylor College of Medicine	84	35,557,215	72	33,206,984	9	903,702	3	1,446,529
Baylor Research Institute	1	339,750	1	339,750	—	—	—	—
BioTex, Inc.	1	373,338	1	373,338	—	—	—	—
Chrysalis Biotechnology, Inc.	1	100,000	1	100,000	—	—	—	—
Cooper Institute for Aerobics Research	4	1,593,157	4	1,593,157	—	—	—	—
Lynntech, Inc.	1	362,777	1	362,777	—	—	—	—
Millar Instruments, Inc.	1	106,814	1	106,814	—	—	—	—
Prairie View A&M University	—	135,012	—	135,012	—	—	—	—
Rice University	4	1,033,324	4	1,033,324	—	—	—	—
Southwest Foundation for Biomedical Research	9	10,474,429	9	10,474,429	—	—	—	—
Texas A&M University Health Science Center	15	3,263,593	12	3,181,060	3	82,533	—	—
Texas A&M University System	6	1,459,410	6	1,459,410	—	—	—	—
Texas A&M University, Kingsville	—	91,347	—	91,347	—	—	—	—
Texas Southern University	2	391,000	2	391,000	—	—	—	—
Texas Technical University Health Sciences Center	4	1,049,975	4	1,049,975	—	—	—	—
University of Houston, University Park	1	251,485	1	251,485	—	—	—	—
University of North Texas Health Sciences Center	10	2,150,902	8	2,032,723	2	118,179	—	—
University of Texas at Dallas	1	307,464	1	307,464	—	—	—	—
University of Texas Health Center at Tyler	7	1,573,586	7	1,573,586	—	—	—	—
University of Texas Health Sciences Center Houston	35	14,006,447	31	9,722,355	2	163,867	2	4,120,225
University of Texas Health Sciences Center San Antonio	22	5,608,053	19	3,991,964	1	214,392	2	1,401,697
University of Texas M.D. Anderson Cancer Center	1	587,499	1	587,499	—	—	—	—
University of Texas Medical Branch Galveston	11	4,460,821	10	2,322,343	—	—	1	2,138,478
University of Texas Southwestern Medical Center at Dallas	63	28,307,892	54	25,104,158	7	958,057	2	2,245,677
University of Texas-Pan American	—	356,354	—	356,354	—	—	—	—
<b>Total Texas</b>	<b>285</b>	<b>114,344,179</b>	<b>251</b>	<b>100,550,843</b>	<b>24</b>	<b>2,440,730</b>	<b>10</b>	<b>11,352,606</b>

Institution	Totals		Grants		Research Development		Research Training and Contracts	
	No.	Dollar	No.	Dollar	No.	Dollar	No.	Dollar
<b>Utah</b>								
Brigham Young University	1	219,000	1	219,000	—	—	—	—
Thrombodyne, Inc.	1	368,528	1	368,528	—	—	—	—
University of Utah	54	19,898,437	48	19,272,826	6	625,611	—	—
Utah Artificial Heart Institute	1	1,062,373	1	1,062,373	—	—	—	—
<b>Total Utah</b>	<b>57</b>	<b>21,548,338</b>	<b>51</b>	<b>20,922,727</b>	<b>6</b>	<b>625,611</b>	—	—
<b>Vermont</b>								
University of Vermont and State Agricultural College	45	17,630,018	39	15,341,987	4	717,553	2	1,570,478
<b>Total Vermont</b>	<b>45</b>	<b>17,630,018</b>	<b>39</b>	<b>15,341,987</b>	<b>4</b>	<b>717,553</b>	<b>2</b>	<b>1,570,478</b>
<b>Virginia</b>								
Arete Associates	1	102,362	1	102,362	—	—	—	—
Cottler Technologies, LLC	1	378,785	1	378,785	—	—	—	—
CW Optics, Inc.	1	250,708	1	250,708	—	—	—	—
Eastern Virginia Medical School of the Medical College of Hampton Roads	4	662,804	3	646,437	1	16,367	—	—
Empirical Technologies Corporation	1	439,154	1	439,154	—	—	—	—
Hampton University	—	96,542	—	96,542	—	—	—	—
Personal Improvement Computer Systems	1	284,254	1	284,254	—	—	—	—
Talisman, Ltd.	—	347,000	—	347,000	—	—	—	—
University of Virginia, Charlottesville	63	22,590,644	57	21,219,172	6	1,371,472	—	—
U.S. National Science Foundation	1	367,000	—	—	—	—	1	367,000
Virginia Commonwealth University	19	4,745,495	15	4,608,998	4	136,497	—	—
<b>Total Virginia</b>	<b>92</b>	<b>30,264,748</b>	<b>80</b>	<b>28,373,412</b>	<b>11</b>	<b>1,524,336</b>	<b>1</b>	<b>367,000</b>
<b>Washington</b>								
A.S.T.H.M.A., Inc.	1	132,049	—	—	—	—	1	132,049
Avatar Design and Development, Inc.	1	480,129	1	480,129	—	—	—	—
Barlow Scientific	2	451,466	2	451,466	—	—	—	—
Battelle Pacific Northwest Laboratories	1	478,625	1	478,625	—	—	—	—
Catch, Inc.	1	100,000	1	100,000	—	—	—	—
EKOS Corporation	1	331,168	1	331,168	—	—	—	—
Fred Hutchinson Cancer Research Center	18	10,476,725	15	8,430,811	—	—	3	2,045,914
Icogen Corporation	1	195,140	1	195,140	—	—	—	—
Inologic, Inc.	1	96,935	1	96,935	—	—	—	—
Institute for Systems Biology	1	2,093,639	—	—	—	—	1	2,093,639
King County Emergency Medical Service	1	330,265	1	330,265	—	—	—	—
Pathway MRI	1	99,107	1	99,107	—	—	—	—
Phantoms By Design	1	616,394	1	616,394	—	—	—	—
Puget Sound Blood Center	3	685,737	3	685,737	—	—	—	—
Quantigraphics, Inc.	1	255,191	1	255,191	—	—	—	—
Seattle Institute for Cardiac Research	2	3,881,377	2	3,881,377	—	—	—	—
Statistics and Epidemiology Research Corporation	1	801,527	—	—	—	—	1	801,527
The Hope Heart Institute	4	764,143	3	754,611	1	9,532	—	—
Therus Corporation	1	137,965	1	137,965	—	—	—	—
University of Washington	117	57,791,957	99	48,863,249	12	2,887,094	6	6,041,614
Washington State University	5	1,058,969	4	1,058,968	1	1	—	—
<b>Total Washington</b>	<b>165</b>	<b>81,258,508</b>	<b>139</b>	<b>67,247,138</b>	<b>14</b>	<b>2,896,627</b>	<b>12</b>	<b>11,114,743</b>
<b>West Virginia</b>								
Marshall University	1	140,000	1	140,000	—	—	—	—

Institution	Totals		Grants		Research Development		Research Training and Contracts	
	No.	Dollar	No.	Dollar	No.	Dollar	No.	Dollar
West Virginia University	7	1,562,883	6	1,518,671	1	44,212	—	—
<b>Total West Virginia</b>	<b>8</b>	<b>1,702,883</b>	<b>7</b>	<b>1,658,671</b>	<b>1</b>	<b>44,212</b>	<b>—</b>	<b>—</b>
<b>Wisconsin</b>								
Blood Center of Southeastern Wisconsin	9	4,320,960	7	4,099,227	2	221,733	—	—
Eaker Epidemiology Enterprises, LLC	1	62,500	1	62,500	—	—	—	—
Marquette University	2	375,623	2	375,623	—	—	—	—
Marshfield Clinic	1	5,250,000	—	—	—	—	1	5,250,000
Medical College of Wisconsin	59	30,007,411	50	26,197,914	7	566,271	2	3,243,226
Mirus Corporation	2	220,417	2	220,417	—	—	—	—
Spectro Con	1	100,000	1	100,000	—	—	—	—
TMJ Association	—	15,000	—	15,000	—	—	—	—
University of Wisconsin, Madison	63	25,235,470	57	23,083,188	5	1,053,034	1	1,099,248
<b>Total Wisconsin</b>	<b>138</b>	<b>65,587,381</b>	<b>120</b>	<b>54,153,869</b>	<b>14</b>	<b>1,841,038</b>	<b>4</b>	<b>9,592,474</b>
<b>Wyoming</b>								
University of Wyoming	1	172,970	1	172,970	—	—	—	—
<b>Total Wyoming</b>	<b>1</b>	<b>172,970</b>	<b>1</b>	<b>172,970</b>	<b>—</b>	<b>—</b>	<b>—</b>	<b>—</b>
<b>Puerto Rico</b>								
Ponce School of Medicine	1	138,258	1	138,258	—	—	—	—
Universidad Central Del Caribe	—	229,354	—	229,354	—	—	—	—
University of Puerto Rico Medical Sciences	1	648,385	1	648,385	—	—	—	—
University of Puerto Rico Rio Piedras	1	391,789	1	391,789	—	—	—	—
<b>Total Puerto Rico</b>	<b>3</b>	<b>1,407,786</b>	<b>3</b>	<b>1,407,786</b>	<b>—</b>	<b>—</b>	<b>—</b>	<b>—</b>
<b>Total United States</b>	<b>5,611</b>	<b>\$2,331,977,050</b>	<b>4,933</b>	<b>\$1,997,936,617</b>	<b>473</b>	<b>\$77,377,198</b>	<b>205</b>	<b>\$256,663,235</b>
<b>Australia</b>								
Child Health Research Institute	1	250,000	1	250,000	—	—	—	—
Children's Hospital at Westmead	1	108,000	1	108,000	—	—	—	—
Peter MacCallum Cancer Institute	1	175,000	1	175,000	—	—	—	—
Royal Melbourne Hospital	1	175,000	1	175,000	—	—	—	—
St. Vincent's Institute of Medical Research	1	105,515	1	105,515	—	—	—	—
Victor Chang Cardiac Research Institute	2	134,264	1	95,944	1	38,320	—	—
<b>Total Australia</b>	<b>7</b>	<b>947,779</b>	<b>6</b>	<b>909,459</b>	<b>1</b>	<b>38,320</b>	<b>—</b>	<b>—</b>
<b>Belgium</b>								
University of Antwerp	1	120,593	1	120,593	—	—	—	—
<b>Total Belgium</b>	<b>1</b>	<b>120,593</b>	<b>1</b>	<b>120,593</b>	<b>—</b>	<b>—</b>	<b>—</b>	<b>—</b>
<b>Brazil</b>								
Federal University of Bahia	—	39,000	—	39,000	—	—	—	—
<b>Total Brazil</b>	<b>—</b>	<b>39,000</b>	<b>—</b>	<b>39,000</b>	<b>—</b>	<b>—</b>	<b>—</b>	<b>—</b>
<b>Canada</b>								
Clinical Research Institute of Montreal	2	449,030	2	449,030	—	—	—	—
Hospital for Sick Children, Toronto	3	963,663	3	963,663	—	—	—	—
Institute de Recherches Cliniques de Montreal	1	200,000	1	200,000	—	—	—	—
London Health Sciences Center	1	1,396,378	—	—	—	—	1	1,396,378
McGill University	1	300,000	1	300,000	—	—	—	—
McMaster University	1	161,066	—	—	—	—	1	161,066
Ontario Cancer Institute	1	200,000	1	200,000	—	—	—	—

Institution	Totals		Grants		Research Development		Research Training and Contracts	
	No.	Dollar	No.	Dollar	No.	Dollar	No.	Dollar
Ottawa Health Research Institute	1	250,000	1	250,000	—	—	—	—
Sunnybrook and Women's College Health Sciences Center	1	193,048	1	193,048	—	—	—	—
University Health Network	1	225,000	1	225,000	—	—	—	—
University of British Columbia	4	878,722	3	798,522	—	—	1	80,200
University of Calgary	2	525,763	2	525,763	—	—	—	—
University of Manitoba	2	108,524	2	108,524	—	—	—	—
<b>Total Canada</b>	<b>21</b>	<b>5,851,194</b>	<b>18</b>	<b>4,213,550</b>	—	—	<b>3</b>	<b>1,637,644</b>
<b>China</b>								
Chinese Center, Disease Control and Prevention	—	24,300	—	24,300	—	—	—	—
<b>Total China</b>	—	<b>24,300</b>	—	<b>24,300</b>	—	—	—	—
<b>Finland</b>								
University of Turku	1	46,192	—	—	1	46,192	—	—
<b>Total Finland</b>	<b>1</b>	<b>46,192</b>	—	—	<b>1</b>	<b>46,192</b>	—	—
<b>India</b>								
Center for DNA Fingerprinting/Diagnostics	—	39,000	—	39,000	—	—	—	—
<b>Total India</b>	—	<b>39,000</b>	—	<b>39,000</b>	—	—	—	—
<b>Israel</b>								
Ben-Gurion University of the Negev	1	44,212	—	—	1	44,212	—	—
Technion-Israel Institute of Technology	1	125,000	1	125,000	—	—	—	—
<b>Total Israel</b>	<b>2</b>	<b>169,212</b>	<b>1</b>	<b>125,000</b>	<b>1</b>	<b>44,212</b>	—	—
<b>Italy</b>								
University of Parma	1	371,789	1	371,789	—	—	—	—
<b>Total Italy</b>	<b>1</b>	<b>371,789</b>	<b>1</b>	<b>371,789</b>	—	—	—	—
<b>New Zealand</b>								
Canterbury Health Ltd.	1	42,320	—	—	1	42,320	—	—
<b>Total New Zealand</b>	<b>1</b>	<b>42,320</b>	—	—	<b>1</b>	<b>42,320</b>	—	—
<b>Russia</b>								
Central Institute for Tuberculosis	1	204,000	1	204,000	—	—	—	—
<b>Total Russia</b>	<b>1</b>	<b>204,000</b>	<b>1</b>	<b>204,000</b>	—	—	—	—
<b>Sweden</b>								
Migramed	1	446,296	1	446,296	—	—	—	—
<b>Total Sweden</b>	<b>1</b>	<b>446,296</b>	<b>1</b>	<b>446,296</b>	—	—	—	—
<b>Thailand</b>								
Chiang Mai University	—	16,200	—	16,200	—	—	—	—
<b>Total</b>	—	<b>16,200</b>	—	<b>16,200</b>	—	—	—	—
<b>United Kingdom</b>								
University of London King's College London	1	250,000	1	250,000	—	—	—	—
University College London	1	276,611	1	276,611	—	—	—	—
University of Cambridge	1	272,359	1	272,359	—	—	—	—
University of Edinburgh	1	200,000	1	200,000	—	—	—	—
University of Leicester	1	36,592	—	—	1	36,592	—	—

Institution	Totals		Grants		Research Development		Research Training and Contracts	
	No.	Dollar	No.	Dollar	No.	Dollar	No.	Dollar
University of London National Heart and Lung Institute	1	325,381	1	325,381	—	—	—	—
University of Sheffield	1	125,000	1	125,000	—	—	—	—
University of Southampton	1	298,954	1	298,954	—	—	—	—
<b>Total United Kingdom</b>	<b>8</b>	<b>1,784,897</b>	<b>7</b>	<b>1,748,305</b>	<b>1</b>	<b>36,592</b>	—	—
<b>Total Other</b>	<b>44</b>	<b>\$10,102,772</b>	<b>36</b>	<b>\$8,257,492</b>	<b>5</b>	<b>\$207,636</b>	<b>3</b>	<b>\$1,637,644</b>
<b>Grand Total</b>	<b>5,655</b>	<b>\$2,342,079,822</b>	<b>4,969</b>	<b>\$2,006,194,109</b>	<b>478</b>	<b>\$77,584,834</b>	<b>208</b>	<b>\$258,300,879</b>



# **Appendixes**

**Types of Research Activity**

**List of Abbreviations and Acronyms**

**Index**





# Types of Research Activity

## Research Projects

**Research Project Grants (R01):** To support discrete and specific projects to be performed by one or several investigators in areas of the investigator's particular interests and competencies.

**Research Projects (Cooperative Agreements) (U01):** To support discrete, circumscribed projects in areas of an investigator's specific interest and competency involving substantial programmatic participation by the NHLBI during performance of the activity.

**Research Program Projects (P01):** To support broadly based, multidisciplinary, often long-term research projects that have specific major objectives or basic themes directed toward a well-defined research program goal. Usually, a relatively large, organized group of researchers conducts individual subprojects, the results of which help achieve objectives of the program project.

**Small Research Grants (R03):** To provide limited support for extended analyses of research data generated by clinical trials, population research, and demonstration and education studies.

**Academic Research Enhancement Awards (AREA) (R15):** To support small-scale research projects conducted by faculty in primarily baccalaureate degree-granting domestic institutions. Awards are for up to \$75,000 for direct costs (plus applicable indirect costs) for periods not to exceed 36 months.

**Exploratory/Developmental Grants (R21):** To encourage the development of new research activities in heart, lung, and blood diseases and sleep disorders program areas.

**Resource-Related Research Projects (R24):** To support research projects that will enhance the capability of resources to serve biomedical research in areas related to cardiovascular, lung, and blood health and diseases; blood resources; and sleep disorders.

**First Independent Research Support and Transition (FIRST) Award (R29):** To provide a sufficient initial period of research support for newly indepen-

dent biomedical investigators to develop their research capabilities and demonstrate the merit of their research ideas.

**Exploratory/Developmental Grant (R33):** To provide phase II support for innovative exploratory and developmental research activities initiated under the R21 mechanism.

**Method To Extend Research in Time (MERIT) Award (R37):** To provide long-term research grant support to investigators whose research competency and productivity are distinctly superior and thus are likely to continue to perform in an outstanding manner. Investigators may not apply for a MERIT award; instead, they are selected by the NHLBI on the basis of their current grant applications and their present and past grant support.

**Small Business Technology Transfer (STTR) Grants—Phase I (R41):** To support cooperative R&D projects between small business concerns and research institutions, limited in time and amount, to establish the technical merit and feasibility of ideas that have potential for commercialization. Awards are made to small business concerns only.

**Small Business Technology Transfer (STTR) Grants—Phase II (R42):** To support in-depth development of cooperative R&D projects between small business concerns and research institutions, limited in time and amount, whose feasibility has been established in Phase I and that have potential for commercialization. Awards are made to small business concerns only.

**Small Business Innovation Research (SBIR) Grants, Phase I (R43):** To support projects, limited in time and amount, to establish the technical merit and feasibility of research and development ideas that may ultimately lead to commercial products or services.

**Small Business Innovation Research (SBIR) Grants, Phase II (R44):** To support research project ideas that have been shown to be feasible in Phase I and that are likely to result in commercially marketable products or services.

## Research Centers

**Exploratory Grants (P20):** To support planning for new programs, expansion or modification of existing resources, and feasibility studies to explore various approaches to the development of interdisciplinary programs that offer potential solutions to problems of special significance to the mission of the NHLBI.

**Center Core Grants (P30):** To support shared resources and facilities for basic, clinical, behavioral, and translational research in the prevention, detection, and treatment of HIV infection and AIDS.

**Animal (Mammalian and Nonmammalian) Model and Animal and Material Resource Grant (P40):** To develop and support animal models, or animal or biological materials resources. Nonmammalian resources include nonmammalian vertebrates, invertebrates, cell systems, and nonbiological systems.

**Specialized Centers of Research (SCOR) Grants (P50):** To support both basic and clinical research related to an Institute-identified theme. The spectrum of SCOR activities comprises multidisciplinary approaches to specific disease entities or biomedical problem areas. The SCOR grants differ from research program projects in that they are in response to an announcement of programmatic needs of the Institute. Centers may be asked to perform additional studies because of urgently needed information or may serve as a regional or national resource for special purpose research.

**Comprehensive Centers Grants (P60):** To support a multipurpose unit designed to bring together into a common focus divergent but related facilities within a given community; to foster biomedical research and development at both the fundamental and clinical levels; to initiate and expand community education, screening, and counseling programs; and to educate medical and allied health professionals concerning problems of diagnosis and treatment of specific diseases such as sickle cell anemia.

## Research Career Programs

**Mentored Research Scientist Development Award for Minority Faculty (K01):** To support underrepresented minority faculty members with varying levels of research experience to prepare them for research careers as independent investigators.

**Minority Institution Faculty Mentored Research Scientist Development Award (K01):** To support at minority institutions faculty members who have the

interest and potential to conduct state-of-the-art research in the areas of cardiovascular, pulmonary, or hematologic disease, or in sleep disorders.

**Independent Scientist Award (K02):** To enhance the research capability of promising individuals in the formative stages of their careers of independent research in the sciences related to heart, lung, and blood diseases; blood resources; and sleep disorders.

**Research Career Development Award (RCDA) (K04):** To foster the development of young scientists with outstanding research potential for careers of independent research in the sciences related to heart, lung, and blood diseases and blood resources. New grants are no longer awarded.

**Research Career Award (RCA) (K06):** To assist institutions in supporting established investigators of high competency for the duration of their careers. New grants are no longer awarded.

**Academic Award (K07):** To support an individual with an academic appointment to introduce or improve a disease curriculum that will enhance the academic or research environment of the applicant institution as well as further the individual's own career. This award series includes the Preventive Cardiology Academic Award, the Preventive Pulmonary Academic Award, the Transfusion Medicine Academic Award, and the Systemic Pulmonary and Vascular Diseases Academic Awards, the Asthma Academic Award, the Tuberculosis Academic Award, the Sleep Academic Award, and the Nutrition Academic Award. Currently, only the Sleep Academic Award and the Nutrition Academic Award programs are being supported.

**Clinical Investigator Development Award (CIDA) (K08):** To provide an opportunity for clinically trained physicians to develop research skills and gain experience in advanced research methods and experimental approaches in basic and applied sciences relevant to cardiovascular, pulmonary, and hematological diseases. This award was developed as a means to encourage clinical investigators to engage in research in specific areas designated by the Institute.

**Physician Scientist Award (PSA) (K11):** To encourage newly trained clinicians to develop independent research skills and experience in one of the fundamental sciences. New grants are no longer awarded.

**Minority School Faculty Development Award (K14):** To develop faculty investigators at minority schools and to enhance their research capabilities in areas related to

heart, lung, and blood diseases; blood resources; and sleep disorders. New grants are no longer awarded.

**Research Development Award for Minority Faculty (K14):** To encourage the development of minority faculty investigators and to enhance their research capabilities in areas related to cardiovascular, lung, and blood health and disease; transfusion medicine; and sleep disorders. New grants are no longer awarded.

**Mentored Patient-Oriented Research Career Development Award (K23):** To provide support for career development to investigators who have made a commitment to focus their research endeavors on patient-oriented research.

**Midcareer Investigator Award in Patient-Oriented Research (K24):** To provide support for clinicians to allow them “protected time” to devote to patient-oriented research and to act as mentors for beginning clinical investigators.

**Mentored Quantitative Research Career Development Award (K25):** To provide support to investigators with quantitative science or engineering backgrounds who have made a commitment to focus their research on basic or clinical biomedicine, bioengineering, bioimaging, or behavioral sciences.

**Clinical Research Curriculum Award (CRCA) (K30):** To stimulate inclusion of high-quality, multidisciplinary didactic training in fundamental skills, methodology, theories, and conceptualization as part of the career development of clinical investigators.

## Other Research Grants

**Scientific Evaluation (R09):** To provide funds to the chairman of an initial review group for operation of the review group.

**Cooperative Clinical Research (R10) (U10):** To support studies and evaluations of relevant clinical problems. These grants usually involve collaborative efforts among several institutions and principal investigators and are conducted under a formal protocol.

**Conference Grants (R13):** To support national and international scientific meetings, conferences, or workshops at which research is discussed.

**Research Demonstration and Education Projects (R18):** To provide support designed to develop, test, and evaluate health-related activities and to foster application

of existing knowledge to the control of heart, lung, and blood diseases and sleep disorders.

**Education Projects (R25):** To provide support for the development and implementation of a program as it relates to a category in one or more of the areas of education, information, training, technical assistance, coordination, or evaluation.

**Minority Biomedical Research Support (MBRS) Grants (S06) (S14):** To strengthen the biomedical research and research training capability of minority institutions and to assist in increasing the involvement of minority faculty and students in biomedical research.

**Biomedical Research Support Grants (S07):** To strengthen, balance, and stabilize supported biomedical and behavioral research programs through flexible funds that permit institutions to respond quickly and effectively to emerging needs and opportunities; to enhance creativity and innovation, to support pilot studies, and to improve research resources.

**Continuing Education Training Grant (T15):** To assist professional schools and other public and non-profit institutions to establish, expand, or improve programs of continuing professional education, especially for programs dealing with new scientific developments.

**Scientific Review and Evaluation (U09):** To support an initial Scientific Review Group responsible for the assessment of scientific and technical merit of grant applications.

**Conference (Cooperative Agreements) (U13):** To support international, national, or regional meetings; conferences; and workshops where substantial programmatic involvement is planned to assist the recipient.

**Resource-Related Research Projects (U24):** To support research projects contributing to improvement of the capability of resources to serve biomedical research.

**Historical Black College and University Scientist Award (UH1):** To strengthen and augment the human resources at historically black colleges and universities (HBCUs) by recruiting an established research scientist into their biomedical or behavioral sciences department; to enhance the career of the recruited research scientist; and to strengthen other HBCU resources for the conduct of biomedical or behavioral research in areas related to cardiovascular, lung, and blood health and disease; transfusion medicine; and sleep disorders.

## **Individual National Research Service Awards (NRSA)**

**Predoctoral Individual NRSA (F31):** To provide predoctoral individuals with supervised research training in areas related to heart, lung, and blood diseases; blood resources; and sleep disorders leading toward the research degree (e.g., Ph.D.).

**Postdoctoral Individual NRSA (F32):** To provide postdoctoral research training to individuals to broaden their scientific background and extend their potential for research in areas related to heart, lung, and blood diseases and blood resources.

**NRSA for Senior Fellows (F33):** To provide experienced scientists with an opportunity to make major changes in the direction of their research careers, to broaden their scientific background, to acquire new research capabilities, to enlarge their command of an allied research field, or to take time from regular professional responsibilities for the purpose of broadening their research capabilities.

**Intramural NRSA Individual Postdoctoral Program Appointee (F35):** To offer research health scientists, research clinicians, and others the opportunity to receive full-time research training in intramural laboratories of the NHLBI and of other Institutes of the NIH.

## **Institutional National Research Service Awards (NRSA)**

**Institutional NRSA (T32):** To enable institutions to make awards to individuals selected by them for predoctoral and postdoctoral research training in areas related to heart, lung, and blood diseases, blood resources, and sleep disorders.

**Minority Institutional Research Training Program (T32M):** To support full-time research training for investigative careers at minority schools in areas of cardiovascular, pulmonary, and hematologic diseases and sleep disorders. Graduate students, postdoctoral students, or health professions students may be supported under this program.

**MARC Undergraduate NRSA Institutional Grants (T34):** To support institutional training grants for underrepresented minority undergraduates to obtain research training and improve their preparation for graduate training in the biomedical and behavioral sciences.

**NRSA Short-Term Research Training (T35 and T35S):** To provide individuals with research training during off-quarters or summer periods to encourage research careers or to encourage research in areas of national need. This program includes the Short-Term Training for Minority Students Program and short-term training for students in health professional schools.

**MARC Visiting Professors for Minority Institutions (T36):** To increase the number of well-trained minority scientists in biomedical disciplines and to strengthen the research and teaching capabilities of minority institutions.

## **Other Support**

**Research and Development Contracts (N01):** To develop or apply new knowledge or test, screen, or evaluate a product, material, device, or component for use by the scientific community.

**Small Business Innovation Research (N43):** To support projects, limited in time and amount, to establish the technical merit and feasibility of R&D ideas that may ultimately lead to a commercial product(s) or services(s).

**NIH Interagency Agreements (Y01):** To provide a source of funds to another Federal agency to acquire specific products, services, or studies.

**NIH Intra-Agency Agreements (Y02):** To provide a source of funds to another NIH component to acquire specific products, services, or studies.

**Minority Research Supplements Programs:** To provide supplemental funds to active NHLBI grants to support the research of minority high school, undergraduate, and graduate students; postdoctoral trainees; and investigators.

# List of Abbreviations and Acronyms

ACCESS	A Case-Controlled Etiologic Study of Sarcoidosis	CHF	congestive heart failure
ACCORD	Action to Control Cardiovascular Complications in Diabetes	CHS	Cardiovascular Health Study
ACE	angiotensin-converting enzyme	CMMP	Clinical and Molecular Medicine Program
ACES	Azithromycin and Coronary Events Study	COPD	chronic obstructive pulmonary disease
ACRN	Asthma Clinical Research Network	CSCC	Comprehensive Sickle Cell Centers
ACTION	A CHF Trial Investigating Outcomes of Exercise	CSGA	Collaborative Studies on the Genetics of Asthma
AFFIRM	Atrial Fibrillation Follow-up: Investigations in Rhythm Management	CVD	cardiovascular diseases
AIDS	acquired immunodeficiency syndrome	DASH	Dietary Approaches to Stop Hypertension
ALLHAT	Antihypertensive and Lipid-Lowering Treatment to Prevent Heart Attack Trial	DBDR	Division of Blood Diseases and Resources
APPLES	Apnea Positive Pressure Long-Term Efficacy Study	DECA	Division of Epidemiology and Clinical Applications
ARDS	acute respiratory distress syndrome	DHVD	Division of Heart and Vascular Diseases
ARDSNET	Acute Respiratory Distress Syndrome Clinical Network	DIR	Division of Intramural Research
ARIC	Atherosclerosis Risk in Communities	DLD	Division of Lung Diseases
ATP III	Adult Treatment Panel III	EDUC	Enhanced Dissemination and Utilization Center
BARI 2D	Bypass Angioplasty Revascularization Investigation in Type 2 Diabetics	ENRICHD	Enhancing Recovery in Coronary Heart Disease
CAMP	Childhood Asthma Management Program	ESCAPE	Evaluation Study of Congestive Heart Failure and Pulmonary Artery Catheterization Effectiveness
CARDIA	Coronary Artery Risk Development in Young Adults	ETS	environmental tobacco smoke
CARE	Childhood Asthma Research and Education Network	FIRST	First Independent Research Support and Transition
CF	cystic fibrosis	FORTE	Feasibility of Retinoid Treatment in Emphysema
CFAR	Centers for AIDS Research	FY	fiscal year
CHD	coronary heart disease	GEMS	Girls Health Enrichment Multisite Studies

GENCAC	Genetics of Coronary Aortic Calcification	NCSDR	National Center on Sleep Disorders Research
GOCADAN	Genetics of Coronary Artery Disease in Alaskan Natives	NETT	National Emphysema Treatment Trial
GVHD	graft versus host disease	NHAAP	National Heart Attack Alert Program
HAT	Home Automatic External Defibrillator Trial	NHANES	National Health and Nutrition Examination Survey
HBCU	historically black colleges and universities	NHBPEP	National High Blood Pressure Education Program
HDL	high-density lipoprotein	NHI	National Heart Institute
HEIRS	Hemochromatosis and Iron Overload Screen Study	NHIS	National Health Interview Survey
HEW	Department of Health, Education, and Welfare (now HHS)	NHLBAC	National Heart, Lung, and Blood Advisory Council
HHS	Health and Human Services (formerly HEW)	NHLBI	National Heart, Lung, and Blood Institute (formerly NHI and NHLI)
HIV	human immunodeficiency virus	NHLI	National Heart and Lung Institute
HRT	hormone replacement therapy	NIA	National Institute on Aging
ICD	International Classification of Diseases; also, implantable cardiac defibrillator	NICHD	National Institute of Child Health and Human Development
JHS	Jackson Heart Study	NIDDK	National Institute of Diabetes and Digestive and Kidney Diseases
LDL	low-density lipoprotein	NIDDM	noninsulin-dependent diabetes mellitus
MAGIC	Magnesium in Coronaries	NIH	National Institutes of Health
MARC	Minority Access to Research Careers	NIMH	National Institute of Mental Health
MBRS	Minority Biomedical Research Support	NRSA	National Research Service Award
MERIT	Method to Extend Research in Time	OAR	Office of AIDS Research
MESA	Multi-Ethnic Study of Atherosclerosis	OAT	Occluded Artery Trial
MGS	Mammalian Genotyping Service	OD	Office of the Director
MI	myocardial infarction	OEI	Obesity Education Initiative
MSH	Multicenter Study of Hydroxyurea	OPEC	Office of Prevention, Education, and Control
NAEPP	National Asthma Education and Prevention Program	OSA	obstructive sleep apnea
NCEP	National Cholesterol Education Program	P2C2	Pediatric Pulmonary Cardiac Complication of HIV
NCHS	National Center for Health Statistics	PA	Program Announcement

PAD	Public Access Defibrillation	SCD	sickle cell disease
PAHI	Pan American Hypertension Initiative	SCD-HeFT	Sudden Cardiac Death in Heart Failure Trial
PAHO	Pan American Health Organization	SCOR	Specialized Center(s) of Research
PEACE	Prevention of Events With Angiotensin Converting Enzyme Inhibitor Therapy	SEP	Special Emphasis Panel
PEGT	Programs of Excellence in Gene Therapy	SES	socioeconomic status
PGA	Programs for Genomic Applications	SIDS	sudden infant death syndrome
PHS	Public Health Service	STICH	Surgical Treatment for Ischemic Heart Failure
PIOPED	Prospective Investigation of Pulmonary Embolism Diagnosis	STOP	Stroke Prevention in Sickle Cell Anemia
R&D	research and development	STTR	Small Business Technology Transfer
REDS	Retrovirus Epidemiology Donor Study	TAAG	Trial of Activity for Adolescent Girls
RFA	Request for Applications	TB	tuberculosis
RFP	Request for Proposals	WAVE	Women's Angiographic Vitamin and Estrogen Trial
RMS	research management and support	WHI	Women's Health Initiative
RPG	research project grant	WHL	World Health League
SANDS	Stop Atherosclerosis in Native Diabetic Study	WISE	Women's Ischemia Syndrome Evaluation
SBIR	Small Business Innovation Research	WHO	World Health Organization



# Index

## A

- A Case-Controlled Etiologic Study of Sarcoidosis (ACCESS), 106, 109, 140
- A CHF Trial Investigating Outcomes of Exercise (ACTION), 84, 86, 112, 114
- Abbreviations and Acronyms, 177–179
- Action to Control Cardiovascular Risk in Diabetes (ACCORD), 115, 118, 119, 138
- Acute Lung Injury, 99, 101
- Acute Respiratory Distress Syndrome Clinical Network (ARDSNET), 116, 118, 123
- Advisory Council (See National Heart, Lung, and Blood Advisory Council)
- Airway Biology and Pathogenesis of Cystic Fibrosis, 99, 101
- Antihypertensive and Lipid-Lowering Treatment to Prevent Heart Attack Trial (ALLHAT), 33, 115, 118, 119, 134
- Apnea Positive Pressure Long-Term Efficacy Study (APPLES), 85, 98, 113, 114
- Asthma Clinical Research Network (ACRN), 32, 84, 93, 113, 114, 139
- Atherosclerosis Risk in Communities (ARIC), 106, 132
- Atrial Fibrillation Follow-up: Investigation in Rhythm Management (AFFIRM), 115, 118, 119
- Azithromycin and Coronary Events Study (ACES), 84, 86, 112, 114

## B

- Basic Research to Improve Cardiopulmonary and Neurological Outcomes Following Resuscitation From Cardiopulmonary Arrest, 58
- Blood and Marrow Transplant Clinical Research Network, 85, 97, 117, 118, 125
- Blood diseases (See also Disease statistics), deaths, and economic costs, 37, 38, 53
- Blood Diseases and Resources Program, obligations by funding mechanism, 67
- Board of Scientific Counselors, 63–64
- Budget History, FY 1950–2002, 69
- Bypass Angioplasty Revascularization Investigation (BARI), 32, 84, 86, 117, 118, 120
- Bypass Angioplasty Revascularization Investigation in Type 2 Diabetics (BARI 2D), 84, 86–87, 112, 114, 138

## C

- Cardiovascular Diseases (See Heart and vascular diseases)
- Cardiovascular Health Study (CHS), 106–107, 132

- Career development (See Research career programs)
- Cellular and Molecular Mechanisms of Asthma, 99, 101–102
- Center for Fetal Monkey Gene Transfer for Heart, Lung, and Blood Diseases, 84, 87
- Centers for AIDS Research Program, 65, 67, 77, 104
- Centers for Reducing Asthma Disparities, 56, 84, 94, 139
- Childhood Asthma Management Program (CAMP), 116, 118, 123–124, 139
- Childhood Asthma Research and Education (CARE) Network, 84, 94, 117, 118, 124, 139
- Clinical Trials (See also individual trials), 111–129
  - Institute-initiated: contracts, FY 1992–2002, 115–116
  - cooperative agreements, FY 1992–2002, 117
  - summary by program, FY 2002, 118
  - investigator-initiated, FY 1992–2002, 111–113
  - summary by program, FY 2002, 114
- Clinical Trials Review Committee, 62–63
- Collaborative Program in Bronchopulmonary Dysplasia, 84, 94
- Collaborative Studies on the Genetics of Asthma (CSGA), 84, 94–95
- Comprehensive Sickle Cell Centers Program, 56, 104, 142
- Contract obligations (See Research and development contracts)
- Cooperative Agreements, 77, 84–98, 111–114, 117, 118, 120–122, 124, 125, 127, 173
- Cord Blood Stem Cell Transplantation Study, 116, 118, 126
- Coronary Artery Risk Development in Young Adults (CARDIA), 106, 107, 132

## D

- Determinants of Compensatory Sleep Phenotype in Mice, 85, 98
- Directory of Personnel, 1–9
- Disease statistics (See also Blood diseases, Heart and vascular diseases, Lung diseases)
  - adult population with hypertension, 51
  - change in death rates for selected causes, 45
  - death rates for cardiovascular diseases, 39, 41
  - death rates for heart diseases, 39, 41–44
  - death rates for lung diseases, 45, 47–48
  - death rates for stroke, 39, 41–42
  - deaths by major causes, 37
  - deaths from blood diseases, 37–38
  - deaths from cardiovascular diseases, 37–39, 46
  - deaths from congestive heart failure, 41
  - deaths from lung diseases, 37–38, 46
  - discharged dead from hospital with cardiovascular and lung diseases, 43
  - economic costs of illness, 53
  - hospitalization rates for congestive heart failure, 52

physician office visits for sleep disorders, 48  
prevalence of asthma, 52  
prevalence of cardiovascular disease risk factors, 50  
prevalence of common cardiovascular, lung, and blood diseases, 49–50, 52  
ten leading causes of death among minority groups, 40  
ten leading causes of death, 40

## E

Ecologically Guided Bioprospecting in Panama, 84, 87  
Employment, FY 1992–2002, 72  
Enhancing Recovery in Coronary Heart Disease Patients (ENRICHED), 115, 118, 120, 137  
Environmental Approaches to the Prevention of Obesity, 58  
Epidemiology and Clinical Applications, obligations by funding mechanism, 66  
Evaluation Study of Congestive Heart Failure and Pulmonary Artery Catheterization Effectiveness (ESCAPE), 115, 118, 120  
Extramural research funding:  
dollars funded by funding mechanism, FY 1992–2002, 74–75  
percentage trends by funding mechanism, FY 1992–2002, 74, 76

## F

Family Blood Pressure Program, 84, 87, 133  
Feasibility of Retinoid Treatment in Emphysema (FORTE), 116, 118, 124  
Framingham Study (Framingham Heart Study), 30, 32, 106, 107  
Funding of grants, contracts, and training by:  
foreign country and institution, FY 2002:  
Australia, 168; Belgium, 168; Brazil, 168; Canada, 168;  
China, 169; Finland, 169; India, 169; Israel, 169; Italy, 169;  
New Zealand, 169; Russia, 169; Sweden, 169; Thailand, 169;  
United Kingdom, 169–170  
state or territory and institution, FY 2002:  
Alabama, 154; Arizona, 154; Arkansas, 154; California,  
154–155; Colorado, 155–156; Connecticut, 156; Delaware,  
156; District of Columbia, 156; Florida, 156–157; Georgia,  
157; Hawaii, 157; Idaho, 157; Illinois, 157–158; Indiana,  
158; Iowa, 158; Kansas, 158; Kentucky, 158; Louisiana,  
158; Maine, 158–159; Maryland, 159–160; Massachusetts,  
160–161; Michigan, 161; Minnesota, 161; Mississippi, 161;  
Missouri, 161–162; Montana, 162; Nebraska, 162; Nevada,  
162; New Hampshire, 162; New Jersey, 162; New Mexico,  
162; New York, 163; North Carolina, 163–164; North  
Dakota, 164; Ohio, 164; Oklahoma, 164; Oregon, 164;  
Pennsylvania, 165; Puerto Rico, 168; Rhode Island, 165;  
South Carolina, 165; South Dakota, 166; Tennessee, 166;  
Texas, 166; Utah, 167; Vermont, 167; Virginia, 167;  
Washington, 167; West Virginia, 167–168; Wisconsin, 168;  
Wyoming, 168

## G

Gene Transfer Principles for Heart, Lung, and Blood Diseases, 99, 100  
Genetics of Coronary and Aortic Calcification (GENCAC), 84, 88  
Genetics of Coronary Artery Disease in Alaskan Natives (GOCADAN), 84, 88, 132  
Girls Health Enrichment Multisite Studies (GEMS), 84, 88, 117, 118, 120–121, 136

## H

Heart and vascular diseases (See also Disease statistics),  
cardiovascular diseases, 35–36  
Heart and Vascular Diseases Program, obligations by funding mechanism, 66  
Heart, Lung, and Blood Institute Special Emphasis Panel, 55, 63  
Heart, Lung, and Blood Program Project Review Committee, 63  
Hematocrit Strategy in Infant Heart Surgery, 84, 88, 112, 114  
Hematopoietic Stem Cell Biology, 99, 102  
Hemochromatosis and Iron Overload Screening Study (HEIRS), 106, 110  
Hemostatic and Thrombotic Disorders, 99, 102  
Heritable Disorders of Connective Tissue, 58  
Highly Active Anti-Retroviral Therapy (HAART) Cardiovascular Toxicities, 58  
Home Automatic External Defibrillator Trial (HAT), 84, 89, 114

## I

Important events in NHLBI history, 27–34  
Individual National Research Service Awards (NRSA), 145–148, 176  
Induction of Stable Chimerism for Sickle Cell Anemia, 85, 97, 113, 114, 142  
Inhaled Nitric Oxide for the Prevention of Chronic Lung Disease, 84, 95, 113, 114, 140  
Inhaled Nitric Oxide in Prevention of Chronic Lung Disease, 84, 95, 113, 114, 140  
Innovative Concepts and Approaches to Developing Functional Tissues and Organs for Heart, Vascular, Lung, and Blood Applications, 57  
Institutional National Research Training Awards (NRSA), 145–148, 176  
Interaction of Genes and Environment in Shaping Risk Factors for Heart, Lung, Blood, and Sleep Disorders, 57, 84, 89  
International Activities, 25–26  
extramural obligations by country and mechanisms, FY 2002, 168–170

Interrelationship Between Sleep and Diseases of the Heart, Lung, and Blood, 58  
 Ischemic Heart Disease in Blacks, 99, 100, 138  
 Ischemic Heart Disease, Sudden Cardiac Death, Heart Failure, 99, 100

## J

Jackson Heart Study (JHS), 106, 107–108, 132

## L

Linkage Study in Familial Pulmonary Fibrosis, 84, 95  
 Lung diseases (See also Disease Statistics), deaths and economic costs, 38, 40, 43, 45–48, 53  
 Lung Diseases Program, obligations by funding mechanism, 67  
 Lung Health Study—Long-Term Follow-up, 84, 95–96, 113, 114

## M

Magnesium in Coronaries (MAGIC), 115, 118, 121  
 Maintenance of NHLBI Biological Specimen Repository, 106, 110  
 Mammalian Genotyping Service (MGS), 106, 108  
 Mathematical Models of Cytokine/Chemokine Networks in HIV-Associated Lung Disease, 56  
 Minority Activities, 131–143  
 Molecular Genetics of Hypertension, 99, 100, 133  
 Molecular Medicine and Atherosclerosis, 99, 100  
 Multicenter Study of Hydroxyurea (MSH) in Sickle Cell Anemia Adult Follow-up, 56, 116, 118, 126, 142  
 Multidisciplinary Study of Right Ventricular Dysplasia, 84, 89  
 Multi-Ethnic Study of Atherosclerosis (MESA), 106, 108, 132  
 Mutations in Developmental Pathways by N-Ethyl-N-Nitrosourea (ENU) Mutagenesis, 84, 89

## N

National Center on Sleep Disorders Research (NCSDR), 11, 12, 19–20, 32  
 National Center on Sleep Disorders Research, obligation by funding mechanism, 67  
 National Emphysema Treatment Trial (NETT), 116, 118, 124  
 National Heart, Lung, and Blood Advisory Council, 59 membership, 60  
 National Heart, Lung, and Blood Review Committees:  
   Board of Scientific Counselors, 63–64  
   Clinical Trials, 62–63  
   Program Project, 63  
   Sickle Cell Disease, 61  
   Sleep Disorders Research, 61–62  
   Special Emphasis Panel, 63

Neurobiology of Sleep and Sleep Apnea, 99, 103  
 NHLBI Innovative Research Grant Program, 57  
 NHLBI Shared Microarray Facilities, 57  
 Novel Biomarkers of Chronic Obstructive Pulmonary Disease (COPD), 56

## O

Obesity Prevention in Young American Indians (PATHWAYS), 117, 118, 121, 136  
 Obligations by funding mechanism, FY 2002, 65–68  
 Obligations by program, FY 2002, 65–68  
 Obligations trends, FY 1992–2002:  
   budget category:  
     constant dollars, 70–71  
     current dollars, 70–71  
   budget history, 69  
   funding mechanism, 72, 74–76  
   Institute-initiated awards and investigator-initiated awards, 73–74  
 Obligations, extramural, by state and institution, FY 2002 (See Funding of grants, contracts, and training)  
 Occluded Artery Trial (OAT), 84, 90, 112, 114

## P

Pathobiology of Fibrotic Lung Disease, 99, 102  
 Pathobiology of Lung Development, 99, 102  
 Pediatric Cardiovascular Clinical Research Network, 84, 90, 117, 118, 121  
 Pediatric Cardiovascular Diseases, 99, 101  
 Pediatric Hydroxyurea Phase III Clinical Trial (BABY HUG), 116, 118, 126, 143  
 Pediatric Pulmonary and Cardiac Complications of HIV Infection (P2C2), 116, 118, 125  
 Pharmacogenetics of Asthma Treatment, 84, 96  
 Pharmacogenetics Research Network, 84, 90  
 Placebo Effects in Clinical Practice, 58  
 Placebo Effects: Elucidation of Underlying Mechanisms, 58  
 PREMIER: Lifestyle Interventions for Blood Pressure Control, 84, 90, 112, 114, 134  
 Prevention of Events With Angiotensin Converting Enzyme Inhibitor Therapy (PEACE), 115, 118, 121–122  
 Program Overview, 11–26  
 Program Project Grants (P01), 77, 173  
 Programs of Excellence in Gene Therapy, 84, 90–91  
 Programs of Genomic Applications (PGAs) for Heart, Lung, and Blood Diseases, 84, 91–92  
 Prospective Investigation of Pulmonary Embolism Diagnosis-II (PIOPED II), 84, 96, 113, 114

Proteomics Initiative, 106, 108–109  
Public Access Defibrillation (PAD) Community Trial, 115, 118, 122  
Pulmonary diseases (See Lung diseases)

## R

Reference Laboratory to Evaluate Therapies for Sickle Cell Disease, 85, 97, 142  
Research Activity, types of, 173–176  
Research and development contracts (See also individual programs and studies), 105–110:  
by program, FY 2002, 65–68  
Institute-initiated clinical trials, 115–116, 118  
Research Career Programs, 65–68, 174–175:  
awards, FY 1992–2002, 149  
minority biomedical research, FY 1992–2002, 151  
obligation trends, FY 1992–2002, 150  
Research grants:  
by category, FY 2002, 77  
by funding mechanism, 77  
clinical trials, 111–114  
investigator-initiated and Institute-initiated, FY 1992–2002, 81  
obligation trends, FY 1992–2002, 78  
Research project grants:  
amount funded, FY 1992–2002, 82  
applications reviewed and awarded, FY 1992–2002, 80  
average costs, FY 1992–2002, 83  
by category, 77  
by funding mechanism, 77  
by program, 66–68  
facility and administrative costs, 82  
Research Training and Career Development (See also Research Career Programs):  
full-time training positions, FY 1992–2002, 145–146, 148  
history of training obligations, FY 1992–2002, 147  
minority biomedical obligations, 151  
research career programs: awards and obligations, FY 1992–2002, 149–151  
supplements program: awards and obligations, FY 1992–2002, 151–152

Resuscitation: SBIR/STTR Technologies for Monitoring and Performing, 57

Retrovirus Epidemiology Donor Study (REDS), 106, 110

Role of Infectious Agents in Vascular Diseases, 55

## S

Sarcoidosis Genetic Linkage Consortium, 84, 96, 140  
Scleroderma Lung Study, 84, 96–97, 113, 114  
Sibling Donor Cord Blood Banking and Transplantation, 85, 97, 113, 114, 142

Sickle Cell Disease Advisory Committee, 61  
Sleep and Sleep Disorders in Children, 58  
Sleep Heart Health Study, 85, 98  
Specialized Centers of Research (P50) Program, 99  
Specialized Centers of Research (SCOR):  
Acute Lung Injury, 99, 101  
Airway Biology and Pathogenesis of Cystic Fibrosis, 99, 101  
Cellular and Molecular Mechanisms of Asthma, 56, 99, 101–102  
Gene Transfer Principles for Heart, Lung, and Blood Diseases, 99, 100  
Hematopoietic Stem Cell Biology, 99, 102  
Hemostatic and Thrombotic Disorders, 99, 102  
Ischemic Heart Disease in Blacks, 99, 100, 138  
Ischemic Heart Disease, Sudden Cardiac Death, Heart Failure, 99, 100  
Molecular Genetics of Hypertension, 99, 100, 133  
Molecular Medicine and Atherosclerosis, 55, 99, 100  
Neurobiology of Sleep and Sleep Apnea, 99, 103  
Pathobiology of Fibrotic Lung Disease, 56, 99, 102  
Pathobiology of Lung Development, 56, 99, 102  
Pediatric Cardiovascular Diseases, 99, 101  
Transfusion Biology and Medicine, 99, 103  
Stop Atherosclerosis in Native Diabetics Study (SANDS), 84, 92, 113, 114  
Stroke Prevention in Sickle Cell Anemia (STOP II), 85, 97, 113, 114, 143  
Strong Heart Study, 84, 92, 132  
Sudden Cardiac Death in Heart Failure Trial (SCD-HeFT), 84, 92, 112, 114  
Surgical Treatment for Ischemic Heart Failure (STICH), 84, 93, 113, 114

## T

T-Cell Depletion in Unrelated Donor Marrow Transplantation, 116, 118, 126–127  
Thalassemia (Cooley's Anemia) Clinical Research Network, 85, 98, 117, 118, 127, 143  
Transfusion Biology and Medicine, 99, 103  
Transfusion Medicine/Hemostasis Clinical Research Network, 56, 85, 98, 117, 118, 127  
Translational Behavioral Science Research Consortium, 106, 109  
Treatment of HAART-Associated Metabolic Changes in Patients With HIV Infection, 58  
Trial of Activity for Adolescent Girls (TAAG), 84, 93, 117, 118, 122, 137  
Trials Assessing Innovative Strategies to Improve Clinical Practice Through Guidelines in Heart, Lung, and Blood Diseases, 57

**W**

Women's Angiographic Vitamin and Estrogen Trial (WAVE),  
115, 118, 122

Women's Health Initiative, 11, 12, 20–21, 33, 34, 68, 116, 118,  
128–129, 143

Women's Ischemia Syndrome Evaluation (WISE), 84, 93, 112,  
114, 115, 118, 122–123

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